

Def. Open subscheme of  $X$ : pair  $(U, \mathcal{O}_X|_U)$  where  $U \subseteq X$  open.

Def.  $j: Y \rightarrow X$  is an open immersion if

- 1) the underlying map of top spaces is a homeo onto some open  $U \subseteq X$
- 2)  $j^\#: \mathcal{O}_X \rightarrow j_* \mathcal{O}_Y$  induces an iso  $\mathcal{O}_X|_U \xrightarrow{\sim} \tilde{j}^* \mathcal{O}_Y$  where  $\tilde{j}: Y \rightarrow U$  is the morphism with restricted codomain.

That is,  $\tilde{j}: (Y, \mathcal{O}_Y) \rightarrow (U, \mathcal{O}_X|_U)$  is an iso.

Def. Closed subscheme of  $X$ : triple  $(Y, \mathcal{O}_Y, i^\#)$  where

- $Y \subseteq X$  is a closed subset,  $i: Y \hookrightarrow X$
- $\mathcal{O}_Y$  is a sheaf of rings on  $Y$  s.t.  $(Y, \mathcal{O}_Y)$  is a scheme
- $i^\#: \mathcal{O}_X \rightarrow i_* \mathcal{O}_Y$  is surjective ("locally every fn on  $Y$  is a restriction of a fn on  $X$ ")

Def.  $i: Z \rightarrow X$  is a closed immersion if

- 1) the underlying map of top spaces is a homeo onto a closed subset of  $X$
- 2)  $i^\#: \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z$  is surjective

Remark. If  $i: Z \rightarrow X$  is a closed immersion,  $Y := i(Z)$ ,  $\tilde{i}: Z \rightarrow Y$ ,  $\mathcal{O}_Y := \tilde{i}_* \mathcal{O}_Z$  then  $\tilde{i}: Z \xrightarrow{\sim} Y \subseteq X$ ,  $Y$  is a closed subscheme.

Key example.  $X = \text{Spec } A$ ,  $\mathfrak{a} \subseteq A$  ideal,  $\varphi: A \rightarrow A/\mathfrak{a}$  quotient map

Then  $f_\varphi: \text{Spec } A/\mathfrak{a} \rightarrow \text{Spec } A$  is a closed immersion.

$$1) \text{Spec } A/\mathfrak{a} \xrightarrow{\cong} V(\mathfrak{a}) = \{ \mathfrak{p} \mid \mathfrak{a} \subseteq \mathfrak{p} \} \text{ homeo}$$

$$q \longmapsto \varphi^{-1}(q) \supseteq \mathfrak{a}$$

2) Let  $\mathfrak{p} \in \text{Spec } A$ .

$$A_{\mathfrak{p}} = \mathcal{O}_{\text{Spec } A, \mathfrak{p}} \longrightarrow f_* \mathcal{O}_{\text{Spec } A/\mathfrak{a}, \mathfrak{p}} = \begin{cases} 0 & \text{if } \mathfrak{p} \notin V(\mathfrak{a}) \\ (A/\mathfrak{a})_{\mathfrak{p}/\mathfrak{a}} & \text{if } \mathfrak{p} \in V(\mathfrak{a}) \iff \mathfrak{a} \subseteq \mathfrak{p} \end{cases}$$

Surjectivity on stalks  $\Rightarrow \mathcal{O}_{\text{Spec } A} \rightarrow f_* \mathcal{O}_{\text{Spec } A/\mathfrak{a}}$

Thm.  $X := \text{Spec } R$ ,  $Z \xrightarrow{f} X$  closed subscheme.  $I := \text{Ker} \left( R \xrightarrow{f^\# \text{Spec } R} \mathcal{O}_Z(Z) \right)$ .

$$\begin{array}{ccc} Z & \xrightarrow{f} & \text{Spec } R \\ \cong \searrow & \circlearrowleft G & \nearrow j \\ & \text{Spec } R/I & \end{array}$$

In particular, every closed subscheme of an affine scheme is affine.

Pr: Step 1.  $R \xrightarrow{f^*(\text{Spec } R) = \varphi} \mathcal{O}_Z(Z)$

$$\begin{array}{ccc} & \circlearrowleft & \\ & \searrow & \nearrow \\ & R/I & \end{array}$$

$f$  factors as  $Z \xrightarrow{\tilde{f}} \text{Spec } R/I \xrightarrow{j} \text{Spec } R$  since  $\text{Hom}(X, \text{Spec } R) = \text{Hom}(R, \mathcal{O}_X(X))$ .

Claim:  $\tilde{f}$  is a closed immersion.

1)  $|\tilde{f}|$  is a homeo onto its img

$f(Z) \subseteq \text{Spec } R$  closed  $\Rightarrow f(Z) \subseteq \text{Spec } (R/I)$  closed

2)  $\mathcal{O}_{\text{Spec } R} \rightarrow f_* \mathcal{O}_Z = j_* \tilde{f}_* \mathcal{O}_Z$

$$\begin{array}{ccc} & \searrow & \nearrow \\ & j_* \mathcal{O}_{\text{Spec } R/I} & \end{array}$$

has to be surjective too

$\Rightarrow \mathcal{O}_{\text{Spec } R/I} \rightarrow \tilde{f}_* \mathcal{O}_Z \Rightarrow$  When  $\varphi: R \rightarrow \mathcal{O}_Z(Z)$  is injective.

Step 2.  $f(Z) \subseteq X$  closed,  $X$  qc  $\Rightarrow Z$  qc  $\Rightarrow Z = \bigcup_{i=1}^m \text{Spec } S_i$  for some rings  $S_i$   
 $\text{Spec } S_i \subseteq Z$  are open subschemes

Step 3. Claim:  $f: Z \rightarrow X$  is surjective

Known:  $f(Z) \subseteq X$  is closed.  $\Rightarrow$  Sts  $f(Z)$  is dense

$\nexists f(Z) \subseteq X$  not dense  $\Rightarrow \exists g \in R: \emptyset \neq D(g) \text{ \& } D(g) \cap f(Z) = \emptyset$

$\Rightarrow f(Z) \subseteq V(g) \Rightarrow f^*(g)(p) = 0 \quad \forall p \in Z \Rightarrow f^*(g)|_{\text{Spec } S_i}$  is nilpotent  $\forall i$ ,

i.e.  $(f^*(g)|_{\text{Spec } S_i})^{n_i} = 0$  for some  $n_i, (i=1, \dots, m)$

Let  $n := \max_{i=1, \dots, m} n_i \Rightarrow \underbrace{f^*(g)^n}_{f^*(g^n)} = 0$  in  $\mathcal{O}_Z(Z)$

Since  $\varphi = f^*(\text{Spec } R)$  is injective,  $g^n = 0 \Rightarrow D(g) = \emptyset \nabla$

Step 4.  $f: Z \rightarrow X = \text{Spec } R$  is a closed immersion

•  $|\tilde{f}|$  homeo onto  $X$  by Step 3

•  $\mathcal{O}_X \rightarrow f_* \mathcal{O}_Z$  is surjective

•  $\varphi = f^*: R \rightarrow \mathcal{O}_Z(Z)$  is injective

Need to check:  $\mathcal{O}_X \rightarrow f_* \mathcal{O}_Z$  is injective. Then we will have that

$\mathcal{O}_X \rightarrow f_* \mathcal{O}_Z$  is an iso, and hence  $Z \xrightarrow{\sim} X$ .

Let  $p \in Z, f(p) \in X$ .

$$\begin{array}{ccc} \mathcal{O}_{X, f(p)} = R_{f(p)} & \longrightarrow & \mathcal{O}_{Z, p} \xrightarrow{f \text{ homeo}} (f_* \mathcal{O}_Z)_p \\ \uparrow \text{res}_X & & \uparrow \\ R & \hookrightarrow & \mathcal{O}_Z(Z) \end{array}$$

Let  $a \in R$  s.t.  $\varphi_p(\text{res}_X(a)) = 0$ . We will show that  $\text{res}_X(a) = 0$  in  $R_p$ .  
 This suffices for injectivity of  $\varphi_p$  since  $\varphi_p(\frac{1}{g})$  is a unit  $\forall g \in R \setminus \{p\}$ .

$$\varphi_p(\text{res}_X(a)) = \text{res}_Z(\varphi(a)) = 0 \Rightarrow \exists \text{ open } V \subseteq Z, p \in V, \varphi(a)|_V = 0.$$

$f$  locally  $\Rightarrow$  we can choose  $V := f^{-1}(D(t))$  for some  $t \in R$   
 $= D(\varphi(t))$  since  $f$  is a morphism in LRS

$$\varphi(a)|_{D(\varphi(t))} = 0 \text{ in } \mathcal{O}_Z(D(\varphi(t)))$$

$$\Rightarrow \varphi(a) \Big|_{\underbrace{D(\varphi(t)) \cap \text{Spec } S_i}_{\text{Spec } (S_i)_{\sigma_i}}} = 0 \in (S_i)_{\sigma_i} \text{ where } \sigma_i := \varphi(t) \Big|_{\text{Spec } S_i} \in S_i$$

$$\Rightarrow \exists n_i \geq 1 : (\varphi(a) \cdot \varphi(t)^{n_i}) \Big|_{\text{Spec } S_i} = \varphi(a) \Big|_{\text{Spec } S_i} \cdot \sigma_i^{n_i} = 0$$

$$n := \max_{i=1, \dots, m} n_i \Rightarrow \underbrace{\varphi(a) \varphi(t)^n}_{\varphi(at^n)} \Big|_{\text{Spec } S_i} = 0 \quad \forall i \Rightarrow at^n = 0$$

But  $p \in V = f^{-1}(D(t)) \Rightarrow f(p) \in D(t) \Rightarrow t \in R \setminus \{p\} \Rightarrow a = 0$  in  $R_{f(p)}$

Remark. In the def of closed subschemes, 1) cannot be dropped:

$$Y := \mathbb{A}_{\mathbb{C}}^2 \setminus \{0\} \longrightarrow \mathbb{A}_{\mathbb{C}}^2 \text{ This satisfies 2), 3) but not 1)}$$

However, if  $Y$  is affine then 1) can be dropped.

Criterion.  $f: Y \rightarrow X$  closed immersion  $\Leftrightarrow \exists$  affine open cover  $X = \bigcup U_i$  s.t.

$f^{-1}(U_i)$  are affine and  $\mathcal{O}_X(U_i) \rightarrow \mathcal{O}_X(f^{-1}(U_i))$  injective

PF:  $\Rightarrow$ : Thm.

$\Leftarrow$ : Key Example.

Remark. a) Compositions of closed immersions are closed immersions.

b) Closed immersions are stable under base change, i.e.

$$\begin{array}{ccc} Z' & \longrightarrow & Z \\ f \downarrow & \searrow & \downarrow \\ X' & \longrightarrow & X \end{array}$$

(implies that  $f$  is a closed immersion.)

$$\text{(Idea: } B \otimes_A A/I \cong B/IB)$$

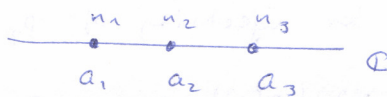
Ex. Closed subschemes of  $\mathbb{A}_{\mathbb{C}}^1 = \text{Spec } \mathbb{C}[X]$ .

They are of the form  $Z = \text{Spec } \mathbb{C}[X]/I$  by Thm.

$$0 \neq I = (f) \text{ for some } f \in \mathbb{C}[X] \setminus \{0\}. \quad f = \text{mit. } (x-a_1)^{n_1} \dots (x-a_k)^{n_k}$$

Then  $\mathbb{C}[X]/I = \mathbb{C}[X]/(x-a_1)^{n_1} \times \dots \times \mathbb{C}[X]/(x-a_k)^{n_k}$

$Z = \text{Spec}_Z \mathbb{C}[X]/I = \coprod \text{Spec } \mathbb{C}[X]/(x-a_i)^{n_i}$



$\Rightarrow \{\text{Closed subschemes of } \mathbb{C}\} \longleftrightarrow \left\{ \mathbb{A}_{\mathbb{C}}^1 \right\} \coprod \coprod_{n=0}^{\infty} \underbrace{\text{Sym}^n(\mathbb{C})}_{\mathbb{C}^n/S_n}$

Ex. Low-dimensional subschemes of  $\mathbb{A}_{\mathbb{C}}^2 = \text{Spec } \mathbb{C}[X, Y]$ .

Note: for a scheme  $X$ ,  $\dim X = \text{top dim } X = \sup \{ \ell \mid \exists Z_0 \subset \dots \subset Z_\ell \subset X \text{ irred closed} \}$   
 $\dim \text{Spec } A = \text{Krull dim } A$

Let  $I \subset \mathbb{C}[X, Y]$  s.t.  $R = \mathbb{C}[X, Y]/I$  has  $\dim R = 0$ .

Thm. (CA)  $R$  noether &  $\dim R = 0 \iff R$  artinian.

$\Rightarrow \exists$  fin many max ideals  $m_1, \dots, m_k$  and  $R \xrightarrow{\sim} \underbrace{R/m_1^{n_1} \times \dots \times R/m_k^{n_k}}_{\text{local artinian ring}}$   
 for some  $n_1, \dots, n_k$

$R/m_i \cong \mathbb{C} \Rightarrow \varphi^{-1}(m_i) = (X-a_i, Y-b_i)$  for some  $a_i, b_i \in \mathbb{C}$

where  $\varphi: \mathbb{C}[X, Y] \rightarrow R$  is the quot map

Wma:  $R$  local artinian,  $\bar{m}$  max ideal,  $\varphi^{-1}(\bar{m}) = (X, Y) \Rightarrow I \subset m = (X, Y)$

Also  $\bar{m}^l = 0$  for some  $l \geq 1 \Rightarrow m^l \subset I$ , so choosing  $I$  corresponds to choosing  $\bar{I} = I/m^l \subset m/m^l$

$d := \text{length of } R = \dim_{\mathbb{C}} R$

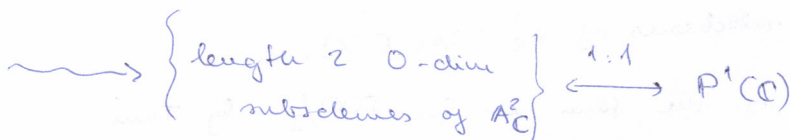
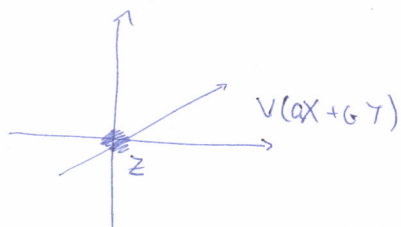
If  $d=1$ :  $R = \mathbb{C}$ ,  $\text{Spec } \mathbb{C} = \{*\}$  reduced pt

If  $d=2$ :  $R \supseteq \bar{m} \supseteq \bar{m}^2 \supseteq \dots$   
 2 1 0

NAK:  $m^n = m^{n+1} \Rightarrow m^n = 0$ . Hence  $m^2 \subset I \subset m$

$\Rightarrow I$  corresponds to a subspace  $\bar{I} \subset m/m^2 = \mathbb{C}\langle X \rangle \oplus \mathbb{C}\langle Y \rangle$  Zariski cotangent space  
 1-dim

$\Rightarrow I = \underbrace{(X^2, XY, Y^2)}_{m^2}, (aX+bY)$  for  $[a,b] \in \mathbb{P}^1(\mathbb{C})$



$$I_t = \underbrace{(x, y)}_{\text{origin}} \cap \underbrace{(x+bt, y-at)}_{(-bt, at)} \quad t \neq 0$$

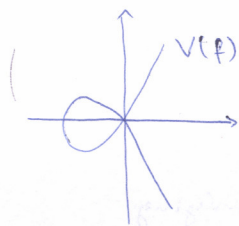
$\downarrow t \rightarrow 0$   
 $I$

If  $d=3$ :  $R \supseteq \bar{m} \supseteq \bar{m}^2 \supseteq \bar{m}^3 = 0$

Case 1.  $\bar{m}^2 = 0 \Rightarrow I = \bar{m}^2 = (x^2, xy, y^2)$

Case 2.  $I = (y + \alpha x + \beta x^2, x^3)$  or  $(x + \alpha y + \beta y^2, y^3)$

Ex.  $f \in \mathbb{C}[x, y]$ ,  $X = V(f)$ . What are the 0-dim subschemes of  $X$  supp'd at 0?



$f := x \cdot y$

$d=1$ :  $I = \bar{m}$

$d=2$ :  $I = (ax + by, x^2, xy, y^2)$

$d=3$ :  $I = \begin{cases} (x^2, xy, y^2) = \bar{m}^2 & \sim \{*\} \\ (y + \beta x^2, x^3) & \beta \in \mathbb{C} \\ (x + \beta y^2, y^3) & \beta \in \mathbb{C} \end{cases}$

{0-dim subschemes of  $X$  with  $d=3$ }  $\cong$

Def.  $X$  scheme,  $\dim X := \text{topdim } X = \sup \{ \ell \mid \exists Z_0 \subsetneq \dots \subsetneq Z_\ell \subseteq X, \text{ irred closed} \}$

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Ex.  $X := \text{Spec } k[x, y, z]/I$ ,  $I = (x) \cap (y, z) \rightarrow \dim X = 2$   
 $yz$ -plane  $x$ -axis

In general,  $\dim X = \sup \{ \dim X_i \mid X_i \subseteq X \text{ irred component} \}$  when they exist, e.g. when  $X$  is noetherian.

Def.  $\text{codim}(Z, X) := \sup \{ \ell \mid \exists Z = Z_0 \subsetneq \dots \subsetneq Z_\ell \subseteq X \text{ irred closed} \}$  for  $Z \subseteq X$  irred closed

Prop.  $\text{codim}(Z, X) = \dim \mathcal{O}_{X, \eta}$  where  $\eta$  is the generic pt of  $Z$ .

Pf: Wma  $X$  af.  $Z = Z_0 \subsetneq \dots \subsetneq Z_\ell \subseteq X = \text{Spec } A$

$\rightarrow \mathfrak{p} = \mathfrak{p}_0 \subsetneq \dots \subsetneq \mathfrak{p}_\ell \supseteq \text{nil } A$

Inside  $A_{\mathfrak{p}} = \mathcal{O}_{X, \eta}$ :  $\mathfrak{p}A_{\mathfrak{p}} = \bar{\mathfrak{p}}_0 \subsetneq \dots \subsetneq \bar{\mathfrak{p}}_\ell$  where  $\eta = \mathfrak{p}$

Thm (Knehl PIT)  $X$  loc noeth,  $f \in \mathcal{O}_X(X) \Rightarrow$  the irred components of  $V(f)$  have  $\text{codim } 0$  or  $1$ .

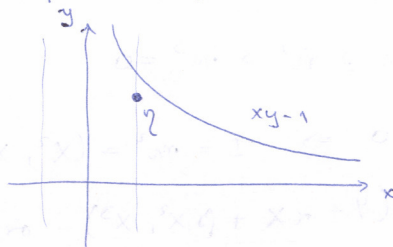
Warning.  $Z \subseteq X$  irred closed  $\Rightarrow \dim Z + \text{codim}(Z, X) \leq \dim X$ , need not be equal

Ex.  $Z = \text{pt}$ ,  $\dim Z = 0$ ,  $\text{codim}(Z, X) = \dim \mathbb{C}[x]_{(x-a)} = 1$ ,  $\dim X = 2$

Ex.  $A = k[x]_{(x)}[y]$  int domain,  $k = \bar{k}$ ,  $0 \subset (x) \subset (x, y)$  chain of primes,  
 $\Rightarrow \dim A \geq 2$ . But  $A \text{ loc of } k[x, y] \Rightarrow \dim A \leq 2 \Rightarrow \dim A = 2$ .

$f := xy - 1 \rightarrow V(f) = \text{Spec}(A/f) = \text{Spec } k(x)$  as  $A/f = k[x]_{(x)}[1/x] = k(x)$   
 $\Rightarrow \dim V(f) = 0$ .

PIT  $\Rightarrow \text{codim}(V(f), X) = 1$



Prop.  $X$  irred of  $k$ ,  $k$  not necessarily alg closed. Then

$$\dim Z + \text{codim}(Z, X) = \dim X \quad \forall Z \subseteq X \text{ irred closed.}$$

### Reduced induced subscheme structure

$X$  sch,  $Z^{\text{set}} \subseteq X$  closed subset

We want to give  $Z^{\text{set}}$  the structure of a closed subscheme with underlying support  $Z^{\text{set}}$ .

$$Z := (Z^{\text{set}}, \mathcal{O}_Z, \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z)$$

$$\{\text{closed subsets of } X\} \xleftarrow{|Z| \leftarrow Z} \{\text{closed subschemes of } X\}$$

Easy case:  $X = \text{Spec } A \Rightarrow Z^{\text{set}} = V(\mathfrak{a})$  for a unique  $\mathfrak{a} \subseteq A$  radical ideal

Then let  $Z$  be the closed subscheme associated to the closed immersion  $\text{Spec}(A/\mathfrak{a}) \hookrightarrow \text{Spec } A$ , i.e.  $|Z| = Z^{\text{set}}$ . This is the red. ind. subsch. assoc. to  $Z^{\text{set}}$ .

Lemma. In the above situation, let  $U = D(g) \subseteq \text{Spec } A$ . Then  $Z \cap D(g) \subseteq \text{Spec } A_g$  is a closed subscheme, and it is the reduced induced subscheme associated to  $Z^{\text{set}} \cap D(g)$ .

Pf:  $Z \cap D(g) \cong \text{Spec}(A/\mathfrak{a})_g \cong \text{Spec}(A_g/\mathfrak{a}A_g) \cong Z^{\text{set}} \cap D(g)$  where  $\bar{g}$  is the img of  $g$  in  $A/\mathfrak{a}$  □

General case.  $X = \bigcup U_i$ ,  $U_i = \text{Spec } A_i$ ,  $Z^{\text{set}} \subseteq X$  closed subset

Define  $Z_i \subseteq U_i$  to be the red ind subsch. assoc. to  $Z^{\text{set}} \cap U_i \subseteq U_i$ .

The intersections  $U_i \cap U_j$  are covered by affine open sets which are basic opens for both  $U_i$  and  $U_j$ .

Lemma  $\Rightarrow Z_i|_V = \text{riss}(Z^{\text{set}} \cap V) = Z_j|_V \rightarrow Z_i|_{U_i \cap U_j} \cong Z_j|_{U_i \cap U_j}$

On multiple intersections, the same argument holds.

Define  $Z$  by gluing together the  $Z_i$ .

Separated morphisms

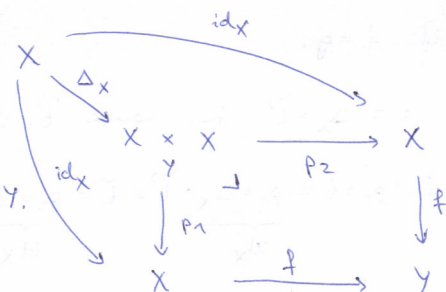
Recall.  $X$  prevar is sep if  $\forall Y$  prevar:  $\forall Y \xrightarrow{f, g} X : \text{Eq}(f, g) \subseteq Y$  closed, or equivalently,  $\Delta(X) \subseteq X \times X$  is closed.

Now let  $f: X \rightarrow Y$  be a morphism of schemes.

Def.  $f: X \rightarrow Y$  is separated if  $\Delta_X: X \rightarrow X \times_Y X$  is a closed immersion. We also say that  $X$  is separated over  $Y$ .

Note that  $\Delta_X$  depends on  $f$ .

If  $Y = \text{Spec } Z$ , we say that  $X$  is separated.



Ex.  $X = \text{Spec } A \xrightarrow{f} Y = \text{Spec } B \Rightarrow X \times_Y X = \text{Spec } A \otimes_B A$

$\Delta_X$  is induced by

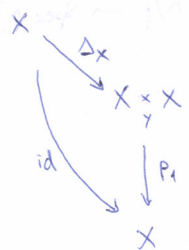
$$\begin{aligned} A \otimes_B A &\longrightarrow A \\ a \otimes 1 &\longmapsto a \\ a \otimes a' &\longmapsto aa' \end{aligned}$$

$A \otimes_B A \rightarrow A \otimes_B A \rightarrow A$  is epi  $\Rightarrow \Delta_X$  is a closed immersion

Ex.  $L := A_k^1 \amalg A_k^1 / D(x) \sim D(y) \Rightarrow L \rightarrow \text{Spec } k$  is not separated

Prop.  $f: X \rightarrow Y$  sep  $\Leftrightarrow \Delta_X(X) \subseteq X \times_Y X$  is a closed subset.

Pf. • Assume that  $\Delta_X(X)$  is closed.



$p_1|_{\Delta_X(X)}$  is inverse to  $|\Delta_X| \Rightarrow \Delta_X$  is a homeomorphism

let  $p \in X, \Delta_X(p) \in X \times_Y X$ , into surjectivity of  $\mathcal{O}_{X \times_Y X, \Delta_X(p)} \rightarrow \mathcal{O}_{X, p}$

let  $V = \text{Spec } B \subseteq Y$  open affine s.t.  $f(p) \in V$ ,

$U = \text{Spec } A \subseteq f^{-1}(\text{Spec } B)$  open affine s.t.  $p \in U$ .

Then  $U \times_V U \subseteq \Delta_X(p)$  is open,  $\Delta_U = \Delta_X|_U: U \rightarrow U \times_V U$  is a closed immersion by Ex.

$$\begin{aligned} \mathcal{O}_{X \times_Y X, \Delta_X(p)} &\longrightarrow \mathcal{O}_{X, p} \\ \parallel &\qquad \qquad \parallel \end{aligned}$$

$$\mathcal{O}_{U \times_V U, \Delta_U(p)} \longrightarrow \mathcal{O}_{U, p} \Rightarrow f \text{ is separated.}$$

• The converse is obvious.

Rule The pf shows that  $\Delta_X$  is always a locally closed immersion, i.e.

it factors as (open immersion)  $\circ$  (closed immersion), as  $X \xrightarrow{cl} \bigcup_{U, V} U \times_V U \xrightarrow{op} X \times_Y X$ .

Ex. Classical interpretation:  $a: X \rightarrow S$

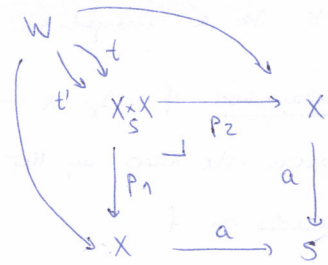
Prop.  $X \text{ sep}/S \Leftrightarrow \forall Y \rightarrow S \quad \forall f, g: Y \rightarrow X: Z := \{y \in Y \mid f \circ i_y = g \circ i_y\} \subseteq Y$  is closed.

Lemma.  $W \xrightarrow{\begin{smallmatrix} f \\ g \end{smallmatrix}} X$  over  $S$ ,  $t := f \times_S g: W \rightarrow X \times_S X$ . Then  $t$  factors through

$\Delta_X$  iff  $f = g$ .

Pf.  $\Rightarrow$  If  $t = \Delta_X \circ \tilde{t}$  for some  $\tilde{t}: W \rightarrow X$

then  $f = p_1 \circ t = p_1 \circ \Delta_X \circ \tilde{t} = \tilde{t} = p_2 \circ \Delta_X \circ \tilde{t} = p_2 \circ t = g$

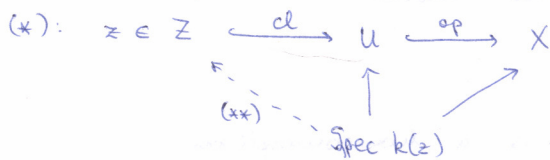


$\Leftarrow$  Let  $t' := \Delta_X \circ f: W \rightarrow X \rightarrow X \times_S X$ . Then  $p_1 \circ t' = p_1 \circ \Delta_X \circ f = f = p_1 \circ t$   
 $p_2 \circ t' = p_2 \circ \Delta_X \circ g = g = p_2 \circ t$   $\Rightarrow t = t'$  by univ. prop.  $\square$

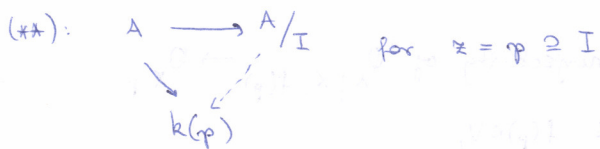
Pf OF PROP:  $\Leftarrow$  Claim.  $\Delta_X(X) = \{z \in X \times_S X \mid p_1 \circ i_z = p_2 \circ i_z\}$

Pf OF CLAIM:  $\supseteq$ : by Lemma, as  $i_z$  factors through  $\Delta_X$ .

$\subseteq$ : let  $z \in \Delta_X(X)$ . Since  $\Delta_X(X)$  is a locally closed immersion,  $i_z$  factors as



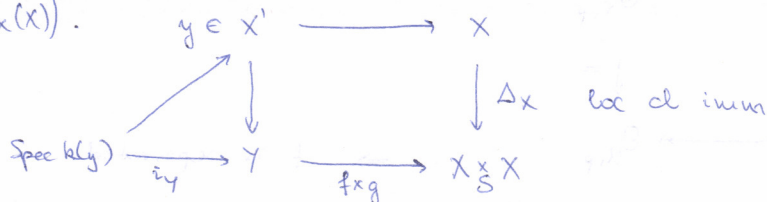
$\Rightarrow$  since  $X$  aff,  $(Z \rightarrow X) = (\text{Spec } A/f \rightarrow \text{Spec } A)$



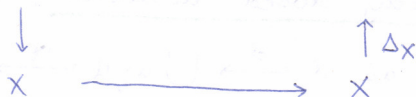
$\Rightarrow$  Claim.  $\{y \in Y \mid f \circ i_y = g \circ i_y\} = (f \times_S g)^{-1}(\Delta_X(X))$

Pf OF CLAIM:  $\subseteq$ : by Lemma,  $(f \times_S g) \circ i_y = (f \circ i_y) \times (g \circ i_y)$  factors through the diagonal

$\supseteq$ : let  $y \in (f \times_S g)^{-1}(\Delta_X(X))$ .



$\Rightarrow \text{Spec } k(y) \xrightarrow{(f \times_S g) \circ i_y} X \times_S X \Rightarrow f \circ i_y = g \circ i_y$  by Lemma.





Cor. If  $k = \bar{k}$ ,  $X$  prevar /  $k$ ,  $t(X)$  assoc sch /  $k$  then  $X$  is sep (as prevar) iff  $t(X)$  sep /  $k$ .

PF: Let  $z \in t(X) \times_k t(X)$  be a closed pt.

$$\text{Then } z \in \Delta_{t(X)}(t(X)) \Leftrightarrow p_1 \circ i_z = p_2 \circ i_z \Leftrightarrow p_1(z) = p_2(z)$$

Hence giving  $p_1 \circ i_z: \text{Spec } k \rightarrow t(X)$  is equiv to giving  $p_1(z) = q$  and an inclusion

$$\begin{array}{ccc} k(q) & \hookrightarrow & k \\ \uparrow & \nearrow & \\ k & & \text{id} \end{array}$$

$\Rightarrow k(q) \simeq k$  canonically

$\Rightarrow p_1 \circ i_z$  is def'd by  $p_1(z)$ .

$$t(X) \text{ sep / } k \Leftrightarrow \Delta_{t(X)}(\Delta_X(X)) \text{ closed in } t(X) \times_k t(X) \Leftrightarrow \underbrace{\{\text{closed pts in } \Delta_{t(X)}(\Delta_X(X))\}}_{\downarrow} \text{ is } \{x, x \mid x \in X\}.$$

Cor.  $k = \bar{k}$ . Then  $t: \text{PreVar / } k \xrightarrow{\text{equiv}} \text{int sch of } k$   
 $\text{Var / } k \xrightarrow{\text{equiv}} \text{sep int sch of } k$

Redef.  $k$  a field. Then a variety over  $k$  is an integral sep'd sch of  $k$ .

Valuation rings

10.12.2018

$A$  int domain,  $K = \text{Frac } A$

Prop/Def.  $A$  is a valuation ring of  $K$  if TFEC are satisfied:

- 1)  $\forall x \in K^\times: x \in A \text{ or } x^{-1} \in A$
- 2) The set of ideals of  $A$  is totally ordered, i.e.  $\text{Spec } A = \begin{Bmatrix} \bullet (0) \\ \bullet \mathfrak{p}_1 \\ \bullet \mathfrak{p}_2 \\ \vdots \\ \bullet \mathfrak{m} \end{Bmatrix}$
- 3)  $\exists \Gamma$  totally ordered gp and  $v: K^\times \rightarrow \Gamma$  s.t.

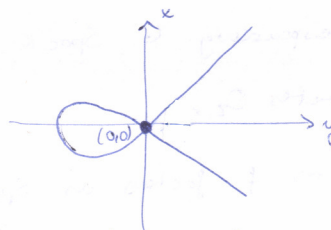
$$A = \{x \in K \mid v(x) \geq 0\} \cup \{0\}.$$

Ex.  $A = \mathbb{C}[x]_{(x)}$  valuation ring with  $v(\frac{p(x)}{q(x)}) = \text{order of vanishing at } 0 = v(p) - v(q)$

where  $v(p) = \max \{l \mid x^l \mid p\}$

Ex.  $A = \mathbb{Z}_{(3)}$

Ex.  $A = (\mathbb{C}[x, y] / (y^2 = x^2(x+1)))_{\mathfrak{m}}$



where  $\mathfrak{m} = (xy)$ . This is not a val ring. Intuitively: we can't read off the order of functions at  $(0,0)$ , e.g.  $f = (x+y)^2 + (x-y)$

Prop. The valuation rings of  $K$  are the max elements of the set of local sub-rings of  $K$ , ordered by domination.

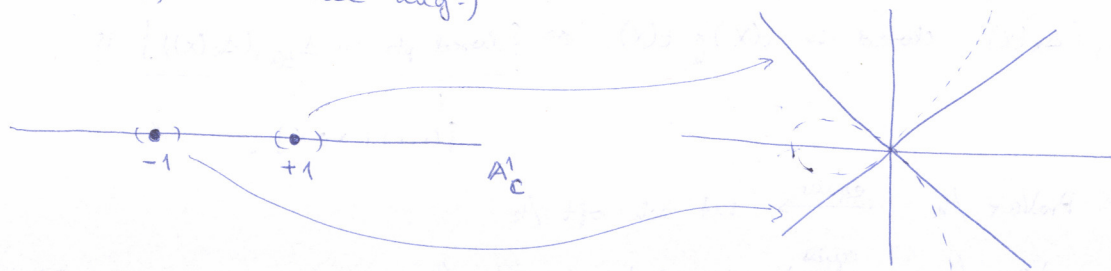
Def.  $B, A \subseteq K$  local subrings. Then  $B$  dominates  $A$  if  $A \subseteq B$  &  $m_B \cap A = m_A$ .

Equivalently: for  $f: \text{Spec } B \rightarrow \text{Spec } A$  induced by  $A \hookrightarrow B$ ,  $f(\text{closed pt}) = \text{closed}$ .

Ex.  $\underbrace{\mathbb{C}[x,y]}_{\mathbb{C}[t^2-1, t(t^2-1)]} / (y^2 = x^2(x+1)) \hookrightarrow \mathbb{C}[t] \hookrightarrow K = \mathbb{C}(t)$

$x \longmapsto t^2 - 1$   
 $y \longmapsto t(t^2 - 1)$

Then  $\mathbb{C}[t^2-1, t(t^2-1)]_{(x,y)}$  is dominated by  $\mathbb{C}[t]_{(t \pm 1)}$ , hence not a val ring. ( $\mathbb{C}[t]_{(t \pm 1)}$  is a val ring.)

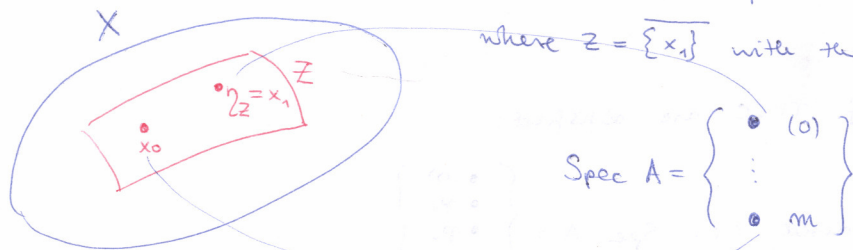


Ex.  $\mathbb{C}[x,y]_m$  is not a val ring: dominated by  $\mathbb{C}[x,y, \frac{x}{y}, \frac{x}{y^2}, \dots]_{(x,y)}$

Lemma 1. A val ring,  $K = \text{Frac } A$ . There is a natural bijection between

$\text{Hom}_{\text{sch}}(\text{Spec } A, X)$  and  $\{(x_0, x_1, k(x_1) \hookrightarrow K) \mid x_0, x_1 \in K, x_0 \in \overline{\{x_1\}}, A \cap k(x_1) \text{ dominates } \mathcal{O}_{Z, x_0}\}$

where  $Z = \overline{\{x_1\}}$  with the reduced induced subscheme structure



Pf: Given such an  $(x_0, x_1, k(x_1) \hookrightarrow K)$ , the composition  $\mathcal{O}_{Z, x_0} \hookrightarrow A \cap k(x_1) \hookrightarrow A$  is a local homomorphism. Consequently,  $f: \text{Spec } A \rightarrow \text{Spec } \mathcal{O}_{Z, x_0} \rightarrow Z \xrightarrow{i} X$  is in  $\text{Hom}(\dots)$

Conversely, given  $f: \text{Spec } A \rightarrow X$ , let  $x_1 := f(0)$ ,  $x_0 := f(m)$ . As  $f$  is continuous,  $x_0 \in \overline{\{x_1\}}$ .

$(k(x_1) \hookrightarrow K) :=$  the map corresponding to  $\text{Spec } K \rightarrow \text{Spec } A \rightarrow X$

Need to check:  $A \cap k(x_1)$  dominates  $\mathcal{O}_{Z, x_0}$

$f(\text{Spec } A) = Z$ ,  $A$  is reduced  $\Rightarrow f$  factors as  $\text{Spec } A \xrightarrow{\tilde{f}} Z \rightarrow X$   
 $m \longmapsto x_0$

by the upcoming lemma 2.

Hence  $\mathcal{O}_{Z, x_0} \longrightarrow A_m = A$

$$\begin{array}{ccc} \downarrow \text{res} & & \downarrow \\ k(x_1) & \longrightarrow & K \end{array}$$

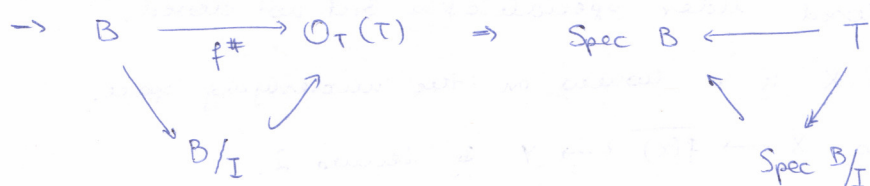
$\Rightarrow A \cap k(x_1)$  dominates  $\mathcal{O}_{Z, x_0}$ . □

Lemma 2.  $T$  reduced,  $f: T \rightarrow X$  morph,  $Y := \overline{f(T)}$  with niss. Then  $f$  factors as

$$T \xrightarrow{\tilde{f}} Y \xrightarrow{i} X$$

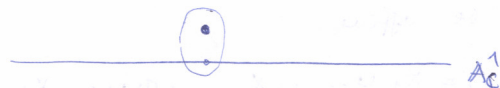
Pf: Wma  $X = \text{Spec } B$ .

$I := \text{Ker}(B \rightarrow \mathcal{O}_T(T))$  reduced ideal in  $B$  since  $T$  is reduced



Valuative criterion for separatedness

Idea.  $X$  scheme,  $A_c^1 \xrightarrow{f, g} X$

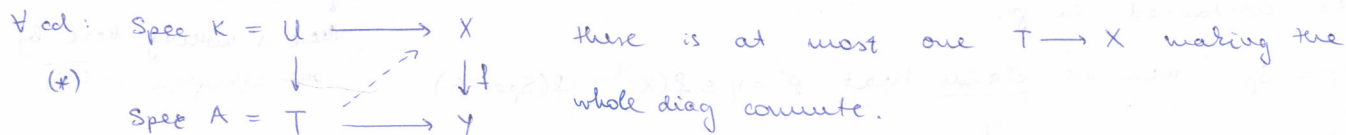


$f|_{A_c^1 \setminus \{0\}} = g|_{A_c^1 \setminus \{0\}}$  Does  $f=g$ ? Is the extension to  $A_c^1$  unique?

Yes, if  $X$  is sep. The VC is the converse.

Idea. We only care about  $f|_{\text{Spec } C[x]_{(x)}}$  and  $g|_{\text{Spec } C[x]_{(x)}}$

Thm. (VC)  $f: X \rightarrow Y$  sep,  $\Leftrightarrow f$  qs &  $\forall A$  val ring with  $K = \text{Frac } A$  and



Def  $f: X \rightarrow Y$  is quasi-separated  $\Leftrightarrow \Delta_X: X \rightarrow X \times_Y X$  is qc

Exc.  $f$  is qs  $\Leftrightarrow \forall$  aff open  $\text{Spec } A \subseteq Y \quad \forall U, V \subseteq X$  open affine s.t.  $f(U) \subseteq \text{Spec } A, f(V) \subseteq \text{Spec } A:$

$U \cap V$  is a finite union of aff opens.

Prop. If  $X$  is loc noeth, every  $X \rightarrow Y$  is qs.

Thm. (Noetherian version of VC, see Görtz-Wedhorn)  $Y$  loc noeth,  $f: X \rightarrow Y$  aft.

Then  $f$  is sep  $\Leftrightarrow \forall A$  DVR (the same condition).

Pf of VC:  $\Rightarrow f$  sep  $\Leftrightarrow \Delta_X$  is a closed immersion  $\Rightarrow \Delta_X$  is qc  $\Rightarrow f$  is qs  $\checkmark$

Now let  $A, K, U, T$  be as above,  $f, g: T \xrightarrow{A \cap N} X$  as in (\*).

$Z := \{t \in T \mid f \circ i_t = g \circ i_t\}$ . This is closed since  $f$  is sep, and  $U \subseteq Z$  by ass.

$\Rightarrow Z = T$ .  $T$  is reduced  $\Rightarrow f = g$  by Exc. 7.4  $\checkmark$

Lemma 3.  $f: X \rightarrow Y$  qc. Then  $f(X) \subseteq Y$  is closed  $\Leftrightarrow f(X)$  is stable under specialisation, i.e. if  $x_1 \in f(X)$  and  $x_0 \in \overline{\{x_1\}}$  then  $x_0 \in f(X)$ .

$\Rightarrow$ :  $f(X)$  closed and  $x_1 \in f(X) \Rightarrow \overline{\{x_1\}} \subseteq f(X) \Rightarrow x_0 \in f(X)$

$\Leftarrow$ : Remark. Non-example:  $X := \coprod_{a \in \mathbb{C}} \text{Spec } \mathbb{C}[x]/(x-a) \xrightarrow{f} \text{Spec } \mathbb{C}[x] =: Y$

$(0) \notin f(X) = \{(x-a) \mid a \in \mathbb{C}\} \not\subseteq A_{\mathbb{C}}^1$ , stable under specialisation but not closed.

When  $X$  is reduced as  $X_{\text{red}} \rightarrow X$  is a homeo from the underlying space.

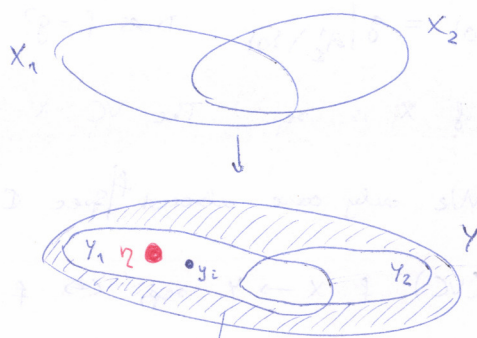
Replace  $Y$  by  $\overline{f(X)}$  with niss, as  $X \rightarrow \overline{f(X)} \hookrightarrow Y$  by Lemma 2.

Nb surjectivity of  $f$ .

Assume  $Y$  to be affine.

$f$  qc  $\Rightarrow f^{-1}(Y) = X_1 \cup \dots \cup X_m$  where  $X_i$  aff opens. Let  $Y_i := \overline{f(X_i)}$  with niss.

Let  $y \in Y$ ,  $y \in Y_i$  for some  $i$ . Let  $\eta \in Y_i$  a point s.t.  $y \in \overline{\{\eta\}}$  and  $\eta$  is not in the closure of any other points in  $Y_i$



there is nothing here by our assumption  $Y = \overline{f(X)}$

Equivalently, let  $Y_i = \text{Spec } B_i$ ,  $y = \mathfrak{p} \in \text{Spec } B_i$ ,

$\eta = \mathfrak{p}' \in \text{Spec } B$  s.t.  $\mathfrak{p}'$  is minimal among all the primes contained in  $\mathfrak{p}$ .

$X_i = \text{Spec } A$ . Then we claim that  $\mathfrak{p}' = \eta \in f(X_i) = f(\text{Spec } A)$ .

Indeed, consider  $A \xrightarrow{\psi} A \otimes_B B_i$

$$\begin{array}{ccc} \uparrow f^\# & & \uparrow \psi \\ B & \xrightarrow{\varphi} & B_{\mathfrak{p}'} = k(\mathfrak{p}') \end{array}$$

Let  $q_i \in \text{Spec}(A \otimes_B B_i) \Rightarrow \psi^{-1}(q_i) = (0)$ . Let  $q_i' := \psi^{-1}(q_i) \Rightarrow f(q_i') = (f^\#)^{-1}(q_i') = \psi^{-1}((0)) = \mathfrak{p}$ .

This shows our claim. Consequently,  $y \in f(X)$  by specialisation. □

$\Leftarrow$ : Wts  $\Delta_X(X)$  is closed. By Lemma 3 and qc-ness of  $f$ :  $\Delta_X(X)$  stable under spec.

Let  $x_0, x_1 \in X \times_Y X$  s.t.  $x_0 \in \overline{\{x_1\}}$ ,  $x_1 \in \Delta_X(X)$ , let  $K := k(x_1)$ , and let  $A$  be the maximal local nrbng of  $K$  dominating  $\mathcal{O}_{Z, x_0}$  where  $Z = \overline{\{x_1\}}$ . Then  $A$  is a val-uing.

By Lemma 1:  $U = \text{Spec } K \xrightarrow{\quad} X$   
 $\downarrow j$   $\downarrow \Delta_X$   
 $T = \text{Spec } A \xrightarrow{t} X \times_Y X$

We get the upper horiz. arrow since  $x_1 \in \Delta_X(X)$  and  $\Delta_X$  is a loc closed imm.

AON

$f := p_1 \circ t$ ,  $g := p_2 \circ t: \text{Spec } A \rightrightarrows X$  (over  $Y$ ) where  $p_1, p_2$  are the projections

$f|_U = (p_1 \circ t \circ j) = p_1 \circ \Delta_X \circ \tilde{t} = p_2 \circ \Delta_X \circ \tilde{t} = g|_U$

By assumption,  $f = g \Rightarrow t$  factors through  $\Delta_X \Rightarrow t(m) = x_0 \in \Delta_X(X)$  □

Prop. Open or closed immersions are separated.

The property of being separated is preserved under composition, base change, and is local on target.

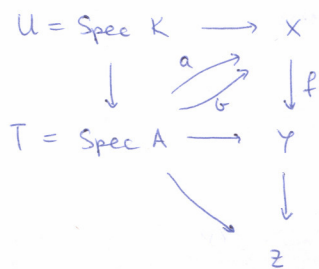
If  $X \rightarrow Y \rightarrow Z$  is sep then so is  $X \rightarrow Y$ . (\*)

Def. Local on target:  $\exists Y = \cup U_i$  open cover s.t.  $f|_{f^{-1}(U_i)}$  has the property.

13.12.2018

PF of (\*) when  $X$  is loc woth:

$X$  loc woth  $\Rightarrow X \rightarrow Y$  qs. We only need to check the val crit.

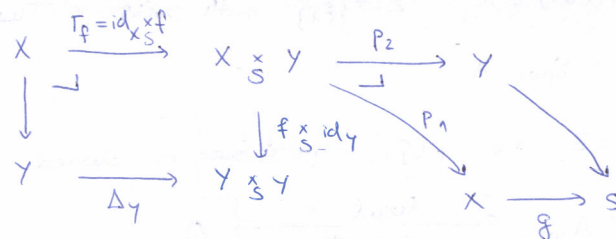


Need to check:  $a = b$ .

Apply VC to  $X \rightarrow Z$  ( $X \rightarrow Z$  is sep)  $\Rightarrow a = b$ .

Another PF: let  $S := Z$ . Factor  $f$  as

$\Delta_Y$  loc cl imm  $\Rightarrow \Gamma_f$  closed imm.  
 $\Rightarrow \Gamma_f$  separated



$g$  is sep by assumption  $\Rightarrow p_2$  sep

$\Rightarrow f = p_2 \circ \Gamma_f$  is separated

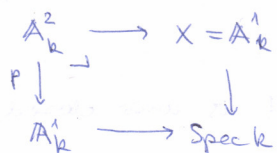
### Proper morphisms

Property	Topological analogue	Interpretation
separated	T2	uniqueness of limits
univ. closed	qc	existence of limits
proper	cpt = qc + T2	uniqueness + existence of limits

Def.  $f: X \rightarrow Y$  univ closed if  $\forall Y' \rightarrow Y$ : the base change  $f': X' := X \times_Y Y' \rightarrow Y'$  is closed.

Def.  $f: X \rightarrow Y$  is proper if separated, cpt and univ closed.

Ex.  $X = \mathbb{A}_k^1 \rightarrow k$  not proper:



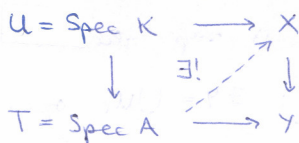
$p$  is not closed since  $p(V(xy-1)) = D(x)$

Ex. Finite morphisms are proper. E.g.  $\text{Spec } \mathbb{C}[x, y] / y^2 = x^3 - x$  is proper /  $\text{Spec } \mathbb{C}[x]$

Thm. (VC for properness)  $f: X \rightarrow Y$ , TFAE:

(1)  $f$  proper

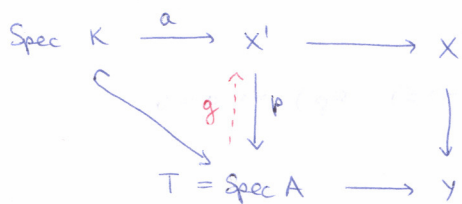
(2)  $f$  qs, ofc, and  $\forall A$  val rings and  $\forall$  cd  $U = \text{Spec } K \rightarrow X$   
 $T = \text{Spec } A \rightarrow Y$



where  $K = \text{Frac } A$   $\exists!$  unique lift  $T \rightarrow X$ .

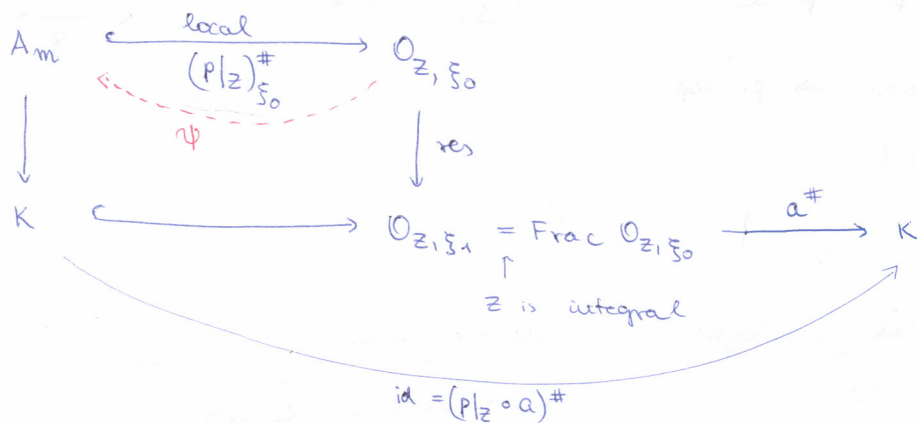
Pf:  $\Rightarrow$  Let  $f$  be proper. In particular, qs (because sep) and ofc.

Let  $A$  be any valuation ring, and let us have a cd as above.



$\xi_1 := a((0)) \in X'$ ,  $Z := \overline{\{\xi_1\}}$  with niss. Then  $p(Z)$  is closed in  $T$  and  $(0) \in p(Z)$   
 $\Rightarrow p(Z) = \text{Spec } A$ .

Let  $\xi_0 \in Z$  s.t.  $p(\xi_0) = m \in \text{Spec } A$  closed point.



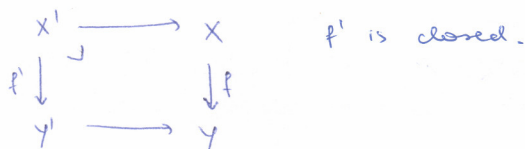
$\Rightarrow O_{z, \xi_1} = K$  and  $O_{z, \xi_0}$  dominates  $A$

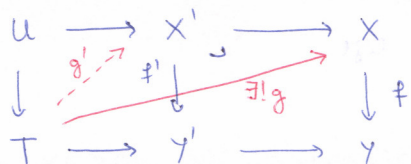
$\Rightarrow O_{z, \xi_0} = A$  since  $A$  is a val rg  $\Rightarrow \exists \psi$  inverse

Let  $g: \text{Spec } A \rightarrow \text{Spec } O_{z, \xi_0} \rightarrow Z \rightarrow X$  be the morphism induced by  $\psi: O_{z, \xi_0} \rightarrow A$ .

By construction,  $g$  is a section of  $p$ .  $\Rightarrow (X' \rightarrow X) \circ g$  is the desired morphism  $T \rightarrow X$ . Uniqueness?

$\Leftarrow$  Let  $f$  satisfy VC. We show that  $f$  is unir closed, i.e.  $\forall Y' \rightarrow Y$ :





VC  $\Rightarrow \exists! g: T \rightarrow X$

this prop  $\Rightarrow \exists! g': T \rightarrow X'$

We show that  $f'$  is closed. Let  $Z \subseteq X'$  be a closed subset with niss.

We show that  $f'(Z)$  is stable under specialisation.

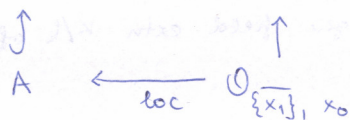
Let  $x_0, x_1 \in Y'$  s.t.  $x_0 \in \overline{\{x_1\}}$ ,  $x_1 \in f'(Z)$

Let  $\xi_1 \in Z$  s.t.  $f'(\xi_1) = x_1$

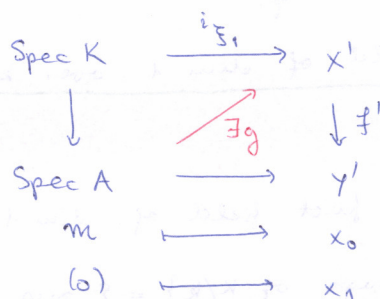
Let  $A' := \mathcal{O}_{\overline{\{x_1\}}, x_0} \subseteq k(x_1) = \mathcal{O}_{\overline{\{x_1\}}, x_1}$

Let  $A \subseteq k(\xi_1) = \mathcal{O}_{\overline{\{\xi_1\}}, \xi_1}$  be a val ring dominating  $A'$  via  $(f')^\#: k(x_1) \hookrightarrow k(\xi_1)$

$K = k(\xi_1) \longleftrightarrow k(x_1)$



$\Rightarrow$



VC  $\Rightarrow \exists g \Rightarrow x_0 = f'(g(\mathfrak{m})) \in f'(Z)$

Lemma.  $A$ : ring but not a field. TFAE:

- (1)  $A$  is a local val ring
- (2)  $A$  is a local 1-dimensional integrally closed noetherian domain
- (3)  $A$  is a local PID
- (4)  $A$  is an integral domain and  $\exists v: K^\times \rightarrow \mathbb{Z}$ ,  $v(ab) = v(a) + v(b)$ ,  $v(a+b) \geq \min(v(a), v(b))$   
 s.t.  $A = v(K^\times) \cup \{0\}$  where  $K = \text{Frac } A$ .

Def. Such an  $A$  is a DVR.

Prop.  $f: X \rightarrow Y$ ,  $Y$  loc noeth.  $\Rightarrow$  it suffices to check the VC for DVRs.

Ex. Let  $C$  be a proper curve /  $k$ . Also assume that  $C$  is normal.

Def. Curve: variety of dimension 1.

Let  $y \in C$  be its gen pt.,  $K := k(y) = \mathcal{O}_{C, y}$  function field of  $C$

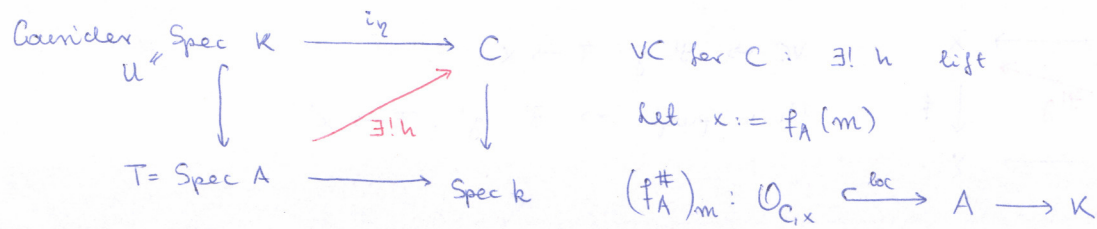
Let  $x \in C$  be a closed pt.

Then  $\mathcal{O}_{C, x}$  is local and of dim 1 since  $\dim C = 1$ ,  $\dim \{x\} = 0$  and  $C$  is nft,

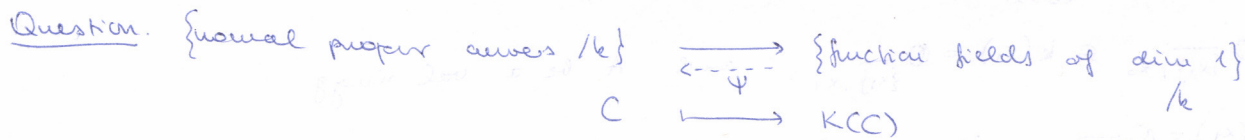
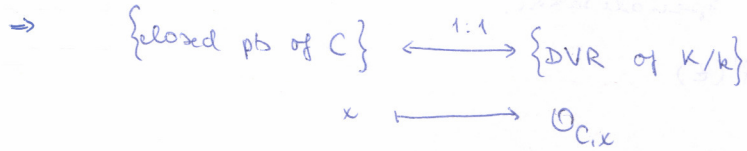
hence  $\text{codim}(\{x\}, C) = \dim C - \dim \{x\}$ .  $\mathcal{O}_{C, x}$  is also noetherian and int closed.

$\Rightarrow \mathcal{O}_{C, x}$  is a DVR of  $K/k$

Conversely, let  $A$  be a DVR of  $K/k$ , where  $K = \text{Frac } A$  and  $k \subseteq A$ .



In pent.,  $\mathcal{O}_{C,x} \subset A \subset K$  and  $\mathcal{O}_{C,x}$  and  $A$  are DVRs.  $\Rightarrow A = \mathcal{O}_{C,x}$



Is there an inverse map?

Def. A function field of dim 1 over  $k$  is a finite field extn  $K/k$  of  $\text{trdeg } 1$ .

Claim.  $\exists! \Psi$ .

PF: let  $K$  be a finite field of dim 1,  $C = C_K$  s.t.  $K(C) = K$ .

$C := \{ \text{valuation rings of } K/k \} = \{ \text{DVRs of } K/k \} \cup \{ [K] \}$  as a set

For  $p \in C$  write  $A_p \subseteq K$  for the associated val ring.  $\stackrel{!}{=}$

Topology on  $C$ : let  $Z \subseteq C$  be closed if  $Z = C$  or  $Z$  is a finite subset of  $C \setminus \{ \eta \}$

Structure sheaf:  $\mathcal{O}_C(U) := \bigcap_{p \in U} A_p \subseteq K$

We show that  $(C, \mathcal{O}_C)$  is a scheme.

$\mathcal{O}_{C,p} = \varinjlim_{q \in U} A_q = A$  local ring  $\rightarrow (C, \mathcal{O}_C) \in \text{Ob LRS}$

Nts there is an aff open cover. For  $x \in K^*$ , let  $U_x := \{ p \in C \mid x \in A_p \}$

Then  $U_x$  is open:  $p \in C \setminus U_x \Leftrightarrow x \notin A_p \Leftrightarrow \frac{1}{x} \in m_p \subseteq A_p$

$y := 1/x$ ,  $R := \text{int closure of } k[y] \subseteq K$

$K/k(y)$  is fin  $\rightarrow R$  is a finite  $k$ -algebra

$\dim R = \text{trdeg } \text{Frac } R = \text{trdeg } K = 1 \Rightarrow R_{q_p}$  is a DVR  $\forall q_p \in (\text{Spec } R) \setminus \{ (0) \}$

$U_y \xrightarrow{\quad} \text{Spec } R$  is a bijection: if  $p \in C$  closed pt s.t.  $y \in A_p$

$p \longmapsto m_p \cap R$  then  $k[y] \subseteq A_p \Rightarrow R \subseteq A_p \Rightarrow R_{m_p \cap R} \subseteq A_p$  DVR  
 $\Rightarrow R_{m_p \cap R} = A_p$ .

$\rightarrow C \setminus U_x = \{ p \in U_y \mid y \in m_p \} \cong \{ q \in \text{Spec } R \mid y(q) = 0 \} = V(y)$

$V(y)$  is finite since  $\dim R = 1$  and PIT.  $\checkmark$



We show that  $(U_x, \mathcal{O}_C(U_x))$  is affine:

$$R := \text{int cl of } k[x] \text{ in } K \rightarrow U_x \cong \text{Spec } R \text{ hence.}$$

$$\mathcal{O}_{\text{Spec } R}(V) = \bigcap_{x \in V} \mathcal{O}_{\text{Spec } R, x} = \bigcap_{x \in V} A_x = \mathcal{O}_C(V)$$

These  $U_x$  cover  $C$ .

$\Rightarrow (C, \mathcal{O}_C)$  is a scheme.

Ex.  $K = \mathbb{C}(t)$  over  $k = \mathbb{C}$ ,  $A \subset K$  DVR

Case 1:  $t \in A \rightarrow \mathbb{C}[t] \subset A \subset \mathbb{C}(t) \Rightarrow A = \mathbb{C}[t]_{(t-a)}$  for some  $a \in \mathbb{C}$

Case 2:  $\frac{1}{t} \in A \rightarrow A = \mathbb{C}[u]_{(u-b)}$ ,  $b \in \mathbb{C}$

If  $a \neq 0$  then  $\mathbb{C}[t]_{(t-a)} = \mathbb{C}[u]_{(u-\frac{1}{a})}$

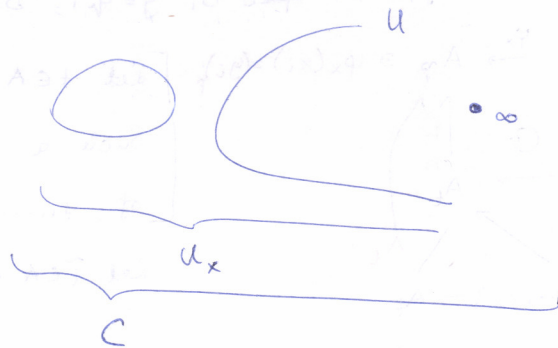
$\Rightarrow C = U_t \sqcup U_u$  where  $U_t = \text{Spec } \mathbb{C}[t]$ ,  $U_u = \text{Spec } \mathbb{C}[u]$

$\varphi: U_t \setminus \{0\} \rightarrow U_u \setminus \{0\}$

$t = \frac{1}{u} \mapsto u$

Ex.  $K = \mathbb{C}(x, \frac{y}{\sqrt{x^3-x}})$  i.e.  $y^2 = x^3 - x$

$U_x = \text{Spec } \mathbb{C}[x, y] / (y^2 - x^3 + x)$



Recall.  $C$  normal proper curve  $/k \rightsquigarrow K = k(C) = \mathcal{O}_{C, \eta}$

17.12.2018

Conversely:  $K$  function field of dim 1  $\rightsquigarrow C$  scheme.

Ex.  $K = \mathbb{C}(x, \frac{y}{\sqrt{x^3-x}})$ ,  $k = \mathbb{C}$

$C_K = \{ \text{DVRs of } K/k \} \sqcup \{ K \}$

$t \in K: U_t = \{ p \in C_K \mid t \in \mathcal{O}_{A_p} \}$  where  $A_p$  is the val ring corresponding to  $p$

$\forall A \in K: x \in A \text{ or } \frac{1}{x} \in A \rightarrow U_x \cup U_{\frac{1}{x}} = C$ . Now let  $\underline{x}$  be fixed, and compute  $U_x$ :

$R := \text{int cl of } k[x] \text{ in } K$

$y := \sqrt{x^3-x} \rightarrow y^2 = x^3 - x \rightarrow R = k[x, y] / (y^2 - x^3 + x)$ ,  $U_x = \text{Spec } R$

Computing  $U_{\frac{1}{x}}: t := \frac{1}{x}$ ,  $S := \text{int cl of } k[t] \text{ in } K$ ,  $u := \frac{y}{x^2} \rightarrow u^2 = \frac{1}{x} - \frac{1}{x^3} = t - t^3$

$\Rightarrow S = k[u] / (u^2 - t + t^3)$

$\Rightarrow C = \text{Spec } R \cup \text{Spec } S$

Lemma.  $C = C_k$  is a normal proper curve /  $k$ .

PF: Since  $C$  is covered by  $U_x, U_{1/x}$  and  $k[t] \subset K$ , it is ok by the following:

$R = \text{int of } k[t] \text{ in } K, K/k(t) \text{ fin} \rightarrow R \text{ of } k$ .

$\mathcal{O}_{C,p} = A_p$  is int closed by def  $\rightarrow$  normality

To check properness, use VC.

Thm. Cohomology equivalence of cats b/w

- cat of proper normal curves /  $k$ , non-constant morphisms
- cat of function fields of dim 1.

given by  $\Phi: C \longleftrightarrow K(C)$   
 $(f: C \rightarrow C') \longleftrightarrow (f^\#: K(C') \rightarrow K(C))$

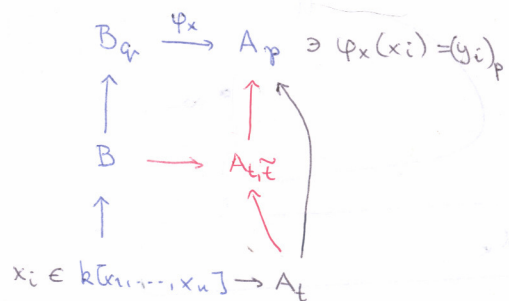
Note that  $f^\#$  is well-def'd since  $\eta \mapsto \eta'$  because  $f$  is non-constant.

Lemma<sup>1</sup>.  $X, Y$  sch /  $k, x \in X, y \in Y, Y$  of  $t, \varphi_x: \mathcal{O}_{Y,y} \rightarrow \mathcal{O}_{X,x}$ .

Then  $\exists U \ni x$  open and  $f: U \rightarrow Y$  s.t.  $f(x) = y$  and  $(f^\#: \mathcal{O}_{Y,y} \rightarrow \mathcal{O}_{X,x}) = \varphi_x$ .

PF: Wma  $X, Y$  to be affine by shrinking.

$X = \text{Spec } A, x = p, Y = \text{Spec } B, y = q, B = k[x_1, \dots, x_n] / (g_1, \dots, g_m)$ ,



let  $t \in A$  s.t.  $\exists y_1, \dots, y_m \in A_t, \varphi_x(x_i) = (y_i)_p$ .

Such a  $t$  exists, e.g. product of denominators of  $g_1, \dots, g_m$ .

let  $\tilde{t} \in A$  s.t.  $g_i(y_1, \dots, y_m) = 0$  in  $A_{t\tilde{t}} \forall i$

$U \subseteq X$  open  $\rightarrow U \subseteq \text{Spec } A_{t\tilde{t}} \rightarrow \text{Spec } B = Y$  induced by  $B \rightarrow A_{t\tilde{t}}$  □

PF OF THM:  $\Psi: K \rightarrow C_k$  on objects.

On morphisms: let  $\varphi: K' \rightarrow K$ , and define  $\Psi(\varphi): C \rightarrow C'$ , where  $C = C_k, C' = C_{k'}$ , by applying the lemma<sup>1</sup> to  $\eta \in C, \eta' \in C', f: K' \rightarrow K \rightarrow \exists U \subseteq C$  nonempty open and  $f: U \rightarrow C'$  s.t.  $f_\# = \varphi \Rightarrow U = C \setminus \{p_1, \dots, p_n\}$ .

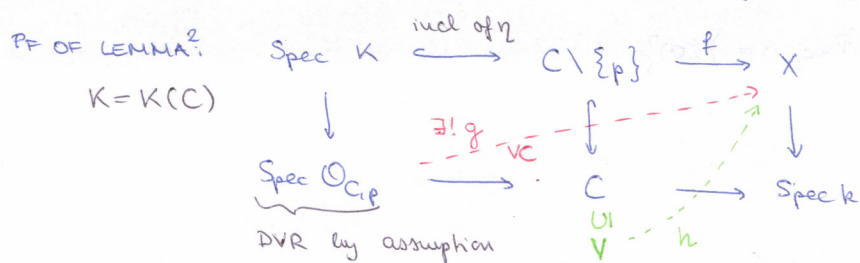
Claim.  $f$  extends uniquely to  $\bar{f}: C \rightarrow C'$

Assuming the Claim, set  $\Psi(\varphi) := \bar{f} \Rightarrow \Phi(\Psi(\varphi)) = \varphi, \Psi(\Phi(\bar{f})) = f$   
 are easily checked using openness of  $C$ .

Lemma 2  $C$  normal curve  $/k$ ,  $X$  proper scheme  $/k$ ,  $p \in C$  closed pt.

Then any  $f: C \setminus \{p\} \rightarrow X$  extends uniquely to a morphism  $C \rightarrow X$ .

Using the Lemma 2  $n$  times, the Claim follows.



$X$  proper  $\rightarrow \exists! g$  by VC

Let  $(g^\#)_p: \mathcal{O}_{X,p} \rightarrow \mathcal{O}_{C,p}$  where  $q := g(p)$  be the induced morphism,  $p = m_p \in \text{Spec } \mathcal{O}_{C,p}$

Lemma 1  $\Rightarrow \exists V \subseteq C$  open,  $p \in V$ ,  $h: V \rightarrow X: g^\#_p = h^\#_p$

By construction:  $h|_{\text{Spec } k} = g|_{\text{Spec } k} = f|_{\text{Spec } k} \Rightarrow f|_W = h|_W$  for some

$W \neq \emptyset$  open,  $W \subseteq V \setminus \{p\} \subseteq X \Rightarrow h|_{V \setminus \{p\}} = f|_{V \setminus \{p\}}$  by sep'dness of  $X$

Let  $\bar{f}: C \rightarrow X$  be obtained by gluing  $g$  and  $f$  along  $V \setminus \{p\}$ .

This  $\bar{f}$  is unique since  $X$  is sep'd.

### Projective spectrum

20.12.2018

Def. Graded ring:  $R = \bigoplus_{d=0}^{\infty} R_d$  decomposition into ab grps,  $R_d \cdot R_e \subseteq R_{d+e} \forall d, e \geq 0$ .

An elt  $f \in R_d$  is called homogeneous of degree d.

Ex.  $k[x_0, \dots, x_n]$ ,  $\deg x_i = a_i \geq 0$ , usually  $\forall \deg x_i = 1$ .

Ex.  $I \subseteq A$  homog ideal of a gr ring  $A \Rightarrow A/I$  gr ring too,  $(A/I)_d = A_d / I_d, I_d = I \cap A_d$ .

In fact,  $\bigoplus_{d=0}^{\infty} A_d / I_d \rightarrow A/I$  is injective iff  $I$  is homogeneous.

Ex.  $f \in A$  homogeneous  $\Rightarrow A_f$  is graded,  $(A_f)_d = \left\{ \frac{a}{f^e} \mid a \in A \text{ homog, } \deg a - e \deg f = d \right\}$

$A_f = \bigoplus_{d \in \mathbb{Z}} (A_f)_d$   $\mathbb{Z}$ -graded ring.

Graded localisation:  $A_{(f)} = (A_f)_0$ . Beware of this notation!

Ex.  $A = k[x_0, \dots, x_n]$ ,  $\deg x_i = 1$  (this is always how the degrees are given unless stated otherwise)

$$(A_{x_i})_0 = k[x_0, \dots, x_n, \frac{1}{x_i}]_0 = k\left[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right]$$

# Proj construction

A a graded ring,  $A_+ := \bigoplus_{d \geq 1} A_d$  augmentation ideal

$$\text{Proj } A = \{ \mathfrak{p} \subseteq A \mid \mathfrak{p} \text{ homogeneous prime ideal, } \mathfrak{p} \not\subseteq A_+ \}$$

Ex.1.  $A = k[X], \deg X = 1, A_+ = (X) \Rightarrow \text{Proj } A = \{(0)\}$

Ex.0.  $A = A_0, A_+ = (0) \Rightarrow \text{Proj } A = \emptyset$

Ex.2.  $A = k[x, y], k = \bar{k}, A_+ = (x, y)$

$$\text{Spec } A = \{(0)\} \sqcup \{ (f) \mid f \in k[x, y] \text{ irred} \} \sqcup \{ (x-a, y-b) \mid a, b \in k \}$$

↑  
homog.

↑

↑

homog. iff  $f$  is homog.

homog iff  $a=b=0$

Note that  $(x, y) \notin \text{Proj } A$  b/c  $(x, y) \supseteq A_+$ .

$$\Rightarrow \text{Proj } A = \{(0)\} \sqcup \{ (f) \mid f \in k[x, y] \text{ irred homog.} \}$$

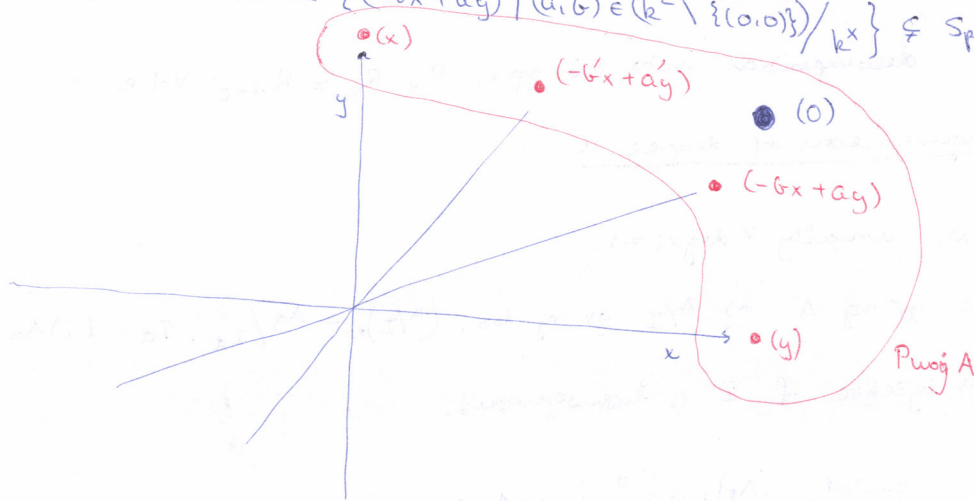
What are the irred homog elts of  $k[x, y]$ ?

Lemma. Let  $0 \neq f \in k[x, y]$ . Then  $f$  is irreducible + homogeneous  $\Leftrightarrow f = a_0x + a_1y$  for some  $a_0, a_1 \in k^2 \setminus \{(0, 0)\}$ . (We still assume  $k = \bar{k}$ .)

PF: Let  $f$  be homog + irred.  $\Rightarrow f = a_0x^d + \dots + a_{d-1}x^{d-1}y + a_dy^d$

$$\Rightarrow \hat{f} = a_0\left(\frac{x}{y}\right)^d + a_1\left(\frac{x}{y}\right)^{d-1} + \dots + a_d \text{ irred in } k\left[\frac{x}{y}\right] \Rightarrow \text{must be of deg } \leq 1.$$

$$\Rightarrow \text{Proj } A = \{(0)\} \sqcup \{ (-bx + ay) \mid (a, b) \in (k^2 \setminus \{(0, 0)\}) / k^\times \} \subseteq \text{Spec } A$$



Ex.3.  $A = \mathbb{R}[x, y] \rightarrow \text{Proj } A = \{(0)\} \sqcup \{ (-bx + ay) \mid (a, b) \in (\mathbb{R}^2 \setminus \{(0, 0)\}) / \mathbb{R}^\times \} \sqcup \{ (-bx + ay)(-\bar{b}x + \bar{a}y) \mid (a, b) \in (\mathbb{C} \setminus \mathbb{R})^2 / \mathbb{R}^\times \}$

Ex.4.  $A = k[x, y, z], k = \bar{k}$

$$\text{Spec } k[x, y, z] = \{(0)\} \sqcup \{ (f) \mid f \in A \text{ irred} \} \sqcup \{ \mathfrak{p} \subseteq k[x, y, z] \mid \text{codim } \mathfrak{p} = 2 \}$$

↑  
homog.

↑  
homog iff  $f$  is homog.

?

$$\sqcup \{ (x-a, y-b, z-c) \mid a, b, c \in k \}$$

Lemma.  $p$  is homog.  $\Leftrightarrow p = (bx-ay, cx-az, cy-bz) =: Pa, b, c$

PF: (Not entirely rigorous.)

If  $p$  homog then  $p = (f_1, \dots, f_r)$  for  $f_i$  homog polynomials

$$\Rightarrow f_i(t\underline{x}) = t^{\deg f_i} \cdot f_i(\underline{x}) \quad \forall t \in k$$

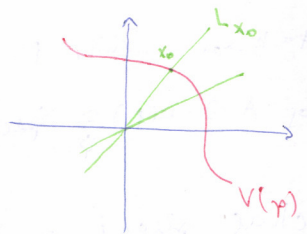
$$\underline{x} \in V(p) \Leftrightarrow f_i(\underline{x}) = 0 \quad \forall i$$

$$\Rightarrow f_i(t\underline{x}) = 0 \quad \forall i \quad \forall t$$

$$\Rightarrow t\underline{x} \in V(p) \quad \forall t$$

Now let  $x_0 \in V(p)$  be a closed pt.

$$L_{x_0} := \{tx_0 \mid t \in k\} \subseteq V(p). \text{ Since } V(p) \text{ is closed \& ined, } V(p) = L_{x_0}.$$



$$\Rightarrow \text{Proj } k[x, y, z] = \{0\} \sqcup \{(f) \mid f \in k[x, y, z] \text{ ined}\} \sqcup \{Pa, b, c \mid a, b, c \in (k^3 \setminus \{0\})/k^\times\}$$

Proj as a scheme

$$V(a) := \{p \in \text{Proj } A \mid a \in p\} \text{ for } a \in A \text{ any homog ideal}$$

Lemma.  $\{V(a) \mid a \in A \text{ homog}\}$  form the closed subsets of a topology on  $\text{Proj } A$ , called the Zariski topology.

$$D(f) := \{p \in \text{Proj } A \mid f \notin p\}$$

Lemma.  $\{D(f) \mid f \in A\}$  form a basis of the Zariski topology.

Consider the localisation map  $A \xrightarrow{\varphi} A_f \supseteq (A_f)_0$ .

Prop.  $\psi: D(f) \xrightarrow{\quad} \text{Spec}(A_f)_0$   
 $p \mapsto p A_f \mapsto (p A_f)_0 = p A_f \cap (A_f)_0$  is a homeomorphism.

PF: let  $q_r \in \text{Spec}(A_f)_0$ , and define  $\tilde{q}_r d := \left\{ a \in (A_f)_d \mid \frac{a \deg f}{f^d} \in q_r \right\}$   
 $\tilde{q}_r := \bigoplus_{d \in \mathbb{Z}} \tilde{q}_r d \subseteq A_f$  homogeneous prime ideal

$\vartheta: \text{Spec}(A_f)_0 \rightarrow D(f)$  We claim that  $\psi$  and  $\vartheta$  are inverse to each other.  
 $q_r \mapsto \psi^{-1}(\tilde{q}_r)$

$$\psi(\vartheta(q_r)) = \tilde{q}_r \cap (A_f)_0 = \left\{ a \in (A_f)_0 \mid \frac{a \deg f}{f^d} \in q_r \right\} = \left\{ a \in (A_f)_0 \mid a \in q_r \right\} = q_r \quad \checkmark$$

$$\vartheta(\psi(p)) \supseteq p: \text{ if } a \in p_d \text{ then } \frac{a \deg f}{f^d} \in q_r = \psi(p) \Rightarrow a \in \vartheta(\psi(p)) \quad \checkmark$$

$$\vartheta(\psi(p)) \subseteq p: \text{ if } a \in A_d \text{ and } \frac{a \deg f}{f^d} \in q_r \in \psi(p) \Rightarrow a \deg f \in p A_f \Rightarrow a \in p. \quad \checkmark$$

Hence  $\psi$  and  $\vartheta$  are bijections inverse to each other.

If  $g \in A$  homog and  $D(g) \subseteq D(f)$  then

$$p \in D(g) \Leftrightarrow g \notin p \Leftrightarrow g \notin p A_f \Leftrightarrow \frac{g \deg f}{f \deg g} \notin (p A_f)_0$$

$\Rightarrow \psi(D(g)) = D(\bar{g}) \in \text{Spec}(A_f)_0$ . Hence  $\psi$  is a homeo.

Ex.  $A = k[x_0, \dots, x_n]$ ,  $f = x_i$

$$\text{Proj } A \supseteq D(x_i) \xrightarrow{\psi} \text{Spec } k\left[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right] \quad \forall i \quad \Rightarrow \text{Proj } A = \bigcup_{i=0}^n D(x_i) \quad \text{covering.}$$

### Structure sheaf on Proj

$D(f) \cong \text{Spec}(A_f)_0$  homeo. If we want this to also respect the ring structure, it already gives us what the structure sheaf should be.

$$\mathcal{B} := \{D(f) \mid f \in A \text{ homog.}\}$$

$$\mathcal{O}_{\text{Proj } A}^{\mathcal{B}}(D(f)) := (A_f)_0 \quad \text{defines a presheaf on } \mathcal{B}.$$

Lemma. If  $D(g) \subseteq D(f)$  with  $g$  homog. then  $\mathcal{O}_{\text{Proj } A}^{\mathcal{B}}(D(g)) = \mathcal{O}_{\text{Spec}(A_f)_0}^{\mathcal{B}}(D(\bar{g}))$   
 where  $\bar{g} = \frac{g \deg f}{f \deg g}$ .

$$\text{PF: RHS} = (A_f)_0_{\bar{g}} = (A_f)_g)_0 = (A_g)_0$$

$\uparrow$   
 $g^m = f \cdot a$  for some  $m \geq 1$

Cor.  $\psi_* \mathcal{O}_{\text{Proj } A}^{\mathcal{B}}|_{D(f)} = \mathcal{O}_{\text{Spec}(A_f)_0}$  (considered as a sheaf on the basic opens of  $\text{Spec}(A_f)_0$ )

Hence  $\mathcal{O}_{\text{Proj } A}^{\mathcal{B}}$  is a sheaf on  $\mathcal{B}$ .

Def. The structure sheaf on Proj A is the sheaf associated to  $\mathcal{O}_{\text{Proj } A}^{\mathcal{B}}$ .

Lemma.  $(\text{Proj } A, \mathcal{O}_{\text{Proj } A})$  is a scheme.

$$\text{PF: } (D(f), \mathcal{O}_{\text{Proj } A}|_{D(f)}) \cong_{\text{LRS}} \text{Spec}(A_f)_0$$

Def.  $\mathbb{P}_R^n := \text{Proj } R[x_0, \dots, x_n]$  projective n-space over R.

$$\mathbb{P}_R^n = \bigcup_{i=0}^n D(x_i), \quad (D(x_i), \mathcal{O}_{\mathbb{P}_R^n}|_{D(x_i)}) \cong \text{Spec } R\left[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}\right] = \mathbb{A}_R^n$$

Thm.  $\mathbb{P}_R^n \rightarrow \text{Spec } R$  is proper. (Use VC)

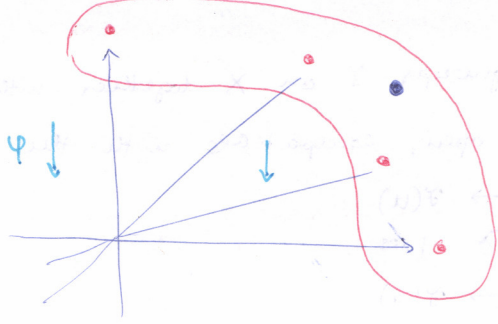
Ex.  $I \subseteq A$  homog  $\Rightarrow \text{Proj}(A/I) \hookrightarrow \text{Proj } A$  closed immersion

Ex. Warning: not every graded hom.  $\varphi: A \rightarrow B$  induces a morphism  $\text{Proj } B \rightarrow \text{Proj } A$ .

$$\begin{aligned} \varphi: k[x,y] &\longrightarrow k[x,y] \\ x &\longmapsto x \\ y &\longmapsto x \end{aligned}$$

$$\varphi^{-1}((-bx+ay)) = (0) \text{ if } a \neq 0$$

$$\text{but } \varphi^{-1}((x)) = (x,y) \notin \text{Proj } k[x,y]$$



Def:  $A = k[x_0, \dots, x_n]$  with  $\deg x_i = a_i$ . Then  $\mathbb{P}^n(a_0, \dots, a_n) := \text{Proj } A$  is the weighted projective space.

Ex.  $\mathbb{P}(1, 1, n)$ :  $D(x) \cong \text{Spec } k[x, y, z, \frac{1}{z}]_0 = \text{Spec } k[\frac{y}{x}, \frac{z}{x^n}] = \mathbb{A}_k^2$ ,  $D(y) \cong \mathbb{A}_k^2$ ,

$$D(z) = \text{Spec } k[x, y, z, \frac{1}{z}]_0 \quad x^a y^b z^c \in k[x, y, z, \frac{1}{z}]_0 \iff a, b \geq 0 \text{ \& \ } a+b+nc=0$$

$$\implies a+b \equiv 0 \pmod{n}. \text{ Let } X := \frac{x}{z^{1/n}}, Y := \frac{y}{z^{1/n}}.$$

$$\implies k[x, y, z, \frac{1}{z}]_0 = k[X^n, X^{n-1}Y, \dots, Y^n]$$

Case  $n=2$ :  $D(z) \cong \text{Spec } k[X^2, XY, Y^2] = \text{Spec } k[x_0, x_1, x_2] / x_1^2 = x_0 x_2$



Case  $n=3$ :  $\mathbb{C}[X^n, X^{n-1}Y, \dots, Y^n] = k[X, Y]_{\mathbb{Z}_0}$

$k = \mathbb{C}$

$$\implies \text{Spec } (\dots) \cong \mathbb{C} / \mathbb{Z}_0 \text{ (not defined)}$$



$\mathbb{Z}_0 \curvearrowright k[X, Y]$  by  $X \mapsto \zeta X, Y \mapsto \zeta Y$  where  $\zeta = \exp(2\pi i/n)$

Question: Why is  $A$  non-negatively graded in the def of  $\text{Proj}$ ?

Ex.  $A = \mathbb{C}[x, y]$ ,  $\deg x = 1, \deg y = -1$ .

"Proj"  $A := \{ \text{homog prime ideals in } \mathbb{C}[x, y] \}$

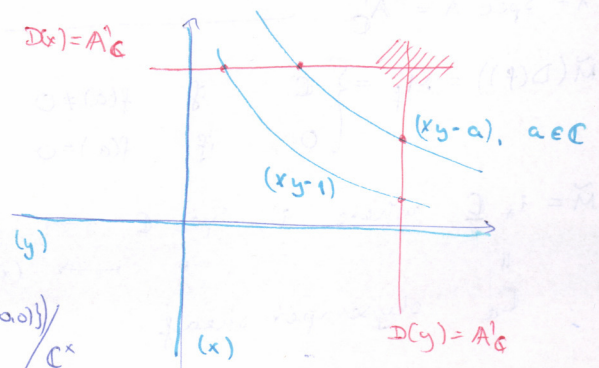
$\mathfrak{p} \subseteq \mathbb{C}[x, y]$  is a homog prime  $\iff$  invd closed subset of  $\mathbb{C}^2$ , invariant under  $t \cdot (x, y) = (tx, \frac{1}{t}y)$  for  $t \in \mathbb{C}^\times$

$$\text{"Proj" } A = \{0\} \sqcup \{(xy-a) \mid a \in \mathbb{C}\} \sqcup \{(x)\} \sqcup \{(y)\}$$

$$D(x) = \text{Spec } k[x, y, \frac{1}{x}]_0 = \text{Spec } k[xy] = \mathbb{A}_k^1$$

$$D(y) = \text{Spec } k[x, y] = \mathbb{A}_k^1$$

$$\implies \text{"Proj" } A = \mathbb{A}_k^1 \cup \mathbb{A}_k^1 = \text{---} : \text{---} = (\mathbb{C}^2 \setminus \{(0,0)\}) / \mathbb{C}^\times$$



Notation.  $\Gamma(U, \mathcal{F}) := \mathcal{F}(U)$

$$\Gamma(\mathcal{F}) := \Gamma(X, \mathcal{F}) = \mathcal{F}(X)$$

Let  $(X, \mathcal{O}_X)$  be a scheme.

Def. An  $\mathcal{O}_X$ -module on  $X$  is a sheaf of abelian groups  $\mathcal{F}$  on  $X$  together with an  $\mathcal{O}_X(U)$ -module structure on  $\mathcal{F}(U)$  for all  $U \in X$  open, compatible with the restriction morphisms, i.e.  $\forall V \subseteq U$ :

$$\begin{array}{ccc} \mathcal{O}_X(U) \times \mathcal{F}(U) & \longrightarrow & \mathcal{F}(U) \\ \cong \downarrow & & \downarrow \text{res} \\ \mathcal{O}_X(V) \times \mathcal{F}(V) & \longrightarrow & \mathcal{F}(V) \end{array}$$

Lemma. The category of  $\mathcal{O}_X$ -modules is abelian.

"PF": Most of this is immediate from the properties of sheaves of ab. gps, (which we haven't verified either).

Nb Ker, Coker,  $\oplus$  can be endowed with an  $\mathcal{O}_X$ -structure. This is done on presheaves first, then one has to sheafify and then diagrams like

$$\begin{array}{ccc} \mathcal{O}_X \times \text{Coker}^{\text{pre}} & \longrightarrow & \text{Coker}^{\text{pre}} \\ \downarrow \text{sh} & & \downarrow \text{sh} \\ \mathcal{O}_X \times \text{Coker} & \xrightarrow{\exists!} & \text{Coker} \end{array}$$

Key Example  $X = \text{Spec } A$ ,  $M$  an  $A$ -module. We define the module  $\tilde{M}$ .

$$\text{On basic open subsets, } \tilde{M}(D(f)) := M_f \quad (f \in A)$$

Prop/Def.  $\tilde{M}$  is a sheaf on the basic open subsets of  $X$ . Then let  $\tilde{M}$  denote the induced sheaf on  $X$  too.

PF: Basically the same as for  $\mathcal{O}_X$ , just with modules instead of rings.

On basic opens, define an  $\mathcal{O}_X$ -module structure:

$$\begin{array}{ccc} A_f \times M_f & \longrightarrow & M_f \\ \parallel & & \parallel \\ \mathcal{O}_X(D(f)) & & \tilde{M}(D(f)) \end{array}$$

Then endow  $\tilde{M}$  with the induced  $\mathcal{O}_X$ -module structure.

Ex.  $A = \mathbb{C}[x]$ ,  $M = \mathbb{C}$  with  $x$  acting by multiplication by  $a \in \mathbb{C}$

$$X = \text{Spec } A = \mathbb{A}_{\mathbb{C}}^1$$

$$\tilde{M}(D(f)) = M_f = \begin{cases} \mathbb{C} & \text{if } f(a) \neq 0 \Leftrightarrow (x-a) \in D(f) \\ 0 & \text{if } f(a) = 0 \Leftrightarrow (x-a) \notin D(f) \end{cases}$$

$$\begin{array}{ccc} \tilde{M} = i_* \mathbb{C} & \text{where } i: \text{Spec } \mathbb{C} \longrightarrow \mathbb{A}_{\mathbb{C}}^1 \\ \parallel & * \longmapsto (x-a) \\ \mathbb{C}_a & \text{skyscraper sheaf} \end{array}$$



Ex.  $M=A, \tilde{M}(D(f)) = A_f = \mathcal{O}_X(D(f)) \Rightarrow \tilde{A} = \mathcal{O}_X$

Thus this whole construction in fact generalises the structure sheaf construction for affine schemes.

What does  $\sim$  do with morphisms?

Let  $u: M \rightarrow N$  be an  $A$ -module homomorphism, and

let  $u_f: M_f \rightarrow N_f$  be the localised homomorphism.

We have

$$\begin{array}{ccc} M_f & \xrightarrow{u_f} & N_f \\ \downarrow \circlearrowleft & & \downarrow \\ M_g & \xrightarrow{u_g} & N_g \end{array}$$

whenever  $D(g) \subseteq D(f)$ , i.e.  $g = f^n \cdot a$   
 where the vertical arrow on the left sends  $\frac{m}{f^l} \mapsto \frac{m \cdot f^{n-l} \cdot a}{f^l \cdot f^{n-l} \cdot a}$

Let  $\tilde{u}: \tilde{M} \rightarrow \tilde{N}$  be the induced morphism of  $\mathcal{O}_X$ -modules. Since the  $u_f$ 's commute with restrictions, this  $\tilde{u}$  is well-defined.

Prop.  $\text{Hom}_A(M, N) \xrightarrow{\sim} \text{Hom}_{\mathcal{O}_X}(\tilde{M}, \tilde{N})$   
 $u \longmapsto \tilde{u}$

with the inverse map being  $\Gamma = \Gamma(X, -)$ :

for  $\varphi \in \text{Hom}(F, G), \Gamma(X, \varphi): \Gamma(X, F) \rightarrow \Gamma(X, G)$   
 $\parallel \quad \parallel$   
 $F(X) \quad G(X)$

Pf:  $\Gamma \circ \sim = \text{id}$  by construction.  $\checkmark$

Wts  $\sim \circ \Gamma = \text{id}$ : let  $\varphi \in \text{Hom}_{\mathcal{O}_X}(\tilde{M}, \tilde{N}), u := \Gamma(X, \varphi) \in \text{Hom}_A(M, N)$ .

Consider  $\tilde{u}: \tilde{M} \rightarrow \tilde{N}$ . For all  $f \in A$  we have a comm diag of  $A$ -modules

$$\begin{array}{ccc} M & \xrightarrow{u = \Gamma(\varphi)} & N \\ \downarrow & & \downarrow \\ M_f & \xrightarrow[\tilde{u}_{D(f)}]{\varphi_{D(f)}} & N_f \end{array}$$

for both arrows at the bottom. Hence  $\varphi_{D(f)} = \tilde{u}_{D(f)} \forall f$ , and thus  $\varphi = \tilde{u}$ .

Cor.  $\sim: A\text{-Mod} \hookrightarrow \mathcal{O}_X\text{-Mod}$ , i.e. the category of  $A$ -modules is equivalent to the category of  $\mathcal{O}_X$ -modules of the form  $\tilde{M}$ . (Recall  $X = \text{Spec } A$ .)

Lemma 1. 1)  $M \rightarrow N \rightarrow P$  is exact  $\Leftrightarrow \tilde{M} \rightarrow \tilde{N} \rightarrow \tilde{P}$  is exact

2) For  $u \in \text{Hom}(M, N)$ :  $\tilde{\text{Ker}}(u) = \text{Ker}(u),$   
 $\tilde{\text{Im}}(u) = \text{Im}(\tilde{u}),$   
 $\tilde{\text{Coker}}(u) = \text{Coker}(\tilde{u})$

3)  $\tilde{\bigoplus_i M_i} = \bigoplus_i \tilde{M}_i$

PF: 1)  $M \rightarrow N \rightarrow P$  exact  $\Leftrightarrow \forall p \in \text{Spec } A: M_p \rightarrow N_p \rightarrow P_p$  is exact  
 $\Leftrightarrow \tilde{M} \rightarrow \tilde{N} \rightarrow \tilde{P}$  is exact

2)  $0 \rightarrow \text{Ker}(u) \rightarrow M \xrightarrow{u} N \rightarrow 0$ . Apply  $\sim$  and 1):

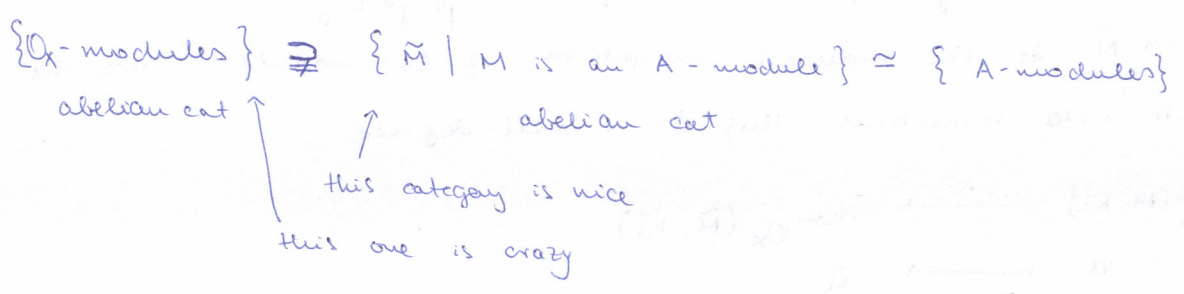
$$0 \rightarrow \tilde{\text{Ker}}(u) \rightarrow \tilde{M} \xrightarrow{\tilde{u}} \tilde{N} \rightarrow 0 \text{ is exact} \rightarrow \tilde{\text{Ker}}(u) = \text{Ker}(\tilde{u}).$$

Same for Im and Coker.

3) Exercise.

Prop. Using the Cor., the lemma is automatic: we have an equivalence of cats, they are both additive and one is abelian.

Let  $X = \text{Spec } A$ .



Ex. The above inclusion is proper:  $A := \mathbb{C}[x]$ ,  $X = A'_{\mathbb{C}}$ ,  $\mathcal{F}(U) := \begin{cases} \mathcal{O}_X(U) & \text{if } 0 \notin U \\ 0 & \text{if } 0 \in U \end{cases}$   
 $\Rightarrow \mathcal{F}$  is  $\mathcal{O}_X$  locally away from 0, but  $\mathcal{F}$  is 0 in every nbhd of 0.

This  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module, but  $\Gamma(X, \mathcal{F}) = 0$ , hence  $\mathcal{F} \neq \widetilde{\Gamma(X, \mathcal{F})}$ .



Now let  $X$  be an arbitrary scheme. The above inclusion will have the following generalisation:  $\mathcal{O}_X\text{-Mod} \cong \text{QCoh}(X)$

Thm/Def.  $X$  a scheme,  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. TFAE:

- (1)  $\forall U \subseteq X$  open affine:  $\mathcal{F}|_U \cong \tilde{M}$  for some  $\Gamma(U, \mathcal{O}_X)$ -module  $M$ .
- (2)  $X$  has an open affine cover  $\{U_i\}_i$  st.  $\mathcal{F}|_{U_i} \cong \tilde{M}_i$  for  $\Gamma(U_i, \mathcal{O}_X)$ -modules  $M_i$ .
- (3)  $\forall x \in X \exists x \in U \subseteq X$  open and an exact sequence of  $\mathcal{O}_X$ -modules

$$\mathcal{O}_X^{\oplus I}|_U \rightarrow \mathcal{O}_X^{\oplus J}|_U \rightarrow \mathcal{F}|_U \rightarrow 0 \text{ for some index sets } I, J.$$

(4)  $\forall U = \text{Spec } A \subseteq X$  open affine  $\forall f \in A: \Gamma(U, \mathcal{F})_f \xrightarrow{\text{res}_f} \Gamma(D(f), \mathcal{F})$  is an iso.

If (1-4) hold, we call  $\mathcal{F}$  quasi-coherent. Here  $\text{res}_f$  is the morphism induced by  $\text{res}$  on the localisation.

(1)  $\rightarrow$  (2) is clear.  $\checkmark$

(2)  $\rightarrow$  (3): let  $A_i := \Gamma(U_i, \mathcal{O}_X) = \mathcal{O}_X(U_i)$ .

Choose a resolution  $A_i^{\oplus I} \rightarrow A_i^{\oplus J} \rightarrow M_i \rightarrow 0$ .

Apply tilde:  $\tilde{A}_i^{\oplus I} \rightarrow \tilde{A}_i^{\oplus J} \rightarrow \tilde{M}_i \rightarrow 0$  is still exact

Since  $\tilde{A}_i = \mathcal{O}_X|_{U_i}$  and the  $U_i$  cover  $X$ , this shows (3).  $\checkmark$

Remark. In (3) we may shrink  $U$  and the sequence will still be exact.

(3)  $\rightarrow$  (4): Let  $U = \text{Spec } A$ ,  $f \in A$ . By the Remark  $U = \bigcup_{i=1}^m D(g_i)$  finite cover of basic open sets  $(g_i \in A)$  s.t.  $\mathcal{O}_U|_{D(g_i)} \xrightarrow{\alpha_i} \mathcal{O}_U|_{D(g_i)}^{\oplus J_i} \rightarrow \mathcal{F}|_{D(g_i)} \rightarrow 0$  for suitable  $I_i, J_i$ .

$\mathcal{O}_U|_{D(g_i)} = \tilde{A}_{g_i}$  and  $\alpha_i = \tilde{a}_i$  by Prop for

some  $a_i: A_{g_i}^{\oplus I_i} \rightarrow A_{g_i}^{\oplus J_i}$

Hence  $\mathcal{F}|_{D(g_i)} = \text{Coker}(a_i) \rightarrow \mathcal{F}|_{D(g_i)}(D(f)) = \mathcal{F}(D(g_i))_{\bar{f}}$  (\*)

where  $\bar{f}$  is the img of  $f$  in  $A_{g_i}$ .  $\mathcal{F}(D(g_i) \cap D(f))$



Applying the same argument for  $D(g_i) \cap D(g_j)$  we obtain:

$\mathcal{F}(D(g_i g_j) \cap D(f)) = \mathcal{F}(D(g_i g_j))_{\bar{f}}$  (\*\*)

We have an exact sequence

$0 \rightarrow \mathcal{F}(U)_{\bar{f}} \rightarrow \prod_{i=1}^m \mathcal{F}(D(g_i))_{\bar{f}} \rightarrow \prod_{i=1}^m \prod_{\substack{j=1 \\ j \neq i}}^m \mathcal{F}(D(g_i g_j))_{\bar{f}} \rightarrow 0$

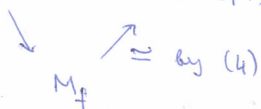
We may localise.

$0 \rightarrow \mathcal{F}(D(f)) \rightarrow \prod_{i=1}^m \mathcal{F}(D(g_i) \cap D(f)) \rightarrow \prod_{i \neq j} \mathcal{F}(D(g_i g_j) \cap D(f))$

This proves (4).  $\checkmark$

(4)  $\rightarrow$  (1):  $U = \text{Spec } A$ ,  $M = \Gamma(U, \mathcal{F})$ .

$\forall f \in A: M \xrightarrow{res} \mathcal{F}(D(f))$



$\Rightarrow \tilde{M} \xrightarrow{\sim} \mathcal{F}$  on a basis of basic open subsets on  $X$ , hence on  $X$  as well.  $\checkmark$

Cor. 1) Kernels, cokernels, images of morphisms of qcsh sheaves are qcsh.

2) Direct sums of qcsh sheaves are qcsh.

In particular, the full subcategory of qcsh  $\mathcal{O}_X$ -modules (in  $\mathcal{O}_X\text{-Mod}$ ) is abelian.  $\square$

Pf:  $0 \rightarrow \text{Ker}(\varphi) \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \text{Coker}(\varphi) \rightarrow 0$

Restrict to  $U = \text{Spec } A \subseteq X$  open affine.

$\mathcal{F}|_U = \tilde{M}$  where  $M = \Gamma(U, \mathcal{F})$

$\mathcal{G}|_U = \tilde{N}$  where  $N = \Gamma(U, \mathcal{G})$

$\varphi|_U = \tilde{\alpha}$  for some  $\alpha: M \rightarrow N$  by Prop.

$\rightarrow 0 \rightarrow \text{Ker}(\varphi)|_U \rightarrow \tilde{M} \xrightarrow{\tilde{\alpha}} \tilde{N} \rightarrow \text{Coker}(\varphi)|_U \rightarrow 0$

$\rightarrow \underbrace{\quad}_{= \text{Ker}(\alpha)} \quad \text{and} \quad \underbrace{\quad}_{= \text{Coker}(\alpha)}$

Ex.  $X$  a scheme,  $i: Z \hookrightarrow X$  a closed immersion.

Consider the exact sequence  $0 \rightarrow \mathcal{I}_Z \rightarrow \mathcal{O}_X \rightarrow i_* \mathcal{O}_Z \rightarrow 0$

where  $\mathcal{I}_Z$  is a sheaf of ideals.

$i$  is a closed immersion  $\square$

Prop.  $\mathcal{I} \subseteq \mathcal{O}_X$  any sheaf of ideals. Then  $\mathcal{I}$  is qcsh iff  $\mathcal{I} = \mathcal{I}_Z$  for some closed subscheme  $Z \subseteq X$ .

Pf: When  $X$  is affine,  $X = \text{Spec } A$ .

Suppose  $Z \subseteq X$  is a closed subscheme,  $Z = \text{Spec}(A/I)$

$\forall f \in A: (i_* \mathcal{O}_Z)(D(f)) = \mathcal{O}_Z(D(\bar{f})) = \underbrace{(A/I)_{\bar{f}}}_{= \tilde{A/I}(D(\bar{f}))}$  where  $\bar{f}$  is the img of  $f$  in  $A/I$

$\Rightarrow i_* \mathcal{O}_Z = \tilde{A/I}$  on  $\text{Spec } A$

$\Rightarrow \tilde{A} = \mathcal{O}_X, i_* \mathcal{O}_Z$  are qcsh  $\Rightarrow \mathcal{I}_Z$  is qcsh by Lemma 1.  $\checkmark$

Now let  $\mathcal{I}$  be qcsh, i.e.  $\mathcal{I} = \tilde{I}$  for some  $I \subseteq A$  ideal.

$\rightarrow 0 \rightarrow \tilde{I} \rightarrow \mathcal{O}_X \rightarrow \tilde{A/I} \rightarrow 0$

$\parallel$   
 $i_* \mathcal{O}_Z$  where  $Z = \text{Spec } A/I$  by the discussion above in this proof.

$\rightarrow \mathcal{I} = \tilde{I} = \mathcal{I}_Z$

Constructions on  $\mathcal{O}_X$ -modules

Tensor product.  $\mathcal{F}, \mathcal{G}$   $\mathcal{O}_X$ -modules, then  $\mathcal{F} \otimes \mathcal{G}$  is defined by:

$$(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}) := \left( u \mapsto \left( \mathcal{F}(u) \otimes_{\mathcal{O}_X(u)} \mathcal{G}(u) \right)^{\text{ph}} \right)$$

$\mathcal{O}_X(u)$ -module

If  $\mathcal{F}, \mathcal{G}$  are qcoh, so is  $\mathcal{F} \otimes \mathcal{G}$ .

$$(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})(u) = \mathcal{F}(u) \otimes_{\mathcal{O}_X(u)} \mathcal{G}(u) \quad \forall u \subseteq X \text{ open aff}$$

How sheaf  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})(u) := \text{Hom}_{\mathcal{O}_X|_u}(\mathcal{F}|_u, \mathcal{G}|_u)$

Dual  $\mathcal{F}^\vee := \text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{O}_X)$

Pushforward & pullback.  $f: X \rightarrow Y$ ,  $\mathcal{F}$  sheaf on  $X$ ,  $\mathcal{G}$  sheaf on  $Y$

pushforward:  $f_* \mathcal{F}$ , pullback:  $f^* \mathcal{G}$

$\mathcal{G}$  qcoh  $\Rightarrow f^* \mathcal{G}$  qcoh

$\mathcal{F}$  qcoh,  $f$  qcqs  $\Rightarrow f_* \mathcal{F}$  qcoh

Def. An  $\mathcal{O}_X$ -module is locally free if  $\forall x \in X \exists x \in U$  open nbhd and  $\exists I$  idx set,

$$\mathcal{F}|_U = \mathcal{O}_X|_U^{\oplus I}. \quad \text{Then } \text{rk}_x(\mathcal{F}) := \#I.$$

Note that  $\text{rk}_x(\mathcal{F})$  is locally constant on  $X$ .

1)  $\mathcal{F}(x) := \mathcal{F}_x / \mathfrak{m}_x \mathcal{F}_x$  where  $\mathfrak{m}_x \subset \mathcal{O}_{X,x}$ .  $\mathcal{F}(x)$  is a vector space /  $k(x)$  of dim =  $\#I$ .

$$2) \mathcal{O}_X|_U \cong \mathcal{O}_X|_U^{\oplus I} \Rightarrow \#I = \#I'$$

Rule. loc free  $\Rightarrow$  qcoh.  $U = \text{Spec } A, \mathcal{F}|_U = \mathcal{O}_X|_U^{\oplus I} = \tilde{A}^{\oplus I}$

Rule. later if  $\text{rk} < \infty$  and constant  $\rightarrow$  v.b

Def. invertible sheaf: loc free of constant  $\text{rk} = 1$ .

Rule. If  $\mathcal{L}, \mathcal{M}$  are invertible, so is  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{M}$ .

$$\mathcal{L}|_U \cong \mathcal{O}_X|_U \cong \mathcal{M}|_U, (\mathcal{L} \otimes \mathcal{M})|_U \cong \mathcal{O}_X|_U \otimes_{\mathcal{O}_X|_U} \mathcal{O}_X|_U \cong \mathcal{O}_X|_U$$

$$\mathcal{L}^\vee \otimes \mathcal{L} \cong \text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{L}) \cong \mathcal{O}_X \quad \text{exc.}$$

$\text{id} \mapsto 1$

Pic  $(X) := \{ \text{invertible sheaves on } X \}$  with  $\otimes$  and  $^{-\vee}$  is the Picard group of  $X$

Remark.  $\mathcal{L}$  is a locally free sheaf, so  $\Gamma(X, \mathcal{L})$ ,  $x \in X$

$\rho(x) := \text{img of } \rho \text{ under } \Gamma(X, \mathcal{L}) \longrightarrow \mathcal{L}_x \longrightarrow \mathcal{L}_x / m_x \mathcal{L}_x = \mathcal{L}(x)$

$\mathcal{D}(\rho) := \{x \in X \mid \rho(x) \neq 0\}$

Let  $x \in U \subseteq X$  be open s.t.  $\mathcal{L}|_U \xrightarrow{\varphi} \mathcal{O}_x|_U$ .

Let  $f_\rho := \varphi(\rho|_U) \in \mathcal{O}_x(U)$

$$\begin{array}{ccccc} \rho \in \Gamma(U, \mathcal{L}) & \longrightarrow & \mathcal{L}_x & \longrightarrow & \mathcal{L}(x) \ni \rho(x) \\ \varphi|_U \cong & & \cong & & \downarrow \varphi \\ \mathcal{O}_x(U) & \longrightarrow & \mathcal{O}_{x,x} & \longrightarrow & k(x) \end{array}$$

$\Rightarrow f_\rho(x) = \varphi_x(\rho(x)), \quad \mathcal{D}(\rho) \cap U = \mathcal{D}(f_\rho)$

$V(\rho) := \{x \in X \mid \rho(x) = 0\}$  is closed with subscheme structure defined by

$V(\rho) \cap U = V(f_\rho) = \text{Spec}(A/f_\rho)$  where  $U = \text{Spec } A$ .

(Note:  $V(\rho)$  is an eff Cart. div.)

Coherent sheaves

Def. An  $A$ -module  $M$  is

- finitely generated if  $\exists A^{\oplus r} \longrightarrow M, \quad r \geq 0$
- of finite presentation if  $\exists A^{\oplus s} \longrightarrow A^{\oplus r} \longrightarrow M \longrightarrow 0$  exact,  $r, s \geq 0$
- coherent if fin gen and  $\forall \varphi: A^{\oplus r} \longrightarrow M$  morphism,  $\text{Ker } \varphi$  is fin gen.

Prop. coherent  $\Rightarrow$  fin pres  $\Rightarrow$  fin gen, and we have equivalence if  $A$  is noeth.  $\square$

Def. A coherent sheaf  $\mathcal{F}$  is of finite type / of finite presentation / coherent if

$\forall U \subseteq X$  affine open:  $\mathcal{F}(U)$  is oft/offp/coh.

Prop.  $X$  noeth  $\Rightarrow$  oft = offp = coh.  $\square$

Prop. For any  $X$ ,  $\text{Coh}(X)$  is abelian.  $\square$

Def. Supp  $\mathcal{F} := \{x \in X \mid \mathcal{F}_x \neq 0\}$

Lemma.  $\mathcal{F}$  coh oft  $\Rightarrow$  Supp  $\mathcal{F}$  is closed.

PF:  $U$  open affine,  $\varphi: \mathcal{O}_x(U)^{\oplus r} \longrightarrow \mathcal{F}(U)$ . Let  $m_i := \varphi(e_i)$  where  $e_i = (0, \dots, 1, \dots, 0)$

$\text{Supp } \mathcal{F} = \{x \in X \mid m_{i,x} \neq 0 \text{ for some } i = 1, \dots, r\} = \bigcup_{i=1}^r \text{Supp } m_i = \bigcup_{i=1}^r \underbrace{V(\text{Ann } m_i)}_{\substack{\text{fin} \\ \text{closed}}}$

$\mathcal{O}_{x,x}^r \longrightarrow \mathcal{F}_x$

Quasicoherent sheaves on Proj A

$$A = \bigoplus_{d \geq 0} A_d, \quad X = \text{Proj } A = \{A_+ \not\subseteq p \subseteq A \text{ homog. prime}\}, \quad D(f) = \{p \in \text{Proj } A \mid f \notin A_p\} \simeq \text{Spec}(A_f)_0 \text{ open subsch.}$$

$$M = \bigoplus_{d \geq 0} M_d \text{ graded } A\text{-module } (A_d \cdot M_e \subseteq M_{d+e})$$

$$\tilde{M}(D(f)) := (M_f)_0 \text{ on basic opens}$$

$$D(g) \subset D(f) \text{ for } f, g \in A_+ \Rightarrow g \in \sqrt{(f)}, \quad g^n = f \cdot a, \quad a \in A$$

$$\begin{aligned} \tilde{M}(D(f)) = (M_f)_0 &\longrightarrow (M_g)_0 = \tilde{M}(D(g)) \quad \text{restriction map} \\ \frac{m}{f^k} &\longmapsto \frac{m \cdot a^k}{f^k \cdot a^k} = \frac{m a^k}{g^k} \end{aligned}$$

Lemma:  $\tilde{M}$  is a sheaf of the basic opens of Proj A.

Def.  $\tilde{M} :=$  the induced sheaf on Proj A with canonical  $\mathcal{O}_X$ -module structure defined by  $(A_f)_0 \times (M_f)_0 \rightarrow (M_f)_0$ .

$$\begin{aligned} \text{Pf: } D(g) \subset D(f), \quad f, g \in A_+ &\Rightarrow \tilde{M}(D(g)) = (M_g)_0 \cong \widetilde{(M_f)_0}_{\bar{g}} = (M_f)_0(D(g)) \\ &\bar{g} = \frac{g^{\deg f}}{f^{\deg g}} \end{aligned}$$

$$\Rightarrow \tilde{M}|_{D(f)} = \widetilde{(M_f)_0} \quad (*)$$

$\Rightarrow \tilde{M}|_{D(f)}$  is a sheaf on the basic opens of Spec  $(A_f)_0$ .

Since (basic opens of Proj A) =  $\bigcup_{f \in A_+} (\text{basic opens of Spec } (A_f)_0)$ , this completes the pf.

Lemma:  $\tilde{M}$  is qcoh.

Pf: (\*) & Tw/Deg from Lec. 22.

Ex.  $M = A, \quad \tilde{M} = \mathcal{O}_{\text{Proj } A}$

Ex. 2  $A = \mathbb{C}[x, y], \quad X = \text{Proj } A = \mathbb{P}^1_{\mathbb{C}}, \quad M = \mathbb{C}[x, y] / (ax + by, x^2, xy, y^2)$

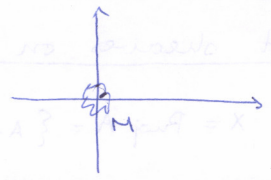
$$\begin{aligned} \tilde{M}(D(x)) = (M_x)_0 &= 0 \quad \text{since } M_x = 0 \text{ b/c } x \text{ acts nilpotently} \\ \tilde{M}(D(y)) &= 0 \end{aligned} \quad \left. \vphantom{\begin{aligned} \tilde{M}(D(x)) = (M_x)_0 \\ \tilde{M}(D(y)) = 0 \end{aligned}} \right\} \Rightarrow \tilde{M} = 0.$$

More gen.: if  $\exists N$  s.t.  $M_d = 0 \quad \forall d \geq N$ , then (for any A):  $\tilde{M} = 0$ . because of the same argument;  $\tilde{M}(D(f)) = (M_f)_0$ .

Intuition.  $k = \mathbb{C}$ ,  $A = \mathbb{C}[x, y]$ , " $\mathbb{P}^1 = \mathbb{C}^2 \setminus \{0\} / \mathbb{C}^\times$ "

If  $\forall d \geq N$ ,  $M_d = 0$  then  $m^N \cdot M = 0$ ,  $m = (x, y)$

$\Rightarrow M$  supported at  $(0, 0)$



"something crazy sits at the origin"

Before quotienting we take out  $\{0\}$ , so we lose info about  $M$ .

Intuition.  $\text{Gr } A\text{-Mod} \xrightarrow{\sim} A\text{-Mod with } \mathbb{C}^\times\text{-action}$

$$(M = \bigoplus M_d) \longmapsto (M, t \in \mathbb{C} \text{ acts on } M_d \text{ by } t^d)$$

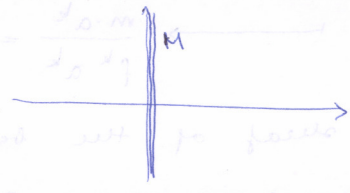
$M_0$ : invariants of the  $\mathbb{C}^\times$ -action

$(M_f)_0$ : " " " " on  $D(f)$

Ex. 2. (continued)  $M := \mathbb{C}[y] = \mathbb{C}[x, y] / (x)$

$$\tilde{M}(D(x)) = (M_x)_0 = 0$$

$$\tilde{M}(D(y)) = (\mathbb{C}[y]_y)_0 = \mathbb{C} \Rightarrow M \text{ is the skyscraper sheaf on } \mathbb{P}^1 \text{ at } (x, y) = (0, 1)$$



Lemma.  $M \rightarrow \tilde{M}$ ,  $(u: M \rightarrow N) \mapsto (\tilde{u}: \tilde{M} \rightarrow \tilde{N})$  where  $\tilde{u}$  is induced by

$(u_f)_0: (M_f)_0 \rightarrow (N_f)_0$ . This defines an exact functor  $(\text{Gr } A\text{-Mod}) \rightarrow (\text{QCoh}(\mathbb{P}^1/A))$

$P_f: M \rightarrow N \rightarrow P$  exact

$$\rightarrow M_f \rightarrow N_f \rightarrow P_f \quad "$$

$$\rightarrow (M_f)_0 \rightarrow (N_f)_0 \rightarrow (P_f)_0 \quad "$$

$$\rightarrow (\tilde{M}_f)_0 \rightarrow (\tilde{N}_f)_0 \rightarrow (\tilde{P}_f)_0 \quad "$$

$$\rightarrow \tilde{M} \rightarrow \tilde{N} \rightarrow \tilde{P} \quad "$$

Ex.  $I = (x) \rightarrow 0 \rightarrow I \rightarrow \mathbb{C}[x, y] \rightarrow \mathbb{C}[y] \rightarrow 0$

$$\Rightarrow 0 \rightarrow \tilde{I} \rightarrow \mathcal{O}_X \rightarrow \mathbb{C}_{[0,1]} \rightarrow 0$$

invertible sheaf of ideals,  $\cong \mathcal{O}_X(-1)$ , see below

Some twisting sheaves

$X = \mathbb{P}^1/A$ ,  $M$  gr mod,  $n \in \mathbb{Z}$

n-twisted module:  $M(n) = \bigoplus_{d \in \mathbb{Z}} M(n)_d$  where  $M(n)_d = M_{n+d}$

$$\left. \begin{array}{l} \text{Ex. } A = \mathbb{C}[x, y], \quad A(2)_{-3} = 0, \quad A(2)_{-2} = \mathbb{C}, \quad A(2)_{-1} = \mathbb{C}[x, y] \\ M = (x) \quad M_0 = 0 = A_{-1}, \quad M_1 = \mathbb{C} = A_0, \dots \end{array} \right\} M \cong A(-1)$$

Def.  $\mathcal{O}_X(n) := \tilde{A}(n)$



$\mathcal{O}_X(D(f)) = \mathcal{O}_X(n) \otimes (D(f)) = (A_f)_0 = (A_f)_n$

If  $\deg f | n$ :  $(A_f)_n \xrightarrow{\frac{a}{f^k}} (A_f)_0$

$\Rightarrow \mathcal{O}_X(n)|_{D(f)} = \widetilde{(A_f)_n} \simeq \widetilde{(A_f)_0} = \mathcal{O}_X|_{D(f)}$ , so  $\mathcal{O}_X(n)|_{D(f)} \simeq \mathcal{O}_X|_{D(f)}$  (\*)

Prop. A gr ng gen by  $A_1$  over  $A_0$ .

a)  $\forall n$ :  $\mathcal{O}_X(n)$  inv'ble sheaf.

b)  $\forall M$  gr  $A$ -mod:  $\widetilde{M}(n) \simeq \widetilde{M} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$

In particular,  $\mathcal{O}_X(m) \otimes \mathcal{O}_X(n) = \mathcal{O}_X(m+n)$

c)  $\mathcal{O}_X(n)^\vee = \mathcal{O}_X(-n)$

Def. For an  $\mathcal{O}_X$ -module  $F$ , let  $F(n) = F \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$ . Then  $\widetilde{F}(n) = \widetilde{F(n)}$  (by b).

Def.  $\mathbb{Z} \xrightarrow{\varphi} \text{Pic } X$  later:  $\varphi$  iso. for  $X = \mathbb{P}_k^n$ .  
 $n \mapsto \mathcal{O}_X(n)$

PF: a) Proj  $A = \bigcup_{f \in A_+} D(f)$  since  $A$  is gen by  $A_1$  (The complement is:

$(\bigcup D(f))^c = V(f | f \in A_+) = \{P | A_+ \subseteq P\} = \{P | A_+ \subseteq P\} = \emptyset$ )

(\*)  $\Rightarrow \mathcal{O}_X(n)|_{D(f)} \simeq \mathcal{O}_X|_{D(f)} \Rightarrow \mathcal{O}_X(n)$  loc free of rk 1. ✓

Ex. 1)  $\text{Pic}(X) =$  gp of inv'ble sheaves up to iso

2) A locally free sheaf of rk  $r < \infty$  need not be coherent (if  $X$  is not noetherian)

An  $A$ -mod  $M$  is coherent  $\Leftrightarrow M$  fin gen &  $\forall \varphi: A^r \rightarrow M$ : Ker  $\varphi$  is fin gen

Ex.  $k[x_0, x_1, x_2, \dots] / (x_i x_j, i, j \geq 1) =: A$ .  $M := A$  free

$\varphi: A \rightarrow M$   
 $p \mapsto x_1 \cdot p$   
 Ker  $\varphi = \bigoplus_{i=1}^{\infty} kx_i$  is not fin gen

We remove the PROOF:

b) We show  $\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} = \widetilde{M \otimes_A N}$  for any graded  $A$ -modules  $M, N$

$\forall f \in A_+$  homogeneous:  $(\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N})(D(f)) = \widetilde{M}(D(f)) \otimes_{\mathcal{O}_X(D(f))} \widetilde{N}(D(f))$  by PSet 8

$= (M_f)_0 \otimes_{(A_f)_0} (N_f)_0$  by def

$(\widetilde{M \otimes_A N})(D(f)) = ((M \otimes_A N)_f)_0$   
 $\downarrow$   
 $\frac{m}{f^k} \otimes \frac{n}{f^l} \downarrow$   
 $\frac{mn}{f^{k+l}}$

This map (\*) induces a morphism of sheaves

$$\varphi: \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} \longrightarrow \widetilde{M \otimes_A N}$$

If  $\deg f = 1$  then  $\varphi|_{D(f)}$  is an iso with the inverse being

$$\frac{m}{f^{\deg m}} \otimes \frac{n}{f^{\deg n}} \longleftarrow \frac{mn}{f^c}$$

$\text{Proj } A = \bigcup_{f \in A} D(f) \Rightarrow \varphi$  is an iso  $\Rightarrow (*)$  is an iso.  $\checkmark$

c)  $\mathcal{O}_X(n) \otimes \mathcal{O}_X(-n) = \mathcal{O}_X$  by b)

$$\underbrace{\mathcal{O}_X(n) \otimes \mathcal{O}_X(n)^{\vee}}_{\mathcal{O}_X} \otimes \mathcal{O}_X(-n) \cong \mathcal{O}_X \otimes \mathcal{O}_X(-n) = \mathcal{O}_X(-n) \Rightarrow \mathcal{O}_X(n)^{\vee} = \mathcal{O}_X(-n)$$

$$\sim: \text{Gr } A\text{-Mod} \longrightarrow \text{QCoh}(\text{Proj } A)$$

Assumption (t):  $A$  is gen'd by fin many elts of  $A_1$  over  $A_0$

Given an  $\mathcal{O}_X$ -module  $\mathcal{F}$  on  $\text{Proj } A$

$$\Gamma_*(\mathcal{F}) = \bigoplus_{n \in \mathbb{Z}} \Gamma(\text{Proj } A, \mathcal{F}(n)), \quad \mathcal{F}(n) = \mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$$

$$\varphi: \mathcal{F} \rightarrow \mathcal{G}, \quad \Gamma_*(\varphi) = \bigoplus_{n \in \mathbb{Z}} \varphi(n)$$

$$\Gamma(\varphi(n)): \Gamma(\text{Proj } A, \mathcal{F}(n)) \longrightarrow \Gamma(\text{Proj } A, \mathcal{G}(n))$$

Given  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ , we get  $\varphi(n): \mathcal{F}(n) \xrightarrow{\varphi \otimes \text{id}_{\mathcal{O}_X(n)}} \mathcal{G}(n)$

$$\Rightarrow \Gamma(\varphi(n)): \Gamma(\mathcal{F}(n)) \longrightarrow \Gamma(\mathcal{G}(n)),$$

$$\Rightarrow \Gamma_*(\varphi) := \bigoplus_n \Gamma(\varphi(n))$$

Thm. Under (t), for every qcsh  $\mathcal{F}$  on  $\text{Proj } A$  there is a natural iso

$$\widetilde{\Gamma_*(\mathcal{F})} \longrightarrow \mathcal{F}$$

Cor. Under (t), every  $\mathcal{F} \in \text{QCoh}(\text{Proj } A)$  is of the form  $\widetilde{M}$  for some fin-gen  $A$ -module  $M$ .

PF: Let  $f \in A$  homog.

$$\widetilde{\Gamma_*(\mathcal{F})}(D(f)) \longrightarrow \Gamma(D(f), \mathcal{F})$$

$$\left( \frac{m}{f^k} \right)_0 \xrightarrow{\frac{m}{f^k}} m|_{D(f)} \otimes f^k \xrightarrow{(*)} f^{-k} \cdot m$$

$$\frac{\Gamma(X, \mathcal{F}(n))}{f^k}$$

$$\Gamma(D(f), \mathcal{F}(n) \otimes \mathcal{O}_X(-n))$$

$$f^k \in \Gamma(D(f), \mathcal{O}_X(-n)) = (A_f)_{-n}$$

(\*) is induced by  $\mathcal{O}_X(n) \otimes \mathcal{O}_X(-n) \rightarrow \mathcal{O}_X$

$$= \left\{ \frac{m}{f^k} \mid m \in \Gamma_*(\mathcal{F})_n = \Gamma(\mathcal{F}(n)), n = \deg(f) \cdot k \right\}$$

This induces a morphism  $\Gamma_*(\mathcal{F}) \xrightarrow{\beta} \mathbb{A}^1$ .

By the lemma,  $\mathcal{F}$  qcsh  $\Rightarrow \beta$  iso. (1)  $\Rightarrow \beta$  inj, (2)  $\Rightarrow \beta$  surj.)

Lemma.  $X$  qcqs scheme,  $\mathcal{L}$  involbe sheaf,  $s \in \Gamma(X, \mathcal{L})$ ,  $D(s) = \{x \in X \mid s(x) \neq 0 \in \mathcal{L}_x / \mathfrak{m}_x \mathcal{L}_x\}$ ,  $\mathcal{F}$  qcsh  $\mathcal{O}_X$ -module.

1) Let  $t \in \Gamma(X, \mathcal{F})$  s.t.  $t|_{D(s)} = 0$ . Then  $\exists n > 0$  s.t.  $t \otimes s^n = 0 \in \Gamma(X, \mathcal{F} \otimes \mathcal{L}^n)$

2) Let  $t' \in \Gamma(D(s), \mathcal{F})$ . Then  $\exists n > 0, \exists t \in \Gamma(X, \mathcal{F} \otimes \mathcal{L}^n)$  s.t.  $t|_{D(s)} = t' \otimes s^n$ .

Prop.  $X$  qcqs  $\Rightarrow X$  covered by fin many open affines, and the intersection of any two such sets is covered by fin many open affines.

Pr OF LEMMA.  $X = \bigcup_{i=1}^n U_i$ ,  $U_i$  open aff s.t.  $\mathcal{L}|_{U_i} \xrightarrow{\cong} \mathcal{O}_{U_i}$ ,  $\varphi_i(s|_{U_i}) =: s_i$

$\mathcal{F}$  qcsh  $\Rightarrow \mathcal{F}(U_i)_{s_i} \xrightarrow{\text{res}_{s_i}} \mathcal{F}(D(s_i)) = \mathcal{F}(D(s) \cap U_i)$  (\*)

1) Let  $t_i := t|_{U_i}$ . By assumption,  $t_i|_{U_i \cap D(s)} = 0$ .

By (\*):  $t_i = 0$  in  $\mathcal{F}(U_i)_{s_i}$

$\Rightarrow t_i \cdot s_i^{n_i} = 0$  for some  $n_i \geq 1$

$\Rightarrow t \otimes s^n = 0$  for  $n = \max_i n_i$  (we use qc here). Note that 1) holds w/o qc.

2) By (\*):  $\exists!$   $t_i \in \mathcal{F}(U_i)$  s.t.  $t_i|_{D(s) \cap U_i} = t'|_{D(s) \cap U_i} \cdot s_i^{m_i}$  for some  $m_i \geq 1$

Multiply both sides by a power of  $s_i \Rightarrow$  wma  $m_i = m \forall i$ . for some  $m \geq 1$

$\Rightarrow t_i|_{D(s) \cap U_i \cap U_j} = t_j|_{D(s) \cap U_i \cap U_j}$  we use qc here: the intersection is qc

1) applied to  $(U_i \cap U_j, U_i \cap U_j \cap D(s)) \Rightarrow \exists N \geq 1: t_i \otimes s^N|_{U_i \cap U_j} = t_j \otimes s^N|_{U_i \cap U_j}$

gluing  $\Rightarrow \exists t \in \Gamma(X, \mathcal{F} \otimes \mathcal{L}^{m+N})$  s.t.  $t|_{U_i} = t_i \otimes s^N$

$\Rightarrow t|_{U_i \cap D(s)} = t_i \otimes s^N|_{U_i \cap D(s)} = t'| \otimes s^{m+N} \Rightarrow t|_{D(s)} = t' \otimes s^{m+N}$

Application.  $A = k[x_0, \dots, x_n]$ ,  $\deg x_i = 1$ ,  $\text{Proj } A = \mathbb{P}_k^n$

Every closed subscheme  $Z \subseteq \mathbb{P}_k^n$  is of the form  $\text{Proj } (A/I) \subseteq \mathbb{P}_k^n$  for some homogeneous ideal  $I \subseteq A$ .

Fact 1.  $\Gamma(\mathbb{P}_k^n, \mathcal{O}(1)) = k[x_0, \dots, x_n]_d$

Fact 2.  $\Gamma(X, -)$  is left exact

} exercise

F of APPL:  $Z \subseteq \mathbb{P}^n_k$  closed

$$0 \longrightarrow \mathcal{I}_Z \xrightarrow{\text{quot}} \mathcal{O}_X \longrightarrow \mathcal{O}_Z \longrightarrow 0$$

$\Gamma(X, -)$  left exact (fact 2)

$$0 \longrightarrow \Gamma_*(\mathcal{I}_Z) \longrightarrow \Gamma_*(\mathcal{O}_X) \longrightarrow \Gamma_*(\mathcal{O}_Z)$$

$$0 \longrightarrow \begin{matrix} \text{ideal} \\ \mathcal{I} \end{matrix} \subseteq \begin{matrix} \text{Fact 1.} \\ A \end{matrix} \longrightarrow A/\mathcal{I} \longrightarrow 0$$

$$0 \longrightarrow \tilde{\mathcal{I}} \longrightarrow \mathcal{O}_X \longrightarrow \tilde{A/\mathcal{I}} \longrightarrow 0$$

$\tilde{\cdot}$  is exact

$$\begin{array}{ccccccc} & & \Gamma_*(\mathcal{I}_Z) & & & & \\ & & \parallel & & & & \\ & & \mathbb{R} & & & & \\ 0 & \longrightarrow & \mathcal{I}_Z & \longrightarrow & \mathcal{O}_X & \longrightarrow & i_* \mathcal{O}_X \longrightarrow 0 \end{array}$$

$$\Rightarrow \tilde{A/\mathcal{I}} \cong i_* \mathcal{O}_X \Rightarrow \bigcup_{\text{Set}} V(\mathcal{I}) = Z \Rightarrow Z \cong \text{Proj}(A/\mathcal{I})$$

### Locally free sheaves & vector bundles

Def. Vector bundle of rank  $r$  on  $X$ : a morphism of schemes  $\pi: E \rightarrow X$

s.t. there is an open cover  $X = \bigcup_{i \in I} U_i$  and  $\exists \varphi_i$  isos,

$$E|_{U_i} = \pi^{-1}(U_i) \xrightarrow{\varphi_i} U_i \times \mathbb{A}^r$$

s.t. the transition functions  $\varphi_{ji}$  are linear over  $U_{ij} = U_i \cap U_j$

$$U_{ij} \times \mathbb{A}^r \xleftarrow{\varphi_{ji}|_{U_{ij}}} E|_{U_{ij}} \xrightarrow{\varphi_i|_{U_{ij}}} U_{ij} \times \mathbb{A}^r$$

Here  $\varphi_{ji}$  is called linear over  $U_{ij}$  if  $\forall$  open affine  $V = \text{Spec } A \subseteq U_{ij}$

the map  $\varphi_{ji}|_V: V \times \mathbb{A}^r \rightarrow V \times \mathbb{A}^r$  is induced by

$$A[x_1, \dots, x_r] \longrightarrow A[x_1, \dots, x_r] \text{ for some } a_{kl} \in A.$$

$$x_k \longmapsto \sum_l a_{kl} x_l$$

Rule,  $a_{kl} = f_{kl}|_V$  for some  $f_{kl} \in \mathcal{O}_X(U_{ij})$

We say  $\varphi_{ji}$  is given by  $\Phi_{ji} = (f_{kl})_{k,l} \in \text{GL}_r(\mathcal{O}_X(U_{ij}))$

Rule. We have  $\varphi_{ki} = \varphi_{kj} \circ \varphi_{ji}$ ,  $\varphi_{ij} = \varphi_{ji}^{-1} \forall i, j, k$ . (\*)

Conversely, given transition functions  $\varphi_i$  with these properties,

we obtain a vb

$$E := \coprod_{i \in I} (U_i \times \mathbb{A}^r) / \sim \varphi_{ij}$$

Similarly, let  $\mathcal{E}$  be a locally free sheaf of rank  $r$ .

$\rightarrow \exists$  cover  $X = \cup U_i, \varphi_i: \mathcal{E}|_{U_i} \xrightarrow{\sim} \mathcal{O}_{U_i}^{\oplus r}$

$$\mathcal{O}_{U_j}^{\oplus r} \xleftarrow[\sim]{\varphi_j} \mathcal{E}|_{U_j} \xrightarrow[\sim]{\varphi_i} \mathcal{O}_{U_{ij}}^{\oplus r}$$

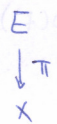
$\varphi_{ji}$  is  $\mathcal{O}_X$ -linear, so  $\mathcal{O}_{U_{ij}}^{\oplus r} \xrightarrow{\varphi_{ji}} \mathcal{O}_{U_{ij}}^{\oplus r}$

$$e_k \longmapsto \sum_{\ell} b_{k\ell} e_{\ell} \quad b_{k\ell} \in \mathcal{O}_X(U_{ij})$$

$B_{ij} = (b_{k\ell}) \in GL_r(\mathcal{O}_X(U_{ij}))$  determines  $\varphi_{ji}$

As before, we can recover  $\mathcal{E}$  from the  $\varphi_{ji}$ .

$$\left\{ \begin{array}{l} \text{set of rank } r \text{ over } X \\ \text{up to iso} \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{transition functions} \\ \text{satisfying } (*), \text{ linear} \\ \text{up to iso} \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{loc free sheaves of rank } r \\ \text{on } X \text{ up to iso} \end{array} \right\}$$



$\mathcal{E}$  is the sheaf assoc to  $U \mapsto \text{Hom}_U(U, \mathcal{E})^{\vee}$   
 $\mathcal{O}_X(U)$ -module

The fact that this is an iso will be shown in lecture 24.

Ex.  $P^1 = \text{Proj } A, A = \mathbb{C}[x, y], \mathcal{O}_X(U) = \widehat{A}(U), U_0 = D(x), U_1 = D(y)$

$$\mathcal{O}_X(U)(D(x)) = \mathbb{C}[x, \frac{1}{x}, y]_n = x^n \mathbb{C}[\frac{y}{x}] \xrightarrow{\cdot 1/x^n} \mathbb{C}[\frac{y}{x}] = (A_x)_0 = \mathcal{O}_X(D(x))$$

$$\mathcal{O}_X(U)(D(y)) = y^n \mathbb{C}[\frac{x}{y}] \xrightarrow{\cdot 1/y^n} \mathbb{C}[\frac{x}{y}] = \mathcal{O}_X(D(y))$$

On  $D(x) \cap D(y)$ , let  $\gamma := \frac{y}{x}$

$$\mathbb{C}[\gamma]_{\gamma} \xleftarrow[\varphi_1 = (\cdot \frac{1}{y^n})]{\mathbb{C}[x^{\pm 1}, y^{\pm 1}]_n} \mathcal{O}_X(U)(D(xy)) \xrightarrow[\varphi_0 = (\cdot \frac{1}{x^n})]{\mathbb{C}[\gamma]_{\gamma} = \mathbb{C}[\gamma, \gamma^{-1}]}$$

$$\varphi_{10}(a) = \frac{x^n}{y^n} \cdot a = \left(\frac{x}{y}\right)^n \cdot a \Rightarrow \varphi_{10} \mathcal{O}_X(U) = \text{multiplication by } \left(\frac{x}{y}\right)^n$$

Relative Spec construction

$B$  good  $\mathcal{O}_X$ -algebra, i.e. a good  $\mathcal{O}_X$ -module s.t.  $B(U)$  is endowed with an  $\mathcal{O}_X(U)$ -module structure  $\forall U \subseteq X$  opens, compatible with restrictions.

Prop.  $\exists$  Spec  $B$  scheme and  $\pi: \text{Spec } B \rightarrow X$  s.t.  $\forall f: T \rightarrow X$  there are bijections

$$\text{Hom}_X(T, \text{Spec } B) = \text{Hom}_{\mathcal{O}_X\text{-alg}}(B, f_* \mathcal{O}_T)$$

functorial in  $T$ .

This is a representability statement: it says that  $\text{Spec } B$  represents

$$\text{the functor } T/X \rightarrow \text{Hom}_{\mathcal{O}_X\text{-alg}}(B, f_* \mathcal{O}_T)$$

Lemma.  $X = \text{Spec } A$ ,  $\mathcal{F}$   $\mathcal{O}_X$ -mod.,  $M$   $A$ -mod. Then

$$\text{Hom}_{\mathcal{O}_X}(\tilde{M}, \mathcal{F}) \xrightarrow{\Gamma(\text{Spec } A, -)} \text{Hom}_{A\text{-mod}}(M, \mathcal{F}(X)) \text{ is an isomorphism.}$$

Pf: Inverse given for  $u \in \text{Hom}(M, \mathcal{F}(X))$  by  $u_f: M_f \xrightarrow{(\text{res}_{D(f)} \circ u)_f} \mathcal{F}(D(f))$ ,

these glue together to  $\tilde{u}$ .

To show that this is an inverse, consider

$$\begin{array}{ccc} M & \longrightarrow & \mathcal{F}(X) \\ \downarrow & & \downarrow \\ M_f & \xrightarrow{\exists!} & \mathcal{F}(D(f)) \end{array}$$

(This proof is essentially identical to the one given for good modules.)

Pf of Prop: Affine case.  $X = \text{Spec } A$ ,  $B := B(X)$   $A$ -algebra with  $\tilde{B} = B$

Then define  $\text{Spec } B := \text{Spec } B$ , and  $\pi: \text{Spec } B \rightarrow \text{Spec } A$  to be the morphism induced by  $A \rightarrow B$ .

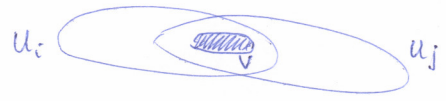
$$\begin{aligned} \text{Here } \text{Hom}_X(T, \text{Spec } B) &= \text{Hom}_{A\text{-alg}}(B, \mathcal{O}_T(T)) = (f_* \mathcal{O}_T)(X) \\ &= \text{Hom}_{\mathcal{O}_X\text{-alg}}(\tilde{B}, f_* \mathcal{O}_T) \text{ by the Lemma} \end{aligned}$$

General case: define  $Y = \text{Spec } B$  by gluing.

$X = \bigcup_i U_i$ ,  $U_i = \text{Spec } A_i$  open affines,  $\varphi_i: B|_{U_i} \xrightarrow{\sim} \tilde{B}_i$  for the  $A_i$ -alg  $B_i := B(U_i)$

$Y_i = \text{Spec } B_i$

Gluing data:  $V = \text{Spec } (A') \subseteq U_i \cap U_j$ ,  $V = D(a) \subseteq U_i$ ,  $V = D(b) \subseteq U_j$ ,  $a \in A_i$ ,  $b \in A_j$



$$\begin{aligned} (*) &: (B_i)_a \cong B(V) \cong (B_j)_b \\ &\rightarrow Y_i|_V = \pi_i^{-1}(V) \xrightarrow{\sim} \pi_j^{-1}(V) = Y_j|_V \end{aligned}$$

The iso (\*) is equal to  $\varphi_j|_V \circ \varphi_i|_V^{-1}$ , hence satisfies the cocycle condition

The  $V$ 's above covers  $U_i \cap U_j$

$\Rightarrow$  We get an iso  $\varphi_{ji}: Y_i|_{U_i \cap U_j} \rightarrow Y_j|_{U_i \cap U_j}$ . Let  $Y := (\coprod Y_i) / \sim_{\varphi_{ji}}$

Note that here the cocycle condition holds for the  $\psi_j$  by the same argument.

Let  $f: T \rightarrow X$ ,  $T_i := f^{-1}(U_i)$ .

$$\begin{aligned} \text{Hom}_X(T, \text{Spec } B) &= \left\{ \begin{array}{c} T_i \xrightarrow{h_i} Y_i \\ \downarrow \text{fl}_{T_i} \\ U_i \end{array} \right\} \text{ s.t. } h_i|_{T_i \cap T_j} = h_j|_{T_i \cap T_j} \\ &\in \text{Hom}_{U_i}(T_i, \text{Spec } B|_{U_i}) \\ &= \left\{ \tilde{h}_i \in \text{Hom}_{\mathcal{O}_{U_i}\text{-alg}}(\tilde{B}_i, (\text{fl}_{T_i})_* \mathcal{O}_{T_i}) \mid \tilde{h}_i|_{U_i \cap U_j} = \tilde{h}_j|_{U_i \cap U_j} \right\} \\ &= \text{Hom}_{\mathcal{O}_X\text{-alg}}(B, f_* \mathcal{O}_T) \quad \text{since } \text{Hom}_{\mathcal{O}_X\text{-alg}}(B, f_* \mathcal{O}_T) \text{ is a sheaf} \end{aligned}$$

Prop. 1 Let  $\pi: \text{Spec } B \rightarrow X$ .

Then  $\pi_* \mathcal{O}_{\text{Spec } B}(U) \cong \mathcal{O}_{\text{Spec } B}(\pi^{-1}(U)) = \mathcal{O}_{\text{Spec } B(U)}(\text{Spec } B(U)) = B(U)$

since  $\text{Spec } B|_U = \text{Spec } B(U)$ .

$\rightarrow \pi_* \mathcal{O}_{\text{Spec } B} \cong B$ .

Prop. 2  $\text{Hom}_X(\text{Spec } B, \text{Spec } B') \cong \text{Hom}_{\mathcal{O}_X\text{-alg}}(B', \pi_* \mathcal{O}_{\text{Spec } B}) = \text{Hom}_{\mathcal{O}_X\text{-alg}}(B, B')$

Given  $\varphi: B \rightarrow B'$  morphism of  $\mathcal{O}_X$ -algebras, we get an induced morphism of schemes  $\text{Spec } \varphi: \text{Spec } B' \rightarrow \text{Spec } B$ .

Def. A morphism  $f: X \rightarrow Y$  is affine if  $\forall U \in Y$  open affine:  $f^{-1}(U)$  is affine.

Upgraded Prop. The functor  $B \mapsto \text{Spec } B$  defines a contravariant equivalence of categories between cat of quasi  $\mathcal{O}_X$ -algebras and cat of  $X$ -schemes  $\pi: E \rightarrow X$  s.t.  $(\pi)$  is affine.

PF: Let  $\Phi: (\pi: E \rightarrow X) \mapsto (\pi_* \mathcal{O}_E)$ .

Prop. 1  $\rightarrow \Phi \circ \text{Spec} \sim \text{id}$

Conversely:  $\text{Hom}_{\mathcal{O}_X\text{-alg}}(\pi_* \mathcal{O}_E, \pi_* \mathcal{O}_E) \cong \text{Hom}(E, \text{Spec } \pi_* \mathcal{O}_E)$

Over  $U = \text{Spec } A$ ,  $\varphi|_U \cong \text{id} \rightarrow \varphi$  is an iso.

This is a relative version of the correspondence b/w rings and affine schemes.

# locally free sheaves and vector bundles II

$\mathcal{E}$  loc free sheaf of  $\mathcal{O}_X$  rank  $r$

$V(\mathcal{E}) := \text{Spec}(\text{Sym}^\bullet(\mathcal{E}^\vee))$  vector bundle associated to  $\mathcal{E}$

$\text{Sym}^\bullet \mathcal{E} :=$  sheaf assoc to  $U \mapsto \text{Sym}_{\mathcal{O}_X(U)}^\bullet \mathcal{E}(U)$   
 $= \bigoplus_{k \geq 0} \mathcal{E}^{\otimes k} / \mathcal{I}$

not everyone puts a dual here (it doesn't really matter)

where  $\mathcal{I} = \langle a \otimes b - b \otimes a \mid a, b \rangle$  is the symmetric ideal

For  $U$  open affine:  $\text{Sym}^\bullet(\mathcal{E})(U) = \text{Sym}_{\mathcal{O}_X(U)}^\bullet \mathcal{E}(U)$  since  $\mathcal{E}$  is qcoh.

Lemma.  $V(\mathcal{E})$  is a vector bundle of rank  $r$ .

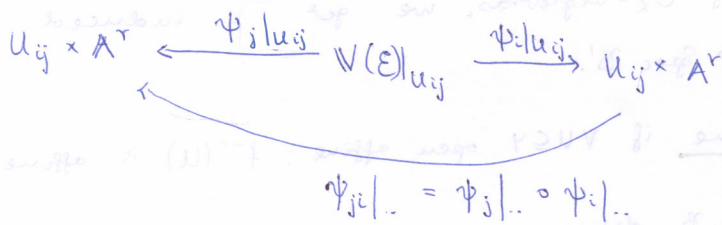
Pf: let  $X = \bigcup_i U_i$ ,  $U_i = \text{Spec } A_i$  open,  $\varphi_i: \mathcal{E}|_{U_i} \xrightarrow{\sim} \mathcal{O}_{U_i}^{\oplus r}$  trivialisation

We get:  $\text{Sym}^\bullet(\varphi_i^\vee): \text{Sym}^\bullet \mathcal{O}_{U_i}^{\oplus r} = \mathcal{O}_{U_i}[x_1, \dots, x_r] \xrightarrow{\sim} \text{Sym}^\bullet \mathcal{E}^\vee|_{U_i}$   
 $\parallel$   
 $(A[x_1, \dots, x_r])^\sim$

Apply Spec:

$\varphi_i: \text{Spec}(\text{Sym}^\bullet(\varphi_i^\vee)): V(\mathcal{E})|_{U_i} \longrightarrow U_i \times \mathbb{A}^r = \text{Spec } \mathcal{O}_{U_i}[x_1, \dots, x_r] = \text{Spec } A[x_1, \dots, x_r]$

On overlaps:



$$\begin{aligned} \psi_{ji}^\# &= (\psi_i^{-1})^\# \circ (\psi_j^\#) \\ &= \text{Sym}^\bullet(\varphi_i^\vee)^{-1} \circ \text{Sym}^\bullet(\varphi_j^\vee) \\ &= \text{Sym}^\bullet((\varphi_i^{-1})^\vee \circ \varphi_j^\vee) \\ &= \text{Sym}^\bullet((\varphi_j \circ \varphi_i^{-1})^\vee) \text{ linear on } U_{ij}. \end{aligned}$$

Upgraded lemma.  $V: \mathcal{E} \longmapsto V(\mathcal{E})$  defines a covariant equivalence of categories between loc free sheaves of rank  $r$  on  $X$  and vector bundles of rank  $r$  on  $X$ .

The inverse functor is taking sections:

$$(\pi: E \rightarrow X) \longmapsto (\text{Hom}_X(-, \mathcal{F}): U \mapsto \text{Hom}_X(U, E) = \{s: U \rightarrow E \mid \pi \circ s = \text{id}_U\})$$



PF: By the Upgraded Prop:  $V$  is an equivalence with inverse  $E \mapsto (\pi_* \mathcal{O}_E)^{\vee}_{\text{deg } 1}$

$$\begin{aligned} \text{Hom}_U(U, E|_U) &\stackrel{\text{def}}{=} \text{Hom}_U(U, \text{Spec } \text{Sym}^\bullet(E^\vee|_U)) \\ &= \text{Hom}_{\mathcal{O}_U\text{-alg}}(\text{Sym}^\bullet(E^\vee|_U), \mathcal{O}_U) \\ &= \text{Hom}_{\mathcal{O}_U\text{-mod}}(E^\vee|_U, \mathcal{O}_U) \\ &= \text{Hom}_{\mathcal{O}_U\text{-mod}}(\mathcal{O}_U, E|_U) \\ &= E(U) \end{aligned}$$

$$\text{Hom}_{A\text{-alg}}(\text{Sym}^\bullet M, B) = \text{Hom}_{A\text{-mod}}(M, B)$$

for any  $A$ -alg  $B$ ,  $A$ -mod  $M$ .

Remark. We often identify locally free sheaves with their associated vector bundles, and use these terms interchangeably. Same for invertible sheaves and line bundles. (From lecture 25 onwards.)

Ex:  $X = \mathbb{P}^n_{\mathbb{C}} = \text{Proj } A$ ,  $A = \mathbb{C}[x_0, \dots, x_n]$

$$(*) \quad 0 \rightarrow A(-1) \rightarrow \bigoplus_{i=0}^n A \rightarrow Q \rightarrow 0$$

(where  $Q$  is defined to be the cokernel)

$$\begin{pmatrix} \sim \\ 1 \mapsto (x_0, \dots, x_n) \end{pmatrix}$$

$$0 \rightarrow \mathcal{O}_X(-1) \rightarrow \mathcal{O}_X^{\oplus n+1} \rightarrow \tilde{Q} \rightarrow 0$$

$$V(\mathcal{O}_X^{\oplus n+1}) = \text{Spec } \mathcal{O}_X[y_0, \dots, y_n] = \mathbb{P}^n_{\mathbb{C}} \times \mathbb{A}^{n+1}$$

What is  $V(\mathcal{O}_X(-1)) \rightarrow V(\mathcal{O}_X^{\oplus n+1})$ ? Dualise (\*):

$$0 \leftarrow A(1) \leftarrow \bigoplus_{j=0}^n A$$

$$x_i \longleftarrow y_j = (0, \dots, 1, \dots, 0)$$

$$\text{Sym}^\bullet\left(\bigoplus_{j=0}^n A\right) = A[y_0, \dots, y_n]$$

the  $y_i$  all have  $\text{deg} = 0$

$$\begin{aligned} \text{Sym}^\bullet(A(1)) &= A \oplus \underbrace{A(1)}_R \oplus A(2) \oplus \dots \\ &= A \oplus \frac{R}{At} \oplus At^2 \oplus \dots \\ &= A[t] \end{aligned}$$

where  $t$  is a formal symbol of  $\text{deg} = -1$  as a graded ring

$$\begin{array}{ccc} \text{Sym}^\bullet(A(1)) = A[t] & \xleftarrow{\text{Sym}^\bullet(\psi)} & A[y_0, \dots, y_n] \\ t x_i & \longleftarrow & y_i \end{array}$$

Apply  $\sim$  and consider  $D(x_0)$ .

$$\text{Sym}^\bullet(\mathcal{O}_X^{\oplus n+1})(D(x_0)) = \widetilde{\text{Sym}^\bullet A^{\oplus n+1}}(D(x_0)) = \mathbb{C}\left[\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right][y_0, \dots, y_n]$$

$$\text{Sym}^\bullet(\mathcal{O}_X(1))(D(x_0)) = \widetilde{A[t]}(D(x_0)) = (A[t]_{x_0})_0 = \mathbb{C}\left[x_0, \dots, x_n, t, \frac{1}{x_0}\right]_0 = \mathbb{C}\left[\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}, \underbrace{t x_0}_{=: \lambda}\right]$$

$$V(\varphi)^\# : \mathbb{C} \left[ \frac{x_1}{x_0}, \dots, \frac{x_n}{x_0} \right] [y_0, \dots, y_n] \longrightarrow \mathbb{C} \left[ \frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}, \lambda \right]$$

$$\begin{aligned} \frac{x_i}{x_0} &\longmapsto \left( \frac{x_i}{x_0} \right) \\ y_0 &\longmapsto \lambda \\ y_i &\longmapsto tx_i = tx_0 \cdot \frac{x_i}{x_0} = \lambda \cdot \frac{x_i}{x_0} \end{aligned}$$

Apply Spec:

$$\begin{aligned} D(x_0) \times \mathbb{A}^1 &\longrightarrow D(x_0) \times \mathbb{A}^{n+1} \\ \left( \underbrace{\left( 1, \frac{x_1}{x_0}, \dots, \frac{x_n}{x_0} \right)}_{[x_0, \dots, x_n]}, \lambda \right) &\longmapsto \left( \underbrace{\left( 1, \frac{x_1}{x_0}, \dots, \frac{x_n}{x_0} \right)}_{[x_0, \dots, x_n]}, \underbrace{\left( \lambda, \frac{x_1}{x_0} \lambda, \dots, \frac{x_n}{x_0} \lambda \right)}_{\left\{ \lambda(x_0, \dots, x_n) \mid \lambda \in \mathbb{C} \right\}} \right) \end{aligned}$$

line in  $\mathbb{P}^n$  defined by  $[x_0, \dots, x_n]$

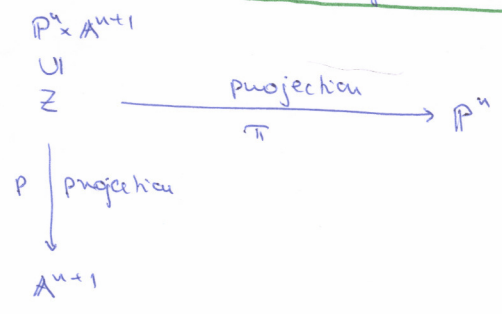
Conclusion:  $V(\mathcal{O}_X(-1)) \xrightarrow[\text{inversion}]{\text{closed}} V(\mathcal{O}_X^{n+1}) = \mathbb{P}^n \times \mathbb{A}^{n+1}$  cut out by equations  $x_i y_j - x_j y_i, \forall i, j$

with image  $Z = V(x_i y_j - x_j y_i \mid i, j)$

$$\underbrace{V(\mathcal{O}_X(-1))(\mathbb{C})}_{\text{called the tautological line bundle}} = \left\{ (p, \sigma) \in \mathbb{P}^n \times \mathbb{C}^{n+1} \mid \sigma \in L_p \right\}$$

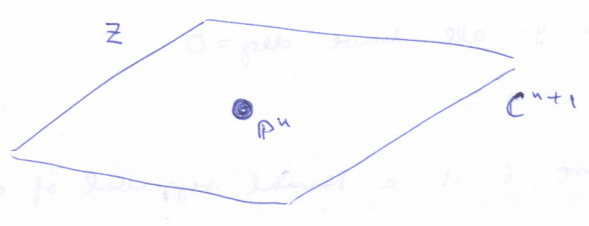
$L_p$  is the line def'd by  $p$

called the tautological line bundle. (this is why we needed  $v$  in the def.)



$$\lambda = (\lambda_0, \dots, \lambda_n) \in \mathbb{C}^{n+1}$$

$$p^{-1}(\lambda) = \begin{cases} [\lambda_0, \dots, \lambda_n] \in \mathbb{P}^n & \text{if } \lambda \neq 0 \\ \mathbb{P}^n & \text{if } \lambda = 0 \end{cases}$$



$Z$  is called the blowup of  $\mathbb{C}^{n+1}$  at the origin.

# Regularity

See [Vakil] or [Liu: AG & Alg. Geom]

Krull's Thm. A noetherian ring,  $f_1, \dots, f_r \in A$ ,  $Z$  irred component of  $V(f_1, \dots, f_r) \subseteq X = \text{Spec } A$ .

Then  $\text{codim}(Z, X) \leq r$ .

Prop. A noeth local,  $k = A/\mathfrak{m}$ . Then  $\dim A \leq \dim_k \mathfrak{m}/\mathfrak{m}^2$

Zariski tangent space

Def. A noetherian local ring  $A$  is regular if  $\dim A = \dim_k \mathfrak{m}/\mathfrak{m}^2$

Ex.  $\dim A = 0$  then  $A \text{ reg} \Leftrightarrow \mathfrak{m}/\mathfrak{m}^2 = 0 \Leftrightarrow \mathfrak{m} = 0 \Leftrightarrow A \text{ is a field.}$

Ex.  $k[x]_{(x)}$  is not regular

Def. Locally noetherian scheme  $X$  is

- regular at  $p \in X$  if  $\mathcal{O}_{X,p}$  is regular (as a noeth loc ring)
- regular / non-singular if regular at  $\forall p \in X$
- singular if not regular.

Warning. regular  $\neq$  smooth. (later)

Ex. 0.  $\text{Spec } k$  is regular

Ex. 1.  $X = \mathbb{A}^1_k = \text{Spec } k[x] = \{(0) = \eta\} \sqcup \{(f) \mid f \in k[x] \text{ irreducible}\}$

$\mathcal{O}_{X,\eta} = k$  is regular

$\mathcal{O}_{X,(f)} = k[x]_{(f)}$ ,  $\mathfrak{m} = (f)$ ,  $\mathfrak{m}/\mathfrak{m}^2 = (f)/(f^2) \cong k((f))$   
 $\dim \mathcal{O}_{X,(f)} = 1 = \dim \mathfrak{m}/\mathfrak{m}^2$

$\Rightarrow \mathbb{A}^1_k$  is regular

Ex. 2.  $C$  curve /  $k$ ,  $\mathcal{O}_{C,\eta} = k$  regular

let  $x \in C$  be a closed pt. Is  $\mathcal{O}_{C,x}$  regular?

lemma. A noeth loc ring of dim 1. Then  $A$  is regular  $\Leftrightarrow$  DVR ( $\Leftrightarrow$  integrally closed)

PF. A DVR  $\Rightarrow$  PID  $\Rightarrow \mathfrak{m}/\mathfrak{m}^2 = (\pi)/(\pi^2) \cong (A/\mathfrak{m}) \pi \Rightarrow \dim \mathfrak{m}/\mathfrak{m}^2 = 1 = \dim A \rightarrow A \text{ reg.}$

Conv: A reg  $\rightarrow \mathfrak{m} = (\pi)$  by NAK. Wts  $A$  PID. Let  $I \subseteq A$ ,  $I \neq 0$ .

$\bigcap_{n \geq 0} \mathfrak{m}^n = 0 \Rightarrow \exists n: I \subseteq \mathfrak{m}^n, I \not\subseteq \mathfrak{m}^{n+1} \Rightarrow \exists y = a \cdot \pi^n \in I$  st.  $y \notin \mathfrak{m}^{n+1} \Rightarrow a \notin \mathfrak{m}$

$\Rightarrow a \in A^\times \Rightarrow a^{-1}y = \pi^n \in I \Rightarrow \mathfrak{m}^n = (\pi^n) \subseteq I \subseteq \mathfrak{m}^n \Rightarrow I = (\pi^n)$

Moreover,  $\forall y_1, y_2 \in A: y_1 = a_1 \pi^{n_1}, y_2 = a_2 \pi^{n_2}$  where  $a_1, a_2 \in A^\times \Rightarrow y_1 \cdot y_2 = \underbrace{a_1 a_2}_{\in A^\times} \cdot \underbrace{\pi^{n_1+n_2}}_{\neq 0} \neq 0$ .

$\Rightarrow A$  is a domain.

$\Rightarrow C$  is regular iff normal

Hard Fact. (CA) A regular noetherian local ring. Then

- a) A is an integral domain (easy)
- b)  $\forall p \in \text{Spec } A: A_p$  is regular.
- c) A is factorial, hence normal.

Cor. A noetherian scheme X is regular  $\Leftrightarrow$  regular at its closed pts.

Pf. Let  $p \in X$ .  $X$  reg  $\Rightarrow \exists q \in \overline{\{p\}}$  closed pt.

$\exists U := \text{Spec } A$  s.t.  $q \in U \Rightarrow p \in U$ .

$q \in \overline{\{p\}} \Leftrightarrow p \subseteq q$  as ideals in A  $\Rightarrow A_p = (A_q)_p A_q$

b)  $\Rightarrow A_q$  regular  $\Rightarrow A_p$  regular.

Thm. (Jacobian criterion of regularity)

k field,  $X = \text{Spec}(k[x_1, \dots, x_n] / (f_1, \dots, f_r)) \subseteq \mathbb{A}_k^n$

$p \in X$  s.t.  $k(p) = k$ , (p corresponds to  $(x_1 - a_1, \dots, x_n - a_n)$ )

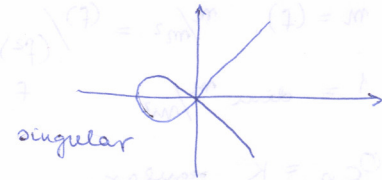
Let 
$$J_p := \begin{pmatrix} \frac{\partial f_1}{\partial x_1}(p) & \dots & \frac{\partial f_r}{\partial x_1}(p) \\ \vdots & & \vdots \\ \frac{\partial f_1}{\partial x_n}(p) & \dots & \frac{\partial f_r}{\partial x_n}(p) \end{pmatrix} \in M_{n \times r}(k)$$

Then f is regular at p  $\Leftrightarrow \text{rk } J_p = n - \dim \mathcal{O}_{X,p}$

Cor.  $k = \bar{k}$ . Then  $X = V(f)$  reg  $\Leftrightarrow$  the system  $\{f = 0, \frac{\partial f}{\partial x_i} = 0 \mid i\}$  has no solution in  $k^n$ .

$\uparrow$  HF + Thm.

Ex.  $X = V(y^2 = x^2(x+1)), f = y^2 - x^3 - x^2$



Pf. When  $p = (0, \dots, 0)$ . by linear change of coordinates.

$\mathfrak{m} := (x_1, \dots, x_n)$  ideal of p in  $A_k^n$

$\mathfrak{n} := \mathfrak{m}/I$  ideal of p in X where  $I = (f_1, \dots, f_r)$

$\delta x_i :=$  img of  $x_i$  in  $\mathfrak{m}/\mathfrak{m}^2 \rightarrow \delta x_1, \dots, \delta x_n$  basis of  $\mathfrak{m}/\mathfrak{m}^2$

Consider

$$I \xrightarrow{\quad D \quad} \mathfrak{m} \longrightarrow \mathfrak{m}/\mathfrak{m}^2 \simeq k\delta x_1 \oplus \dots \oplus k\delta x_n$$

$D(g) = (\text{linear terms in } g) = \frac{\partial g}{\partial x_1}(0) \delta x_1 + \dots + \frac{\partial g}{\partial x_n}(0) \delta x_n$

(E.g.  $D(x_1 + 3x_2 + x_1^2) = \delta x_1 + 3\delta x_2$ )

$$\begin{array}{ccccccc}
 & & & 0 & & & \\
 & & & \downarrow & & & \\
 & & & I & \xrightarrow{\quad} & I/I \cap m^2 & \\
 & & & \downarrow & \searrow D & \downarrow & \\
 0 & \longrightarrow & m^2 & \longrightarrow & m & \longrightarrow & m/m^2 \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & n^2 & \longrightarrow & n & \longrightarrow & n/n^2 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

Get:  $I \xrightarrow{D} m/m^2 \longrightarrow n/n^2 \longrightarrow 0$  exact,  $n/n^2 \cong \text{Coker } D \cong \text{Coker } \mathbb{F}_p$   
 $\Rightarrow \dim n/n^2 = n - \text{rk } \mathbb{F}_p$   
 $= \dim \mathcal{O}_{X,p}$  iff  $\text{rk } \mathbb{F}_p = n - \dim \mathcal{O}_{X,p}$

Problems

Ex.1.  $k \neq \bar{k}$  then the criterion may not be useful:

$k = \mathbb{F}_p(u)$ ,  $X = V(f := x^p - u) \subseteq A^1_k$   $\frac{\partial f}{\partial x} = p x^{p-1} - 0 = 0$ , but  $k[x]/_{x^p - u} =: L$  is a field as  $(x^p - u)$  is irreducible, thus  $X = \text{Spec } L$  is regular.

Ex.2. Regularity is not preserved by base change or field extensions.

Let  $X$  be as in Ex.1.,  $L := k[y]/_{(y^p - u)}$

$X \times_k L = L[x]/_{(x^p - u)} = L[x]/_{(x^p - y^p)} = L[x]/_{(x - y)^p}$  not reduced

Ex.3.  $\exists X, Y$  varieties  $/k$  s.t.  $X, Y$  are both regular but  $X \times_k Y$  is not regular.

(See Ex.2 for a possible choice of  $X, Y$ )

Kähler differentials

$A$  ring,  $B$  an  $A$ -algebra,  $M$  a  $B$ -module

Def.  $A$ -derivation of  $B$  into  $M$ : an  $A$ -linear  $\varphi: B \rightarrow M$  s.t.  $\varphi(ab) = a\varphi(b) + b\varphi(a) \forall a, b \in B$

Ex.  $\varphi(1) = \varphi(1 \cdot 1) = 1 \cdot \varphi(1) + 1 \cdot \varphi(1) = 2 \cdot \varphi(1) \Rightarrow \varphi(1) = 0 \Rightarrow \varphi(a) = 0 \forall a \in A$ .

Ex.  $Y$  smooth manifold,  $p \in Y$ ,  $B = C^\infty(Y)$ ,  $M = A = \mathbb{R}$ ,

$B \times M \rightarrow M$   
 $(f, a) \mapsto f(p) \cdot a$

$\{A\text{-derivations}\} \cong T_p Y$  tangent space

$\frac{\partial}{\partial x} (fg)_p = f(p) \frac{\partial g}{\partial x}(p) + g(p) \frac{\partial f}{\partial x}(p)$

Notation.  $\text{Der}_A(B, M)$  :=  $\{A\text{-derivations of } B \text{ into } M\}$

Consider the functor

$$\text{Der}_A(B, \_): B\text{-mod} \longrightarrow \text{Set}$$

$$M \longmapsto \text{Der}_A(B, M)$$

$$(g: M \rightarrow M') \longmapsto \left( \begin{array}{ccc} \text{Der}_A(B, M) & \longrightarrow & \text{Der}_A(B, M') \\ g & \longmapsto & \varphi \circ g \end{array} \right)$$

Prop.  $\text{Der}_A(B, \_)$  is corepresentable, i.e.  $\exists! \Omega_{B/A}$   $B$ -module and  $\delta: B \rightarrow \Omega_{B/A}$  derivation:

$$\text{Hom}_{B\text{-mod}}(\Omega_{B/A}, M) \xrightarrow{\sim} \text{Der}_A(B, M) \text{ iso } \forall M \text{ } B\text{-module.}$$

$$g \longmapsto g \circ \delta$$

Ex. (ctd.)  $\text{Hom}_{B\text{-mod}}(\Omega_{B/k}, k) \simeq \text{Der}_k(B, k) = T_p Y$

$$\text{Hom}_{B\text{-mod}}(\underbrace{\Omega_{B/k} \otimes k, k})$$

cotangent space to  $p \in Y$

Prop. The same as above, restating:  $\forall \varphi: B \rightarrow M$   $A$ -derivation  $\exists! g: \Omega_{B/A} \rightarrow M$

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s.t.  $g \circ \delta = \varphi$ .

PF:  $F := \bigoplus_{x \in B} B \cdot \delta_x$  free  $B$ -module,  $\delta_x$  a symbol  $\forall x \in B$

$$I := \langle \delta(ab) - \delta(a)b - a\delta(b), \delta(a+b) - \delta(a) - \delta(b), \delta(c) \mid a, b \in B, c \in A \rangle \leq F \text{ submodule}$$

$$\Omega_{B/A} := F/I, \quad \delta: B \rightarrow \Omega_{B/A}$$

$$b \longmapsto \delta_b$$

Universal property: Let  $\varphi: B \rightarrow M$  be an  $A$ -derivation.

$$\tilde{g}: F \rightarrow M \quad \text{such } \tilde{g}(I) = 0 \text{ since } \varphi \text{ is a derivation, hence } \tilde{g} \text{ descends to}$$

$$\delta_x \longmapsto \varphi(x) \quad g: F/I = \Omega_{B/A} \rightarrow M,$$

and by construction we have  $g(\delta(x)) = g(\delta_x) = \varphi(x) \Rightarrow g \circ \delta = \varphi$ .

Uniqueness: comes from the fact that  $\delta_x$  ( $x \in B$ ) generate  $\Omega_{B/A}$ .

Ex.  $B := A[x_1, \dots, x_n]$

Claim.  $\Omega_{B/A} = B\delta_{x_1} \oplus \dots \oplus B\delta_{x_n}, \quad \delta: B \rightarrow \Omega_{B/A}$

$$f \longmapsto \sum_i \frac{\partial f}{\partial x_i} \delta_{x_i}$$

Checking UP: let  $\varphi: B \rightarrow M$   $A$ -derivation.  $\Rightarrow \varphi(f) = \sum_i \frac{\partial f}{\partial x_i} \varphi(x_i)$

Set  $g: \Omega_{B/A} \rightarrow M \quad \rightarrow g \circ \delta = \varphi. \checkmark$

$$\delta_{x_i} \longmapsto \varphi(x_i)$$

Ex.  $B = A/I \Rightarrow \varphi = 0 \quad \forall \varphi \in \text{Der}_A(B, M) \Rightarrow \Omega_{B/A} = 0$

Ex.  $B = S^{-1}A$ ,  $\varphi \in \text{Dex}_A(B, M)$ .  $\forall b \in B \exists s \in S \subseteq A: bs \in A$ .

$$\Rightarrow 0 = \varphi(bs) = \varphi(b)s + \underbrace{b\varphi(s)}_0 = \varphi(b)s. \text{ Since } s \text{ is invertible: } \varphi(b) = 0$$

$$\Rightarrow \varphi = 0 \Rightarrow \Omega_{B/A} = 0$$

Ex.  $A'$  and  $B$   $A$ -algebras.

$$\Rightarrow B' := B \otimes_A A' \longleftarrow B \quad \rightarrow \Omega_{B/A} \otimes_B B' \cong \Omega_{B'/A'}$$

$$\quad \quad \quad \uparrow \quad \quad \quad \uparrow$$

$$\quad \quad \quad A' \longleftarrow A \quad \quad \quad \text{canonical isomorphism}$$

Pf: Let  $\delta: B \rightarrow \Omega_{B/A}$ , apply  $\otimes B'$ , get  $\delta': B' \rightarrow \Omega_{B/A} \otimes B'$ .

Checking UP: let  $\varphi \in \text{Dex}_{A'}(B', M)$ .  $\rightarrow B \rightarrow B' \rightarrow M_B$  is an  $A$ -derivation where  $M_B$  is  $M$  considered as a  $B$ -module.

Let  $g: \Omega_{B/A} \rightarrow M_B$  be the corresponding homomorphism,  
 $\delta b \mapsto \varphi(b)$

and  $\tilde{g}: \Omega_{B/A} \otimes B' \rightarrow M$  the induced homomorphism.  
 $\delta b \otimes b' \mapsto b'\varphi(b)$

$$\text{Then } \tilde{g} \circ \delta' = \varphi.$$

Prop: Suppose  $A \rightarrow B \rightarrow C$  is exact.

a)  $\Omega_{B/A} \otimes_B C \xrightarrow{\alpha} \Omega_{C/A} \xrightarrow{\beta} \Omega_{C/B} \rightarrow 0$  is exact.

b) If  $C = B/I$  then  $I/I^2 \xrightarrow{\delta} \Omega_{B/A} \otimes C \xrightarrow{\alpha} \Omega_{C/A} \rightarrow 0$  is exact.

Here the morphisms are given as follows:

- $B \rightarrow C \xrightarrow{\delta} \Omega_{C/A}$  is an  $A$ -derivation of  $B$  into  $(\Omega_{C/A})_B$ .

Let  $g: \Omega_{B/A} \rightarrow (\Omega_{C/A})_B$ , and  $\alpha: \Omega_{B/A} \otimes C \rightarrow \Omega_{C/A}$  be the induced map.  
 $\delta_B b \otimes c \mapsto c \delta_C b$

- $\delta: C \rightarrow \Omega_{C/B}$  is an  $A$ -derivation (every  $B$ -derivation is an  $A$ -derivation), and we get an induced morphism  $\beta: \Omega_{C/A} \rightarrow \Omega_{C/B}$ .

Pf: a) For any  $C$ -module  $N$ , apply  $\text{Hom}_C(-, N)$ :

$$\begin{array}{ccccc} 0 & \rightarrow & \text{Hom}_C(\Omega_{C/B}, N) & \xrightarrow{\beta^\vee} & \text{Hom}_C(\Omega_{C/A}, N) & \xrightarrow{\alpha^\vee} & \text{Hom}_C(\Omega_{B/A} \otimes C, N) \\ & & \cong & & \cong & & \cong \\ & & \text{Dex}_B(C, N) & \xrightarrow{\quad} & \text{Dex}_A(C, N) & \xrightarrow{\quad} & \text{Hom}_B(\Omega_{B/A}, N_B) \\ & & \varphi & \xrightarrow{\quad} & \varphi & \searrow & \text{Dex}_A(B, N_B) \\ & & & & & & \varphi|_B \end{array}$$

$$\alpha^\vee(\varphi) = 0 \in \text{Dex}_A(C, N) \Leftrightarrow \varphi(b) = 0 \quad \forall b$$

$$\Leftrightarrow \varphi \text{ is a } B\text{-derivation}$$

$$\Leftrightarrow \varphi \in \text{Im}(\beta^\vee)$$

b)  $C = B/I$

$$\begin{array}{ccccc}
 0 \longrightarrow \text{Hom}_C(\Omega_{C/A}, N) & \longrightarrow & \text{Hom}_C(\Omega_{B/A} \otimes C, N) & \longrightarrow & \text{Hom}_C(\underbrace{I/I^2}_\cong I \otimes C, N) \\
 \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\
 \text{Der}_A(C, N) & \longrightarrow & \text{Der}_A(B, N_B) & \longrightarrow & \text{Hom}_B(I, N) \\
 \varphi & \longmapsto & \varphi|_B & \longmapsto & \varphi|_I
 \end{array}$$

What is  $I/I^2 \xrightarrow{\delta} \Omega_{B/A} \otimes C$ ?

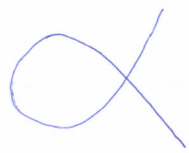
$$\begin{array}{ccccc}
 fg \in I & \xrightarrow{\delta} & B & \xrightarrow{\delta} & \Omega_{B/A} & \longrightarrow & \Omega_{B/A} \otimes_B C \\
 \downarrow & \searrow & & \searrow & & \nearrow & \\
 a \in I/I^2 & & & & \xrightarrow{\bar{\delta}} & & \Omega_{B/A} \otimes_B C \\
 & & & & \exists! \bar{\delta} & & 
 \end{array}$$

$$\begin{aligned}
 \bar{\delta}(fg) &= \underbrace{f}_{\in I} \bar{\delta}(g) + \bar{\delta}(f) \underbrace{g}_{\in I} = 0 \quad \text{in } C = B/I \\
 \bar{\delta}(ba) &= \bar{\delta}(b) \underbrace{a}_{\in I} + b \bar{\delta}(a) = b \bar{\delta}(a) \quad \text{in } C \\
 &\Rightarrow \bar{\delta} \text{ is a } B\text{-module morph.}
 \end{aligned}$$

Let  $\varphi \in \text{Der}_A(B, N_B)$  s.t.  $\varphi|_I = 0$ . Then  $\exists \bar{\varphi} : C \rightarrow N$  s.t.  $\bar{\varphi}|_B = \varphi$

$$\begin{array}{ccc}
 B & \xrightarrow{\varphi} & N \\
 \downarrow & \nearrow \bar{\varphi} & \\
 B/I & & 
 \end{array}$$

Ex. for b):  $A := k, B := k[x, y], C := k[x, y]/y^2 = x^2(x+1), f = y^2 - x^3 - x^2$



$$\left( \frac{\delta f}{f} = I/I^2 \right) \xrightarrow{\delta} \left( \Omega_{B/A} \otimes C = C\delta_x \oplus C\delta_y \right) \longrightarrow \Omega_{C/k} \longrightarrow 0$$

$$f \longmapsto \delta f = (-3x^2 - 2x)\delta_x + 2y\delta_y$$

$$\Omega_{B/A} = B\delta_x \oplus B\delta_y \implies \Omega_{C/k} = (C\delta_x \oplus C\delta_y) / \left( (3x^2 + 2x)\delta_x - 2y\delta_y \right)$$

If  $y \neq 0$  on  $3x^2 + 2x \neq 0$  (while  $f=0$ ) then  $\Omega_{C/k}$  is loc free of rank 1.

Other:  $y=0, 3x^2 + 2x = 0, f=0 \iff (x, y) = 0$  assuming char  $k \neq 2, 3$

$\implies \Omega_{C/k}$  is loc free outside of the origin.

Ex. for a)  $A := k, C := k[x, y]/y^2 = x^2(x+1)$  (as before),  $B := k[x] \hookrightarrow C$

$$(\Omega_{B/k} \otimes C = C\delta_x) \longrightarrow \Omega_{C/k} \longrightarrow \Omega_{C/B} \longrightarrow 0$$

$$\parallel \\
 (C\delta_x \oplus C\delta_y) / \left( (3x^2 + 2x)\delta_x - 2y\delta_y \right)$$

$$\implies \Omega_{C/B} = C\delta_y / 2y\delta_y = \left( C / (2y) \right) \delta_y = k[x] / (x^3 - x^2) \delta_y$$

Supported at  $y=0, x=0$  (length 2),  $x=-1$  (length 1).



## Global construction

Key Prop. Let  $B$  an  $A$ -algebra,  $\mu: B \otimes_A B \rightarrow B$

$$b_1 \otimes b_2 \mapsto b_1 b_2$$

Let  $I := \text{Ker } \mu$  (viewed as a left  $B$ -module),  $\delta: B \rightarrow I/I^2 \Rightarrow (I/I^2, \delta) \cong (\Omega_{B/A}, \delta)$   
 $b \mapsto 1 \otimes b - b \otimes 1$

PF:  $\delta$  is a derivation:  $0 = (1 \otimes b - b \otimes 1)(1 \otimes a - a \otimes 1) \in I/I^2$ , expand. ✓

Universal property: let  $\varphi \in \text{Der}_A(B, M)$ ,  $\alpha: B \otimes_A B \rightarrow M$   
 $b_1 \otimes b_2 \mapsto b_1 \varphi(b_2)$

$$\begin{aligned} \alpha((b_1 \otimes b_2)(c_1 \otimes c_2)) &= \alpha(b_1 c_1 \otimes b_2 c_2) \\ &= b_1 c_1 \varphi(b_2 c_2) \\ &= b_1 c_1 (b_2 \varphi(c_2) + \varphi(b_2) c_2) \\ &= b_1 b_2 c_1 \varphi(c_2) + c_1 c_2 b_1 \varphi(b_2) \\ &= \mu(b_1 \otimes b_2) \alpha(c_1 \otimes c_2) + \mu(c_1 \otimes c_2) \alpha(b_1 \otimes b_2) \end{aligned}$$

$$\rightarrow \forall \gamma, \gamma' \in B \otimes_A B: \alpha(\gamma \cdot \gamma') = \mu(\gamma) \alpha(\gamma') + \alpha(\gamma) \mu(\gamma')$$

$\rightarrow \alpha|_{I^2} = 0$ , get an induced morphism  $\bar{\alpha}: I/I^2 \rightarrow M$

$$\bar{\alpha}(\delta(b)) = \bar{\alpha}(1 \otimes b - b \otimes 1) = 1 \cdot \varphi(b) - \underbrace{b \varphi(1)}_0 = \varphi(b), \text{ i.e. } \bar{\alpha} \circ \delta = \varphi. \checkmark$$

Def. Let  $f: X \rightarrow Y$  be a morphism of schemes,  $\Delta: X \rightarrow X \times_Y X$  a locally closed subscheme,  $\mathcal{J} := \text{Ker}(\mathcal{O}_{X \times_Y X} \xrightarrow{\mu} \Delta^* \mathcal{O}_X)$ . Then  $\Omega_{X/Y} := \Delta^*(\mathcal{J}/\mathcal{J}^2)$  is the sheaf of Kähler differentials.

Remark.  $f: X \rightarrow Y$ ,  $\mathcal{F}$  an  $\mathcal{O}_Y$ -module,  $f^* \mathcal{F} := f^{-1} \mathcal{F} \otimes_{f^{-1} \mathcal{O}_Y} \mathcal{O}_X$ . pullback

Recall that  $f^{-1} \mathcal{F} = \left( U \mapsto \varinjlim_{f(U) \subseteq V} \mathcal{F}(V) \right)^{\text{sh}}$ .

If  $\mathcal{F}$  is quasi,  $X = \text{Spec } A$ ,  $Y = \text{Spec } B$ ,  $\mathcal{F} = \tilde{M}$ ,  $M$  a  $B$ -module then  $f^* \mathcal{F} = \left( M \otimes_B A \right)^{\sim}$

Remark.  $f: X \rightarrow Y$ ,  $U = \text{Spec } A \subseteq X$ ,  $V = \text{Spec } B \subseteq f^{-1}(U)$  open affines.

$$U \times_V U = \text{Spec} \left( B \otimes_A B \right) \subseteq X \times_Y X \text{ open affine}$$

$$\Delta(X) \cap (U \times_V U) = V(I) \text{ where } I = \text{Ker} \left( B \otimes_A B \rightarrow B \right) \Rightarrow \mathcal{J}|_{U \times_V U} = \tilde{I}$$

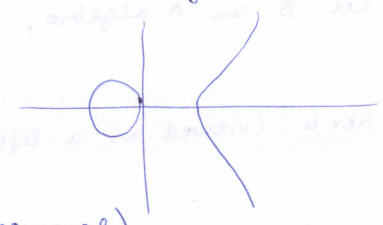
$$\Omega_{X/Y}|_U = \Delta^* \left( \mathcal{J}/\mathcal{J}^2|_U \right) = \Delta^* \left( \tilde{I}/\tilde{I}^2 \right) = \left( I/I^2 \otimes_{B \otimes_A B} B \right)^{\sim} = \tilde{I/I^2} \text{ where } I/I^2 \text{ is viewed as}$$

prev Remark.  $\overset{\text{KP}}{=} \tilde{\Omega}_{B/A}$  a  $B$ -module

Upshot.  $\Omega_{X/Y}$  is the global version of  $\Omega_{B/A}$  and it is quasi-coherent.

Ex. Let char  $k \neq 2$ ,  $C \subseteq \mathbb{P}_k^2$  given by  $ZY^2 = (X+Z)Y(X-Z)$

On  $D(Z)$ ,  $x := \frac{X}{Z}$ ,  $y := \frac{Y}{Z}$   $\Rightarrow C \cap D(Z) = V(y^2 = x^3 - x)$ , we have already seen this



Prop.  $\exists \omega \in \Gamma(C, \Omega_C/k)$  s.t.  $\mathcal{O}_C \rightarrow \Omega_C/k$  is an iso.  
 $1 \mapsto \omega$

In part,  $\Gamma(C, \Omega_C/k)$  if  $k = \bar{k}$  (this assumption may be removed.)

Pf:  $A := k[x, y] / (y^2 = x^3 - x)$

$$\Omega_{C \cap D(Z)}/k = (A\delta x \oplus A\delta y) / \delta f = (A\delta x \oplus A\delta y) / (2y\delta y = (3x^2 - 1)\delta x)$$

On  $U_1 = D(y)$ , set  $\omega_1 := \frac{\delta x}{y} \in \Gamma(U_1, \Omega_C/k)$

On  $D(y) \cap D(3x^2 - 1)$ :  $\frac{\delta x}{y} = \frac{1}{y} \cdot \frac{2y}{3x^2 - 1} \delta y = \frac{2}{3x^2 - 1} \delta y$  recall char  $k \neq 2$

On  $U_2 = D(x)$ , set  $\omega_2 := \frac{2}{3x^2 - 1} \delta y \Rightarrow \omega_1|_{D(y) \cap D(3x^2 - 1)} = \omega_2|_{D(y) \cap D(3x^2 - 1)}$

On  $D(Y)$ , let  $t := \frac{X}{Y}$ ,  $u := \frac{Z}{Y} \rightarrow x = \frac{t}{u}, y = \frac{1}{u}$

$D(Y) \cap C = V(u = t^3 - tu^2)$ ,  $B := k[t, u] / (u = t^3 - tu^2)$

$\Omega_{D(Y) \cap C}/k = (B\delta t \oplus B\delta u) / ((1 + 2ut)\delta u = (3t^2 - u^2)\delta t)$  we don't like this pole at  $u$

On  $D(Y) \cap D(Z) \cap D(1 + 2ut)$ :  $\frac{\delta x}{y} = u \delta \left( \frac{t}{u} \right) = \delta t - \frac{t}{u} \delta u = \delta t - \frac{t}{u} \cdot \frac{3t^2 - u^2}{1 + 2ut} \delta u =$   
 $\stackrel{t^3 = tu^2 + u}{=} \delta t \left( 1 - \frac{3tu^2 + u - tu^2}{u(1 + 2ut)} \right) = \frac{4}{1 + 2ut} \delta t$  extends to  $t = u = 0$

On  $U_3 = D(1 + 2ut)$  set  $\omega_3 := \frac{4}{1 + 2ut} \delta t \Rightarrow \omega_i|_{U_i \cap U_j} = \omega_j|_{U_i \cap U_j} \forall i, j \in \{1, 2, 3\}$

and  $C = U_1 \cup U_2 \cup U_3 \Rightarrow$  get  $\omega \in \Gamma(C, \Omega_C/k)$  s.t.  $\omega|_{U_i} = \omega_i$

It follows from these calculations that  $\Omega_C/k$  is locally free of rank 1,

e.g. on  $U_3$ , it is  $(B\delta t \oplus B\delta u) / \dots \cong B\delta t$

Moreover  $\omega(x) \neq 0$  in  $\Omega_C/k_x \otimes_{\mathcal{O}_{C,x}} k(x) \forall x \in C$

$\Rightarrow \mathcal{O}_C \rightarrow \Omega_C$  is an iso on stalks, hence iso.  
 $1 \mapsto \omega$

The last assertion follows from the following

Fact. For a proper variety  $X$  over  $k = \bar{k}$ ,  $\Gamma(X, \mathcal{O}_X) = k$ .

Pf:  $\text{Hom}_{\text{sch}/k}(X, A^1/k) \xrightarrow{\sim} \text{Hom}_{k\text{-alg}}(k[t], \mathcal{O}_X(X)) \cong \mathcal{O}_X(X)$   
 $(f: X \rightarrow A^1/k) \longmapsto f^*(t)$

Let  $f: X \rightarrow \mathbb{A}_k^1$ . Since  $X$  is proper and  $\mathbb{P}_k^1$  is separated,  $f$  is proper by the proper mapping Lemma.

$\Rightarrow \iota \circ f: X \rightarrow \mathbb{A}_k^1 \xrightarrow{\iota} \mathbb{P}_k^1$  is proper since  $\iota$  is an open immersion

$(\iota \circ f)(X)$  is closed in  $\mathbb{P}_k^1$  and  $\neq \mathbb{A}_k^1 \Rightarrow f(X) = \{(x-a)\}$  for some  $a \in k$

$\Rightarrow f^*(x-a)(p) = 0 \quad \forall p \in X \Rightarrow f^*(t-a)^N = 0 \quad \forall N \geq 1 \Rightarrow f^*(t-a) = f^*(t) - a = 0.$

Lemma.  $X \xrightarrow{f} Y \xrightarrow{g} Z$ ,  $g$  of proper &  $g$  separated  $\Rightarrow f$  proper.

Pf: Factor  $f$  as  $pr_Z \circ \underbrace{(id_Y, f)}_{\text{graph of } f}$ .

Ex.  $k = \bar{k}$  is necessary: e.g.  $X = \text{Spec } \mathbb{C} = \text{Spec } \mathbb{R}[x]/(x^2+1)$ ,  $X$  is a proper vty.,  $\mathcal{O}_X(X) = \mathbb{C}$  and not  $\mathbb{R}$

Def.  $C$  normal projective curve /  $k$ . The genus of  $C$  is  $g(C) := \dim_k \Gamma(C, \Omega_{C/k})$

Ex.  $g(C) = 1$  in the case of the Prop.

Ex.  $\mathbb{P}_k^1 =: C, \Omega_{\mathbb{P}_k^1} = \mathcal{O}_{\mathbb{P}_k^1}(-2)$  exc.  $\Rightarrow \Gamma(\mathbb{P}_k^1, \Omega_{\mathbb{P}_k^1}) = \Gamma(\mathbb{P}_k^1, \mathcal{O}(-2)) = 0 \Rightarrow g(C) = 0.$

Def. A scheme  $X/k$  is smooth of dimension  $n$  over  $k$  if

- $X$  is locally of finite type
- $X$  is pure of dimension  $n$ , i.e. every irred component is of dimension  $n$
- $\Omega_{X/k}$  is locally free of rank  $n$ .

Ex.  $X := \text{Spec } k[x]/x^2$  is not smooth:

$$\Omega_{X/k} = \left( k[x]/x^2 \right) \delta x / \begin{matrix} 2x \delta x \\ = \delta(x^2) \end{matrix} = \begin{cases} k \delta x & \text{if } \text{char } k \neq 2 \rightarrow \text{not free} \\ k[x]/x^2 \delta x & \text{if } \text{char } k = 2 \rightarrow \text{free of wrong rank} \end{cases}$$

Ex. Lemma.  $K/k$  fin extension. Then  $X = \text{Spec}(K)$  is smooth /  $k \Leftrightarrow K/k$  sep'ble.

By this lemma,  $X = \text{Spec}(K)$  is always smooth over  $K$  but may fail to be smooth /  $k$ .

Pf:  $\forall \alpha \in K$ : let  $f_\alpha$  be the minimal polynomial.

$$0 = \delta 0 = \delta f_\alpha(\alpha) = f'_\alpha(\alpha) \cdot \delta \alpha$$

If  $K/k$  is sep'ble  $\rightarrow \delta \alpha = 0 \quad \forall \alpha \rightarrow \Omega_{K/k} = 0$ , the converse is similar.

Def.  $X$  is smooth over  $k$  if every component is smooth of some dimension /  $k$ .

Rank/Def.  $\check{\Omega}_X := \Omega_{X/k}^\vee \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{O}_X}(\Omega_{X/k}, \mathcal{O}_X)$  is the tangent sheaf

If  $\Omega_{X/k}$  isn't locally free of fin rk then we may have  $\Omega_{X/k}^{\vee \vee} \neq \Omega_{X/k}$ ,

$\Omega_{X/k}$  somehow "contains more information" than  $\Omega_{X/k}^\vee$ .

Def. The vector bundle associated to  $T_X$  resp.  $\Omega_{X/k}$  is the tangent resp. cotangent bundle of  $X$  for  $X$  smooth.

Def.  $\omega_X = \wedge^n \Omega_{X/k}$  is the canonical line bundle, where  $X$  is smooth of dim  $n/k$ .

This is like the sheaf of holomorphic volume forms.

Ex.  $X = \text{Spec } A = A^n$ ,  $A = k[x_1, \dots, x_n]$ ,  $\Omega_{X/k} = A dx_1 \oplus \dots \oplus A dx_n$ ,

$$\omega_X = A dx_1 \wedge \dots \wedge A dx_n$$

### Regular vs. smooth

Thm.  $X$  scheme of  $k$ .

a)  $X$  smooth  $/k \Rightarrow X$  regular.

b) If  $k$  is perfect then  $X$  smooth  $/k \Leftrightarrow X$  regular.

Recall. A not necessarily algebraic  $K/k$  is sep'ble if  $\exists \{x_i\}$  transcendence base s.t.  $K/k(\{x_i\})$  is sep'ble. alg extn. A field  $k$  is perfect iff all <sup>finite</sup> extensions are sep'ble.

Note that fields of char 0 and alg closed fields are perfect.

Key Lemma.  $X$  scheme of  $k$ ,  $p \in X$  s.t.  $k(p) = k$ . Then  $\Omega_{X,p} \otimes_{\mathcal{O}_{X,p}} k(p) = \mathfrak{m}/\mathfrak{m}^2$  where  $\mathfrak{m} \subseteq \mathcal{O}_{X,p}$  max.

Algebraic version:  $B$  noeth. loc. ring,  $B/\mathfrak{m} = k$ ,  $k \subseteq B$ . Then  $\Omega_{B/k} \otimes_B k \cong \mathfrak{m}/\mathfrak{m}^2$

Pf:  $A := k$ ,  $C := B/\mathfrak{m} = k$ ,  $A \rightarrow B \rightarrow C$

$$\Rightarrow \mathfrak{m}/\mathfrak{m}^2 \xrightarrow{\delta} \Omega_{B/k} \otimes_B k \rightarrow \Omega_{C/k} \rightarrow 0$$

$$= \Omega_{k/k} = 0 \quad \Rightarrow \delta \text{ is surjective.}$$

So to prove the lemma, wts  $\delta$  is injective. Equivalently: wts  $\delta^*$  is surjective.

$$\begin{array}{ccccc} \mathfrak{m} & \longrightarrow & B & \xrightarrow{\delta} & \Omega_{B/k} \longrightarrow \Omega_{B/k} \otimes_B k \\ \downarrow & & & \nearrow \delta & \uparrow \text{dual map} \\ \mathfrak{m}/\mathfrak{m}^2 & & & & \end{array}$$

$$\text{Hom}_k(\Omega_{B/k} \otimes_B k, k) \xrightarrow{\delta^*} \text{Hom}(\mathfrak{m}/\mathfrak{m}^2, k) \quad \text{let } h: \mathfrak{m}/\mathfrak{m}^2 \rightarrow k,$$

$$\text{Hom}_B(\Omega_{B/k}, k) \quad \text{and define } \varphi: B \rightarrow k$$

$$b \mapsto h(b - b_0)$$

where  $(-)_0$  is the image in  $k$ .

$$\text{Given } a, b \in B: 0 = h(\underbrace{(a - a_0)(b - b_0)}_{\in \mathfrak{m}^2}) = h(ab - (ab)_0) - ah(b - b_0) - b_0 h(a - a_0) = \varphi(ab) - a_0 \varphi(b) - b_0 \varphi(a)$$

$\Rightarrow \varphi$  derivation  $/k$  corresponding to  $g: \Omega_{B/k} \rightarrow k$ .

$$\delta^*(g)(c) = g(\delta c) = \varphi(c) = h(c - c_0) = h(c)$$

PF OF THM (6) WHEN  $k = \bar{k}$ :

Assume  $X$  is connected and smooth of dim  $n/k$ .

$X$  pure of dim  $n \xrightarrow{X \text{ of t}}$   $\dim \mathcal{O}_{X,p} = n \quad \forall p \in X$  closed pt

$\Omega_{X/k}$  is loc free of rk  $n$ . Let  $p \in X$  be closed. Then  $k(p) = k$ , since  $k = \bar{k}$ .

$$\begin{aligned} \Rightarrow \mathcal{M}_p / \mathcal{M}_p^2 &= \Omega_{X/k, p} \otimes_{\mathcal{O}_{X,p}} k(p) \quad \text{by Lemma} \\ &\cong \mathcal{O}_{X,p}^{\oplus n} \otimes k(p) = k(p)^{\oplus n} \end{aligned}$$

$\forall p \in X$  closed:  $\dim \mathcal{M}_p / \mathcal{M}_p^2 = n = \dim \mathcal{O}_{X,p} \Rightarrow p \in X$  regular.

Hard Fact now before: if  $X$  is regular at every closed point then it is regular.

Conversely, let  $X$  be regular. Then  $\forall p \in X: \mathcal{O}_{X,p}$  is regular  $\Rightarrow$  integral  $\Rightarrow X$  is integral of some dimension  $D$ .

Assume  $X = \text{Spec } k[x_1, \dots, x_n] / (f_1, \dots, f_r) =: \text{Spec } A$ , let  $I := (f_1, \dots, f_r) \subseteq A$ .

$$\Rightarrow \tilde{I} / \tilde{I}^2 \xrightarrow{\delta} \Omega_{A^n/k} \rightarrow \Omega_{X/k} \rightarrow 0$$

$$\text{Si } I / I^2 \xrightarrow{\delta} A \delta x_1 \oplus \dots \oplus A \delta x_n \rightarrow \Omega_{A/k} \rightarrow 0$$

$$\begin{array}{ccc} \uparrow & \uparrow & \nearrow \\ e_i & A^{\oplus r} & \mathcal{F}_{(f_1, \dots, f_r)} \text{ Jacobian} \end{array}$$

Jacobian criterion  $\Rightarrow \forall p \in X$  closed:  $\text{rk}(\mathcal{F}_p) = n - \dim \mathcal{O}_{X,p} = n - D$

$V((n-D) \times (n-D)$  minors of  $\mathcal{F}$ ),  $D((n-D+1) \times (n-D+1)$  minors of  $\mathcal{F}$ ) contain no closed points  $\rightarrow$  empty  $\Rightarrow \text{rk} \mathcal{F}_p = n - D \quad \forall p \in X$

$$\dim(\Omega_{X/k} \otimes_{\mathcal{O}_{X,p}} k(p)) = n - \text{rk} \mathcal{F}_p = D \quad \text{independent of } p$$

$\rightarrow \Omega_{X/k}$  loc free of rk  $D$

In the perfect case, we reduce to the alg closed case:

- $X$  smooth  $/k \Leftrightarrow X \times_{\bar{k}} \bar{k}$  smooth  $/\bar{k}$
- $k$  perfect,  $X$  regular  $\Leftrightarrow X \times_{\bar{k}} \bar{k}$  regular.

Question. Let  $X$  be a singular vty /  $k$ . Can we find a desingularisation of  $X$ ,  
 i.e. proper birational  $X' \rightarrow X$  st.  $X'$  is non-singular?

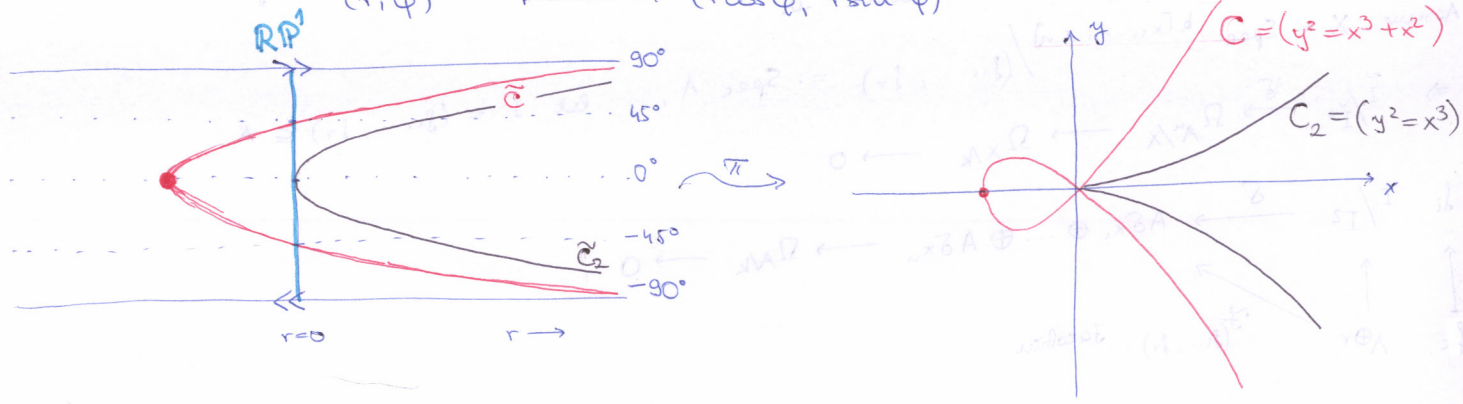
Answer.  $\text{char } k = 0 \rightarrow$  yes (Hironaka)  
 $\text{char } k \neq 0 \rightarrow$  open

Ex.  $V(xy-z^2) \subseteq \mathbb{A}^3$  sing  $\rightarrow$  regularity and normality may not be the same (see PS 10). In dim 1, reg  $\iff$  normal.

Motivation. Polar coordinates on  $\mathbb{R}^2$ :  $x = r \cos \varphi$ ,  $y = r \sin \varphi$

"Classical" domain:  $\mathbb{R}_{\geq 0} \times \mathbb{R}/2\pi\mathbb{Z} \rightarrow \mathbb{R}^2$  Problem: there are boundaries.

Modified domain:  $(\mathbb{R} \times \mathbb{R})/\sim \xrightarrow{\pi} \mathbb{R}^2$   $(r, \varphi) \sim (-r, \varphi + \pi)$   
 $(r, \varphi) \mapsto (r \cos \varphi, r \sin \varphi)$



$\pi|_{\{r \neq 0\}}$  iso onto  $\mathbb{R} \setminus \{0\}$

$\pi^{-1}(0) = \{r=0\} = \mathbb{R}/\varphi \sim \varphi + \pi =$  "angles of the line through origin up to  $\pi$ " =  $\mathbb{RP}^1$

Ex. 1  $\pi^{-1}(C) = \tilde{C} \cup \{r=0\}$  as a topological space

In coordinates:  $y^2 = x^3 + x^2$  apply  $\pi^*$ :

$r^2 \sin^2 \varphi = r^3 \cos^3 \varphi + r^2 \cos^2 \varphi$  equation for  $\tilde{C}$

$\Leftrightarrow 0 = r^2 \cdot \underbrace{(r \cos^3 \varphi + \cos^2 \varphi - \sin^2 \varphi)}_{\{r=0\} \rightarrow \text{non-singular}}$

Ex. 2  $C_2 = \{y^2 = x^3\} \xrightarrow{\pi^*} r^2 \sin^2 \varphi = r^3 \cos^3 \varphi \Leftrightarrow r^2 (r \cos^3 \varphi - \sin^2 \varphi) = 0$

$\tilde{C}_2 = \left\{ r = \frac{\sin^2 \varphi}{\cos^3 \varphi} \right\}$   $\frac{\sin^2 \varphi}{\cos^3 \varphi} \approx \frac{\varphi^2}{1}$  at the origin  $\rightarrow$  behaves like a parabola  
 $\rightarrow \tilde{C}_2$  non-singular

Blow-up

Def.  $Bl_0 A^2 := V(xv - yu) \subseteq A^2 \times P^1$ .

The projection  $\pi: Bl_0 A^2 \rightarrow A^2$  is called the blowup of  $A^2$  at  $0$ .

Rem. Projection to the 2<sup>nd</sup> factor is iso to  $V(O_{P^1}(-1)) \rightarrow P^1$ .

Rem.  $\pi^{-1}(0) \cong P^1 = E$  exceptional divisor

Lemma.  $\pi|_{\pi^{-1}(A^2 \setminus \{0\})}$  is an iso onto  $A^2 \setminus \{0\}$ , with inverse  $(id, p)$  (see below).

In particular,  $Bl_0 A^2$  is the closure of the graph of  $p$ .

$p: A^2 \setminus \{0\} \rightarrow P^1$   
 $(x, y) \mapsto [x, y]$

As morph of schemes: let  $f \in k[x, y]$  be homog. Then

$P|_{D_{A^2}(f)}: D_{A^2}(f) = \text{Spec}(A_f) \rightarrow D_{P^1}(f) = \text{Spec}(A_f)_0$  is given by the inclusion map  $(A_f)_0 \hookrightarrow A_f$ .

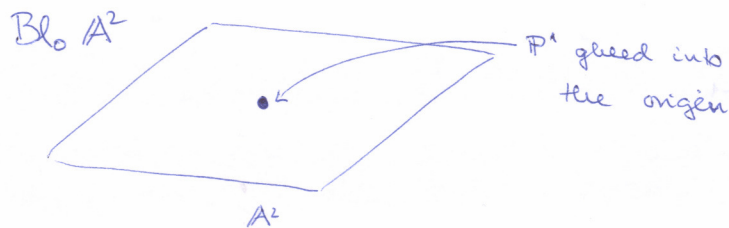
Pf:  $\pi^{-1}(D(x)) = V(xv - yu) \subseteq D(x) \times P^1$

$\Downarrow$   
 $v = \frac{y}{x}u \Rightarrow u \neq 0 \Rightarrow \frac{v}{u} = \frac{y}{x}$

$= V(\frac{v}{u} = \frac{y}{x}) \subseteq D(x) \times D(u)$

$= \text{graph of } D(x) = \text{Spec } A_x \xrightarrow{\frac{y}{x}} D(u) = \text{Spec}(k[u, v]_u)_0$   
 $\longleftarrow \frac{v}{u}$   
 $k[\frac{v}{u}]$

$= \text{graph of } P|_{D(x)} \Rightarrow \pi^{-1}(A^2 \setminus \{0\}) = \text{graph of } P.$



See picture in Hartshorne.



Let  $C \subseteq \mathbb{A}^2$  be a curve, and define  $\tilde{C} := \pi^{-1}(C \setminus \{0\})$ , called the proper transform of  $C$ .

Ex. 1.  $y^2 = x^3 + x^2$ .

On  $D(u)$ :  $V := \frac{v}{u} \rightarrow xv = yu$  yields  $y = x \frac{v}{u} = xV$

$\pi^{-1}(C) \cap D(u)$  has equation  $x^2 V^2 = x^3 + x^2$

$\Leftrightarrow \underbrace{x^2}_{E \cap D(u)} \underbrace{(V^2 - x - 1)}_{\tilde{C} \cap D(u)} = 0$

$\rightarrow \tilde{C} \cap D(u) = V(V^2 - x - 1)$  nonsingular

On  $D(x)$ :  $U := \frac{u}{x} \Rightarrow x = Uy$

$\pi^{-1}(C) \cap D(x): y^2 = y^3 U^3 + y^2 U^2 \Leftrightarrow y^2 \cdot \underbrace{(yU^3 + U^2 - 1)}_{\tilde{C} \cap D(x)} = 0$

$\tilde{C} \cap D(x)$  is nonsingular

$\tilde{C}$  nonsingular

Ex. 2.  $y^2 = x^3$

On  $D(u)$ :  $x^2 V^2 = x^3 \Leftrightarrow x^2 \cdot \underbrace{(x - V^2)}_{\text{parabola } \tilde{C}_2} = 0$

parabola  $\tilde{C}_2$  nonsingular

On  $D(x)$ :  $y^2 = U^3 y^3 \Leftrightarrow y^2 \cdot \underbrace{(U^3 y - 1)}_{\text{nonsingular}} = 0$

nonsingular

$\tilde{C}_2$  nonsingular

Higher dimensions

The blowup of  $\mathbb{A}^n$  at 0 is  $Bl_0(\mathbb{A}^n) = V(x_i y_j - x_j y_i) \subseteq \mathbb{A}^n \times \mathbb{P}^{n-1}$



$\pi^{-1}(0) = \mathbb{P}^{n-1} = E$

$\pi^{-1}(\mathbb{A}^n \setminus \{0\}) = \text{graph of } p: \mathbb{A}^n \setminus \{0\} \rightarrow \mathbb{P}^{n-1}$

Local charts:  $D(y_i) = \text{Spec } k[x_i, \frac{y_1}{y_i}, \dots, \frac{y_n}{y_i}]$

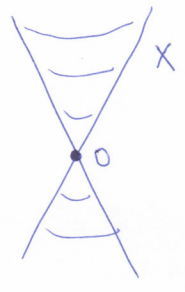
$x_i y_j = x_j y_i \Rightarrow x_i \cdot \frac{y_j}{y_i} = x_j$

Let  $X = V(I) \subseteq \mathbb{A}^n$  closed reduced subscheme.

$\tilde{X} = \pi^{-1}(X \setminus \{0\})$

Ex. 3.  $X = \text{Spec } k[x, y, z] / \underbrace{(x^2 + y^2 + z^2)}_f$

$\partial f = \begin{pmatrix} 2x \\ 2y \\ 2z \end{pmatrix}$





Let  $u, v, w$  be homog coordinates on  $\mathbb{P}^2$ .

On  $D(u)$ :  $V := \frac{v}{u}, W := \frac{w}{u}$   $xw = zu \Rightarrow z = xW$   
 $xv = yu \Rightarrow y = xV$

$\pi^{-1}(X) \cap D(u)$ :  $x^2 + x^2V^2 + x^2W^2 = 0$

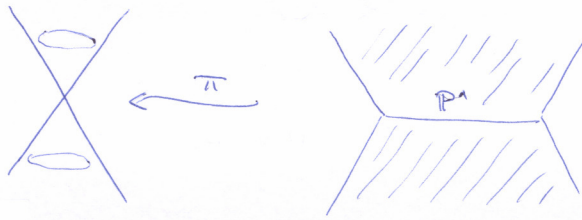
$\Leftrightarrow x^2 \cdot (1 + V^2 + W^2) = 0$

$D(u) \cap E \quad \tilde{X} \cap D(u)$

nonsingular hypersurface in  $A^3_{x,V,W}$

By symmetry,  $\tilde{X} \cap D(v)$  and  $\tilde{X} \cap D(w)$  are also nonsingular.  $\Rightarrow \tilde{X}$  is nonsingular.

$\tilde{X} \cap E = V(u^2 + v^2 + w^2) \subseteq \mathbb{P}^2_{u,v,w}$   
 $\mathbb{P}^1$  (circle)



What is the usual bundle

of  $\tilde{X} \cap E =: E_X$  inside  $\tilde{X}$ ?  $N_{E_X} = T_{\tilde{X}}|_{E_X} / T_{E_X}$

Du Val singularities

$G$  finite group,  $G \curvearrowright X$ ,  $X = \text{Spec } A$  (equivalently,  $G \curvearrowright A$ )

The quotient of  $X$  by  $G$  is  $X/G := \text{Spec}(A^G)$  where  $A^G = \{a \in A \mid a^g = a \ \forall g \in G\}$

(Appreciate how easy this definition is; in diff. geo, defining quotient is much harder.)

$R := \mathbb{C}$ ,  $G \leq SL_2(\mathbb{C})$  finite subgp,  $X := A^2/G$ ,  $Y :=$  minimal resolution of singularities of  $X$  obtained by repeatedly blowing up singular points,  $\pi: Y \rightarrow X$ .

Hironaka: this process eventually terminates,  $\omega_Y \cong \mathcal{O}_Y$ .

Claim 1.  $\pi^{-1}(0) = C_1 \cup \dots \cup C_n$ ,  $\forall C_i \cong \mathbb{P}^1$  (curves)

Claim 2. (McKay correspondence)

Dual graph of  $\pi^{-1}(0)$  is isomorphic to the McKay graph of  $G$

Vertices  $C_1, \dots, C_n$   
 Edges b/w  $C_i, C_j \Leftrightarrow C_i \cap C_j \neq \emptyset$

Vertices  $\rho_1, \dots, \rho_n$  faithful irred reps of  $G$   
 Edges b/w  $\rho_i, \rho_j \Leftrightarrow \rho_i \subseteq \rho_j \otimes \mathbb{C}^2$   
 std. rep.

Ex 4. (= Ex. 3)  $G = \langle \underbrace{(-1 \ -1)}_{\xi} \rangle = \mathbb{Z}/2$

$\xi(x, y) = (-x, -y)$

$\mathbb{C}[x, y]^G = \mathbb{C}[x^2, xy, y^2] = \mathbb{C}[z_0, z_1, z_2] / z_0^2 = z_1 z_2$

Ex. 3 up to change of variables

Dual graph

$X_E = \mathbb{P}^1$

McKay graph



$\mathbb{C}$  with action  $g: \xi e = -e$



$X_E = \mathbb{P}^1$  bundle  $X$ .  $X^G = \mathbb{P}^1 / \mathbb{Z}/2$

Dual graph

$G$  finite group,  $G \backslash X = \text{Spec } A$  (normalization, DDA)

The quotient of  $X$  by  $G$  is  $X^G = \text{Spec } A^G$  where  $A^G = \{a \in A \mid g \cdot a = a \forall g \in G\}$

(Algebraic geom says this definition is - often, defining quotient is more subtle)

$r = \dim_{\mathbb{C}} G \geq 2 \dim_{\mathbb{C}}(G)$  finite moduli.  $X = \mathbb{P}^1 / G$ ,  $Y =$  universal resolution of singularities

map of  $X$  obtained by repeated blowing up singular points,  $\pi: Y \rightarrow X$

invariant: this process eventually terminates, by  $\dim \leq 0$

$\pi^* \mathcal{O}_X(1) = \mathcal{O}_Y(1) \oplus \mathcal{O}_Y(-1) \oplus \dots \oplus \mathcal{O}_Y(-r)$  (twisted)

Lemma 2. (Hilbert correspondence)

Dual graph of  $\pi^*(\mathcal{O}_X(1))$  is isomorphic to the McKay graph of  $G$

Vertex  $e_i$  of McKay graph corresponds to the  $i$ -th irreducible representation of  $G$

edges  $e_i \rightarrow e_j$  if  $e_i \otimes \xi = e_j$

one rep.

edges  $e_i \rightarrow e_j$  if  $e_i \otimes \xi = e_j \oplus e_k$